2017 Fall

"Phase Transformation in Materials"

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Contents for previous class

<u>Fick's first law</u>: assume that diffusion eventually stops when the concentration is the same everywhere \rightarrow never true in practice due to lattice defect

• Atomic Mobility $D_B = M_B RTF$ $F = (1 + \frac{d \ln \gamma_B}{d \ln X_B})$

both the concentration gradient and the gradient of the interaction energy Thermodynamic factor

• Tracer Diffusion in Binary Alloys $\tilde{D} = X_B D_A + X_A D_B \neq F(X_B D_A^* + X_A D_B^*)$

 D^*_{Au} gives the rate at which Au^{*} (or Au) atoms diffuse in a chemically homogeneous alloy, whereas D_{Au} gives the diffusion rate of Au when concentration gradient is present.

- High-Diffusivity Paths $D_s > D_b > D_1 \iff A_l > A_b > A_s$
 - 1. Diffusion along Grain Boundaries and Free Surface

Grain boundary diffusion makes a significant contribution $D_{app} = D_l + D_b \frac{\delta}{J}$

only when $D_b \delta > D_l d$. ($T < 0.75 \sim 0.8 T_m$)

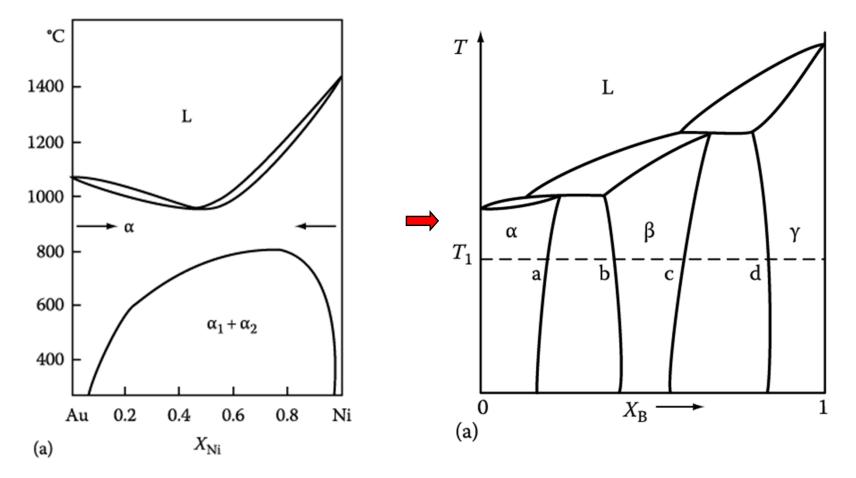
2. Diffusion Along Dislocation

At low temperatures, ($T < \sim 0.5 T_m$) gD_p/D₁ can become so large that the apparent diffusivity is entirely due to diffusion along dislocation. • Diffusion in Multiphase Binary Systems

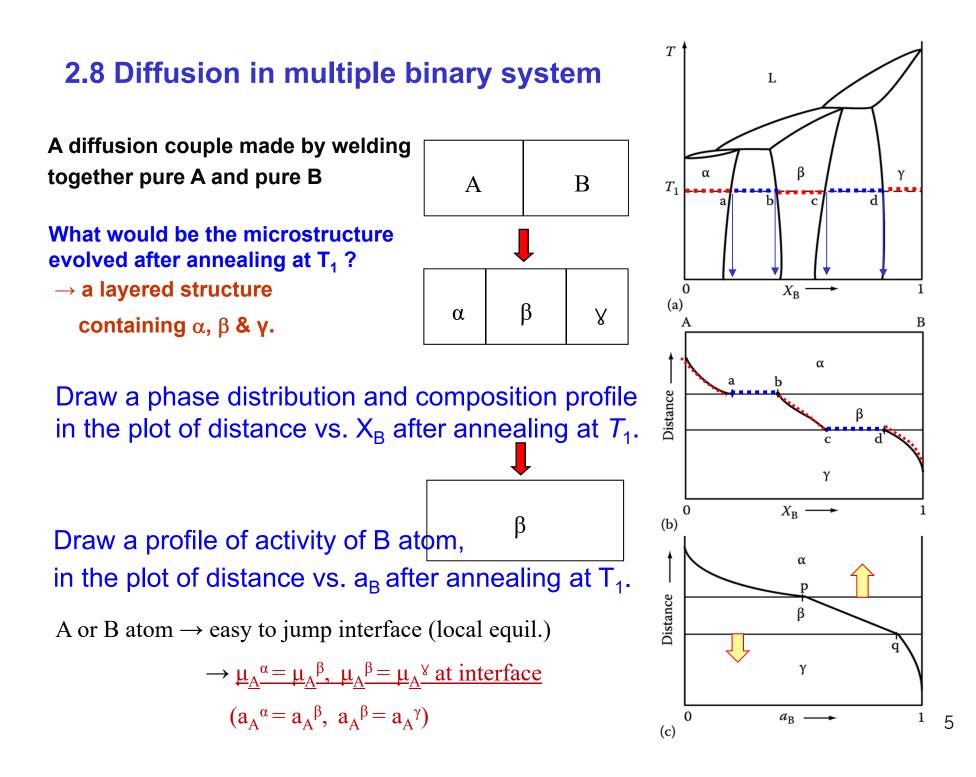
Q: How can we formulate the interface $(\alpha/\beta, \beta/\gamma)$ velocity in multiphase binary systems?

$$v = \frac{dx}{dt} = \frac{1}{(C_B^\beta - C_B^\alpha)} \{\tilde{D}(\alpha) \frac{\partial C_B^\alpha}{\partial x} - \tilde{D}(\beta) \frac{\partial C_B^\beta}{\partial x} \}$$
(velocity of the α/β interface)

2.8 Diffusion in multiphase binary systems (다상 2원계의 확산)



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Complete solution of the diffusion equations for this type of diffusion couple is complex. However an expression for the rate at which the boundaries mover can be obtained as follows.

How can we formulate the interface (α/β , β/γ) velocity?

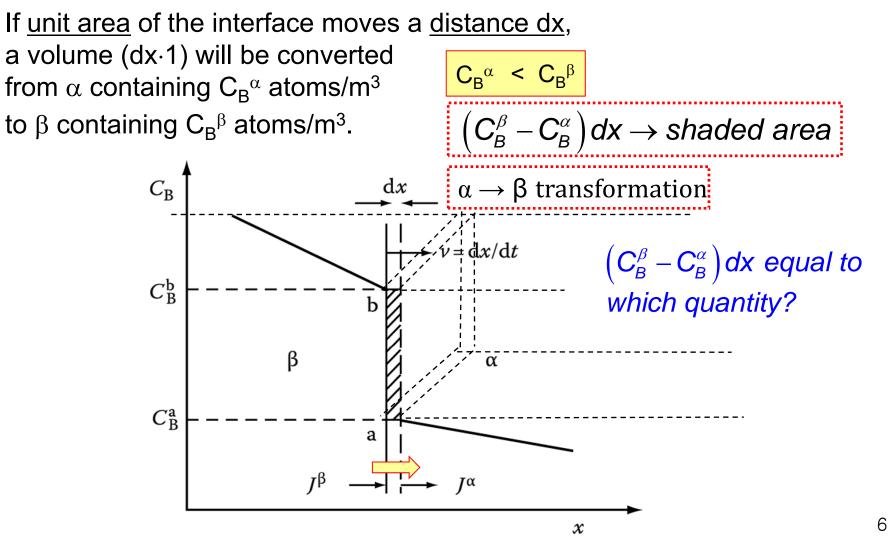


Fig. 2.30. Concentration profile across the α/β interface and its associated movement assuming diffusion control.

Local equilibrium is assumed.

a flux of B towards the interface from the β phase

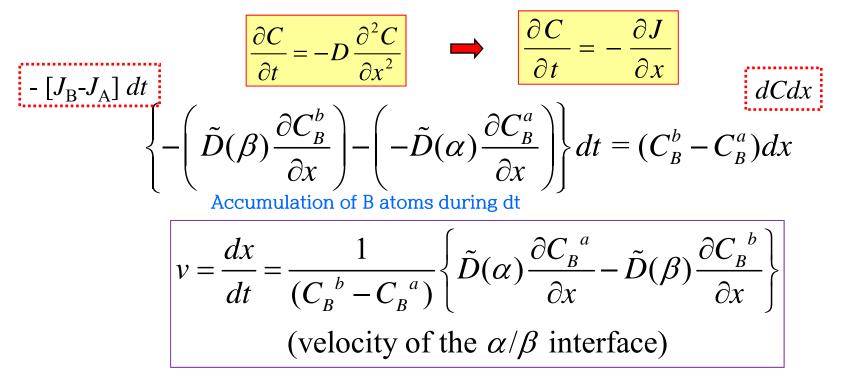
$$J_{B}^{\beta} = -\tilde{D}(\beta)\frac{\partial C_{B}^{\beta}}{\partial x}$$

a flux of B away from the interface into the α phase

 $J_B^{\alpha} = -\tilde{D}(\alpha) \frac{\partial C_B^{\alpha}}{\partial \alpha}$

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In a time dt, there will be an accumulation of B atoms given by



Contents for previous class

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 D^*_{Au} gives the rate at which Au^{*} (or Au) atoms diffuse in a chemically homogeneous alloy, whereas D_{Au} gives the diffusion rate of Au when concentration gradient is present.

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 - 1. Diffusion along Grain Boundaries and Free Surface

Grain boundary diffusion makes a significant contribution

only when $D_b \delta > D_l d$. (T < 0.75~0.8 T_m)

2. Diffusion Along Dislocation

At low temperatures, ($T < \sim 0.5 T_m$) gD_p/D_l can become so large that the apparent diffusivity is entirely due to diffusion along dislocation.

Diffusion in Multiphase Binary Systems

$$v = \frac{dx}{dt} = \frac{1}{(C_B^{\beta} - C_B^{\alpha})} \{ \tilde{D}(\alpha) \frac{\partial C_B^{\alpha}}{\partial x} - \tilde{D}(\beta) \frac{\partial C_B^{\beta}}{\partial x} \}$$

(velocity of the α/β interface)



$$F = (1 + \frac{d \ln \gamma_B}{d \ln X_B})$$

$$\mathbf{D}_{s} > \mathbf{D}_{b} > \mathbf{D}_{1} \iff A_{l} > A_{b} > A_{S}$$

$$D_{app} = D_l + D_b \frac{\delta}{d}$$

Contents in Phase Transformation

Background to understand phase transformation

(Ch1) Thermodynamics and Phase Diagrams (Ch2) Diffusion: Kinetics (Ch3) Crystal Interface and Microstructure

(Ch4) Solidification: Liquid \rightarrow Solid

Representative Phase transformation

(Ch5) Diffusional Transformations in Solid: Solid \rightarrow Solid

(Ch6) Diffusionless Transformations: Solid \rightarrow Solid

Contents for today's class

Chapter 3 Crystal Interfaces and Microstructure

- Interfacial Free Energy
- Solid/Vapor Interfaces
- Boundaries in Single-Phase Solids
 - (a) Low-Angle and High-Angle Boundaries
 - (b) Special High-Angle Grain Boundaries
 - (c) Equilibrium in Polycrystalline Materials

Q: Types of interface in metallic system?

Types of Interface

Basically three different types of interface are important in metallic system:

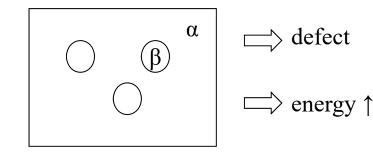
- **1. Free surface (solid/vapor interface)** Vapor
 Important in vaporization and condensation transformations

 solid
 solid
 condensation transformations
- 2. Grain boundary (α / α interfaces)
 - > same composition, same crystal structure
 - > different orientation

: Important in recrystallization, i.e. the transformation of a highly deformed grain structure into new undeformed grains, and following grain coarsening and grain growth

- 3. inter-phase boundary (α/β interfaces) : "Important role in determining the kinetics of phase transformation/ complex"
 - > different composition &

crystal structure



 \rightarrow First, consider simple interfaces, (1) and (2) in this chapter

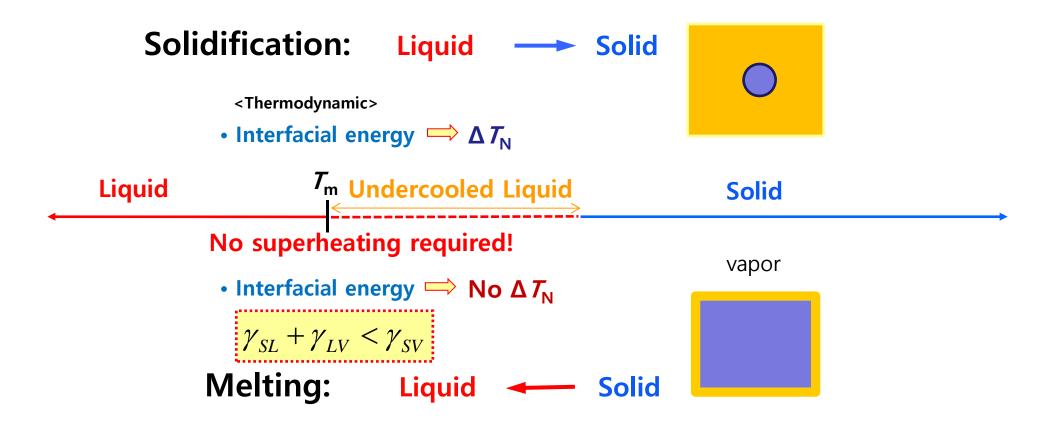
Q: Interfacial free energy, **γ vs** Surface tension, **F**?

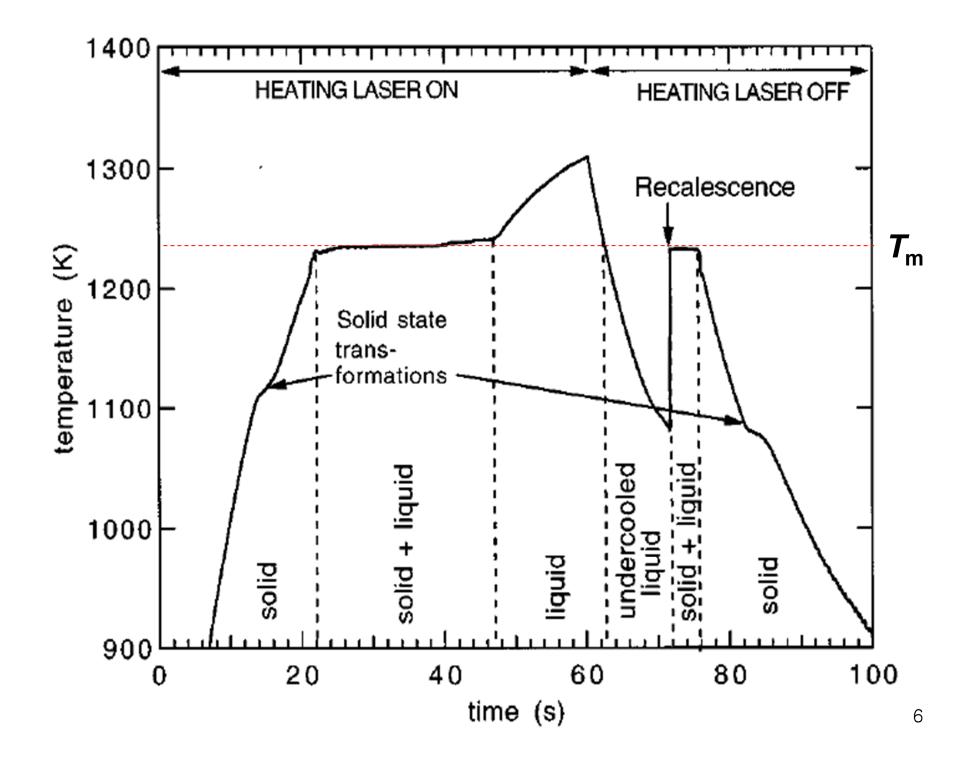
3.1. Interfacial free energy

Interfacial energy (γ : J/m²)

 \rightarrow Gibbs free energy of a system containing an interface of area A

Melting and Crystallization are Thermodynamic Transitions





3.1. Interfacial free energy

Interfacial energy (γ : J/m²) \rightarrow Gibbs free energy of a system containing an interface of area A \rightarrow G = G₀ + γ A (excess free E arising from the fact that some material lies in or close to the interface) vapor \rightarrow G_{bulk} + G_{interface} solid Interfacial energy (γ) vs. surface tension (F: a force per unit length) 1) work done : F dA = dG2) $dG = \gamma dA + A d\gamma$ Liquid film $\blacktriangleright F$ \rightarrow **F** = γ + **A** d γ /d**A** In case of a liq. film, $d\gamma/dA = 0$, $F = \gamma$ (N/m = J/m²) Ex) liq. : $d\gamma/dA = 0$ Why? Maintain a constatut surface structure by rearrangement Fig. 3.1 A liquid film on a wire frame. (independent of A) sol. : $d\gamma / dA \neq 0$, but, very small value At near melting temperature $d\gamma/dA = 0 \rightarrow F = \gamma$ (N/m = J/m²) 17 (high enough atomic mobility)

Q: Free surface (solid/vapor interface)?

(a) E_{SV} vs γ ?

Extra energy per atom on surface: 표면 에너지

• The measured γ values for pure metals near the melting temperature

 $E_{sv} = 3 \epsilon/2 = 0.25 L_s / N_a \implies \gamma_{sv} = 0.15 L_s / N_a \quad J / surface atom$ (:: surface free E averaged over many surface plane, S effect at high T)

(b) Equilibrium shape: Wulff surface

: Polyhedron with the largest facets having the lowest interfacial free energy

3.2 Solid / Vapor Interfaces

* Assumption: S/V interface → Hard sphere model/ uncontaminated surface

(In real systems surfaces will reduce their free energies by the adsorption of impurities.)

- Fcc : density of atoms in these planes decreases as (h²+k²+l²) increases

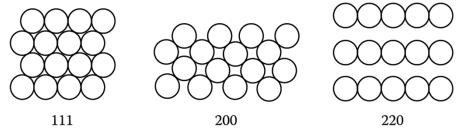
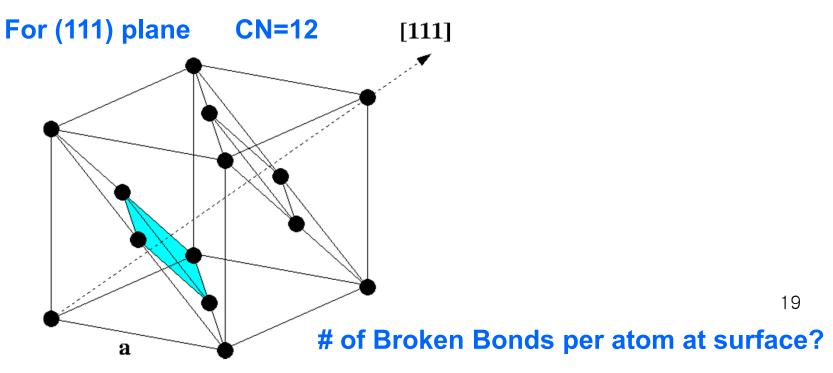
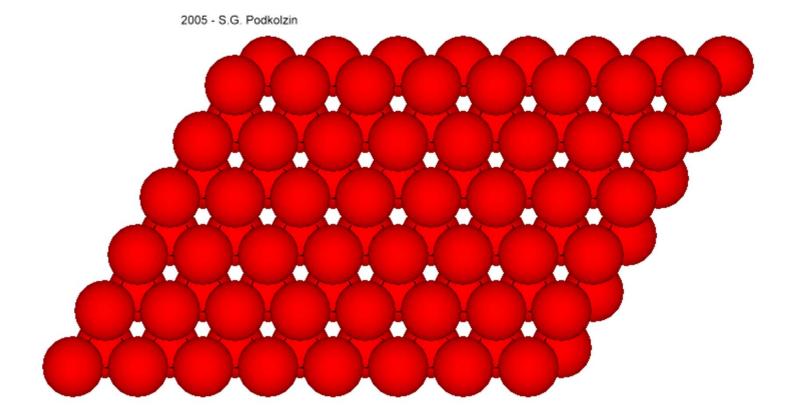


Fig. 3.2 Atomic configurations on the three closest-packed planes in fcc crystals; (111), (200), and (220).

(notation {200} and {220} plane has been used instead of {100} and {110} because the spacing of equivalent atom planes is than given by $a/(h^2+k^2+l^2)^{1/2}$ where a is the lattice parameter.)



of Broken Bonds per atom at surface? \rightarrow 3 per atom

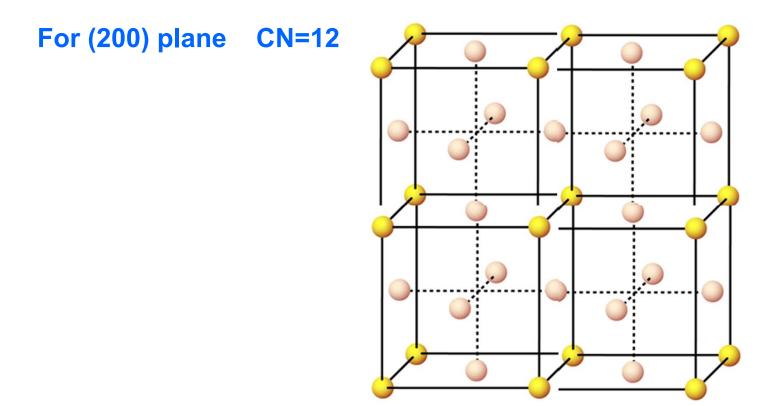


For (111) plane

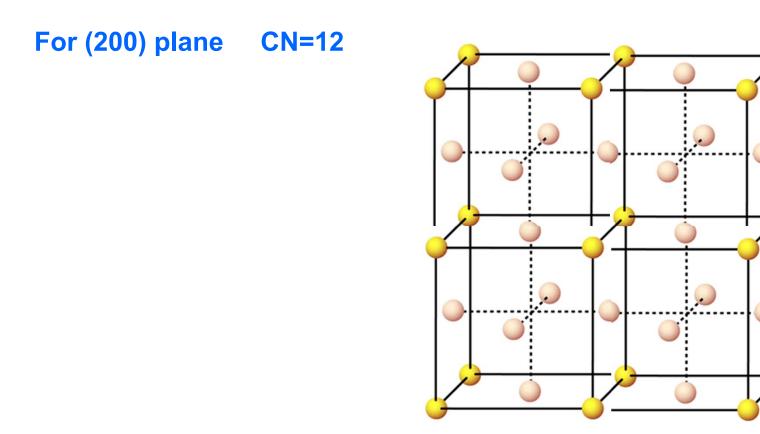
of broken bond at surface : 3 broken bonds

Bond Strength: $\varepsilon \rightarrow$ for each atom : $\varepsilon/2$

Excess internal energy over that of the atoms in the bulk: $3\epsilon/2$ \uparrow



of Broken Bonds per atom at surface? ²¹



of Broken Bonds per atom at surface?

of broken bond at surface : 4 broken bonds

Bond Strength: $\varepsilon \rightarrow$ for each atom : $\varepsilon/2$

Excess internal energy over that of the atoms in the bulk: $4\epsilon/2$ \uparrow_{22}

(excess internal energy of $4\epsilon/2$ over that of the atoms in the bulk)

For (111) plane

of broken bond at surface : 3 broken bonds Bond Strength: $\varepsilon \rightarrow$ for each atom : $\varepsilon/2$ Extra energy per atom on surface: $3\epsilon/2$

Heat of Sublimation (승화) in terms of ϵ ? $\rightarrow L_s = 12 N_a \epsilon/2$ (Latent heat of melting + vaporization) $(1 \text{ mole of solid} = 12 \text{ N}_2)$

Energy per atom of a {111} Surface?

 $E_{SV} = 3 \epsilon/2 = 0.25 L_{S} / N_{a} \quad (\frac{1}{4} \text{ of } L_{s} / N_{a}) \qquad \Longrightarrow \quad E_{SV} \text{ vs } \gamma ?$

"Approximated value" due to assumptions, 1) 2nd nearest neighbors have been ignored and 2) strengths of the remaining bonds in the surface are unchanged from the bulk values.

 γ interfacial energy = surface free energy \leftarrow Gibb's free energy (J/m²)

 $\rightarrow \gamma = \mathbf{G} = \mathbf{H} - \mathbf{TS}$

= E + PV – TS (if PV is ignored) $(\mathsf{E}_{\mathsf{sv}} \uparrow \rightarrow \gamma \uparrow)$

 $\rightarrow \gamma = E_{sv} - TS_{sv}$ (S_{sv} thermal entropy, configurational entropy) surface>bulk Extra configurational entropy due to vacancies $\rightarrow \partial \gamma / \partial T = -S$: surface free energy decreases with increasing T 0 < S < 3 (mJ/m⁻²K⁻¹) due to increased contribution of entropy 23

* $\textbf{E}_{\textbf{SV}}$ vs γ ?

• The measured γ values for pure metals near the melting temperature

$$E_{sv} = 3 \epsilon/2 = 0.25 L_s / N_a \implies \gamma_{SV} = 0.15 L_s / N_a J / surface atom$$

(: surface free E averaged over many surface plane, S effect at high T)

Average Surface Free Energies of Selected Metals

Crystal	<i>T</i> _m (°C)	$\gamma_{\rm sv}$ (mJ m ⁻²)	
Sn	232	680 초저 신러오 maar	-
Al	660	000 측정 어려움, near 1080	I m
Ag	961	1120	
Au	1063	1390	
Cu	1084	1720	
δ-Fe	1536	2080	
Pt	1769	2280	
W	3407	2650	

γ of Sn : 680 mJ/m² ($T_{\rm m}$: 232°C) γ of Cu : 1720 mJ/m² ($T_{\rm m}$: 1083°C) cf) G.B. energy γ_{gb} is about one third of γ_{sv}

* Higher $T_{\rm m} \rightarrow$ stronger bond (large $L_{\rm s}$) \rightarrow larger surface free energy ($\gamma_{\rm sv}$)

$$\frac{high}{m} \xrightarrow{} high L_s \xrightarrow{} high \gamma_{sv}$$

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Surface energy for high or irrational {hkl} index

Closer surface packing \rightarrow smaller number of broken bond \rightarrow lower surface energy # of broken bonds will increase through the series {111} {200} {220} $\rightarrow \gamma_{SV}$ will increase along the same series (if different entropy term is ignored)

A crystal plane at an angle θ to the close-packed plane will contain broken bonds in excess of the close-packed plane due to the atoms at the steps.

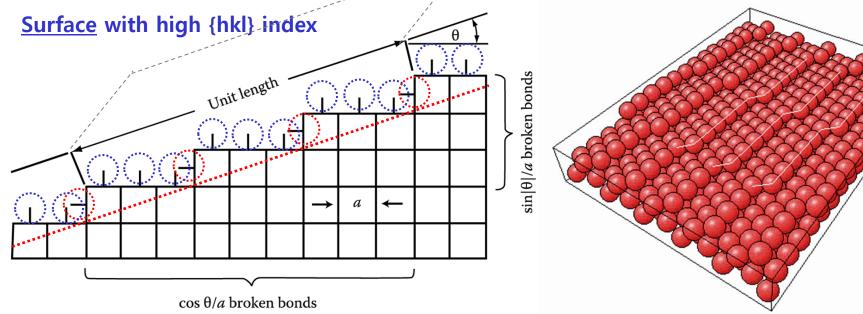


Fig. 2.2 The 'broken-bond' model for surface energy.

(cosθ/a)(1/a) : broken bonds from the atoms on the steps

 $(\sin|\theta|/a)(1/a)$: additional broken bonds from the atoms on the steps

Surface energy for high or irrational {hkl} index

 $(\cos\theta/a)(1/a)$: broken bonds from the atoms on the steps

 $(\sin|\theta|/a)(1/a)$: additional broken bonds from the atoms on the steps

Attributing $\varepsilon/2$ energy to each broken bond,

$$E_{SV} = \frac{1}{1 \times a} \frac{\varepsilon}{2} \left(\frac{\cos \theta}{a} + \frac{\sin |\theta|}{a} \right)$$
$$= \frac{\varepsilon (\cos \theta + \sin (|\theta|))}{2a^2}$$

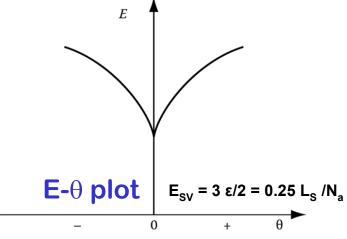


Fig. 3.4 Variation of surface energy as a function of $\boldsymbol{\theta}$

- The close-packed orientation (θ = 0) lies at a cusped minimum in the E plot.
- Similar arguments can be applied to any crystal structure for rotations about any axis from any reasonably close-packed plane.
- All low-index planes should therefore be located at low-energy cusps.
- If γ is plotted versus θ similar cusps are found (γ-θ plot), but as a result of <u>entropy effects</u> they are <u>less prominent than in the E-θ plot</u>, and for the higher index planes they can even disappear.

Q: Free surface (solid/vapor interface)?

Extra energy per atom on surface

• The measured γ values for pure metals near the melting temperature

E_{sv} = 3 ε/2 = 0.25 L_s /N_a $\Rightarrow \gamma_{SV} = 0.15 L_s /N_a$ J / surface atom (:: surface free E averaged over many surface plane, S effect at high T)

(b) Equilibrium shape: Wulff surface

: Polyhedron with the largest facets having the lowest interfacial free energy

Equilibrium shape: Wulff surface

- * A convenient method for plotting the variation of $\boldsymbol{\gamma}$ with surface orientation in 3 dimensions
- * Distance from center : γ_{sv}
- → Construct the surface using γ_{sv} value as a distance between the surface and the origin when measured along the normal to the plane

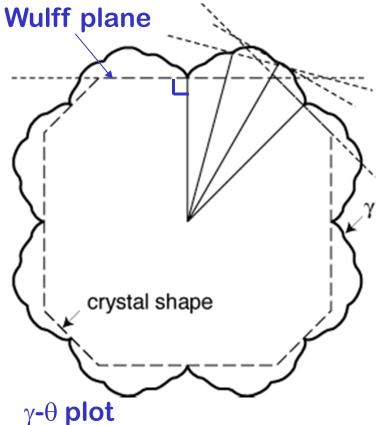
Several plane A_1, A_2 etc. with energy γ_1, γ_2

Total surface energy : $A_1\gamma_1 + A_2\gamma_2$

- $= \sum A_i \gamma_i \rightarrow minimum$
- \rightarrow equilibrium morphology
 - : can predict the equilibrium shape of
 - an isolated single crystal

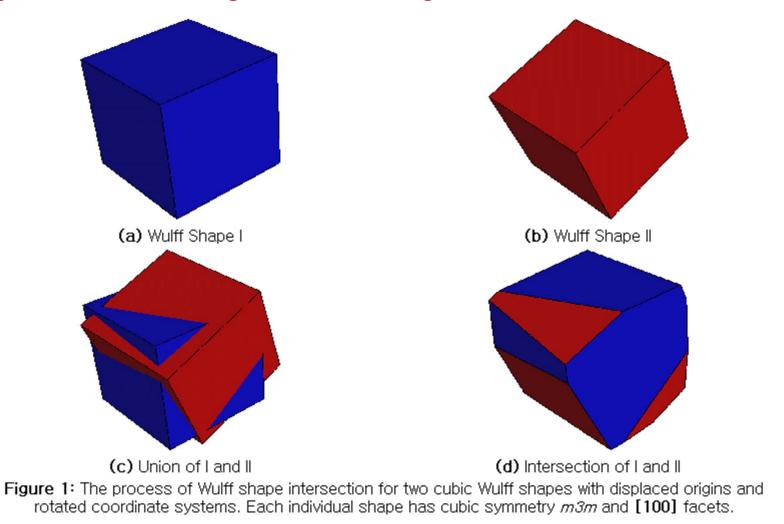
How is the equilibrium shape determined?

$$\sum_{i=1}^{n} A_{i} \gamma_{j} = Minimum$$



Due to entropy effects the plot are less prominent than in the E_{SV} - θ plot, and for the higher index planes they can even disappear

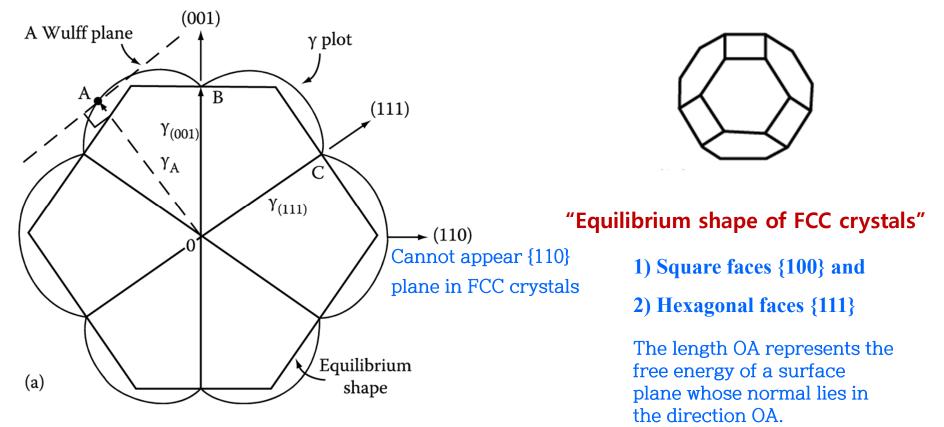
Process of Wulff shape intersection for two cubic Wulff shapes : Polyhedron with the largest facets having the lowest interfacial free energy



Equilibrium shape: Wulff surface

Equilibrium shape can be determined experimentally by annealing small single crystals at high temperatures in an inert atmosphere, or by annealing small voids inside a crystal.

Of course when γ is isotropic, as for liquid droplets, both the γ -plots and equilibrium shapes are spheres.



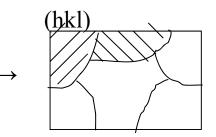
A possible ($\overline{1}10$) section through the γ -plot of an fcc crystal

Q: Grain boundary (α/ α interfaces) = Boundaries in Single-Phase Solids (a) Low-Angle and High-Angle Boundaries (b) Special High-Angle Grain Boundaries (c) Equilibrium in Polycrystalline Materials

3.3 Boundaries in Single-Phase Solids: definition

Grain boundary (α/α interfaces)

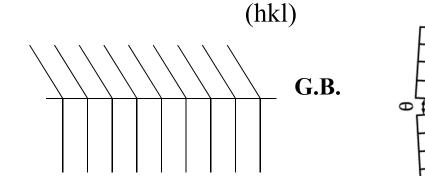
Single phase - Poly grain

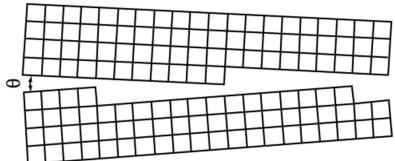


> same composition, same crystal structure

> different orientation

L





1) misorientation of the two adjoining grains 2) orientation of the boundary plane

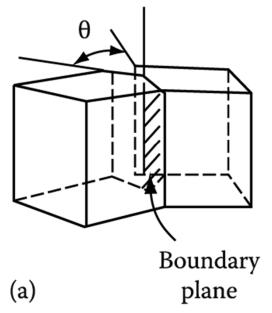
두 개 인접한 결정립간 방위차이 cf. 두 조밀면 만남

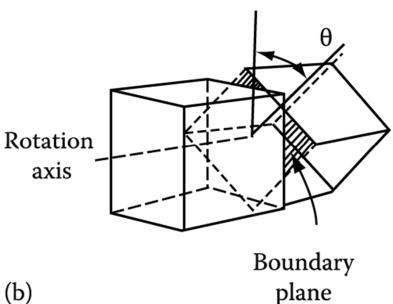
인접 결정립과 입계면의 방위관계

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3.3 Boundaries in Single-Phase Solids

- : The lattices of any two grains can be made to coincide by rotating one of them through a suitable angle about a single axis.
- * Relatively simple boundary: relative orientation of the crystals and the boundary Rotation axis





twist boundary

 $\theta \rightarrow$ misorientation

Perpendicular to the boundary

 \rightarrow twist angle

tilt boundary

 $\begin{array}{l} \theta \rightarrow \text{misorientation} \\ \rightarrow \text{tilt angle} \end{array}$

Axis of rotation: parallel to the plane of the boundary

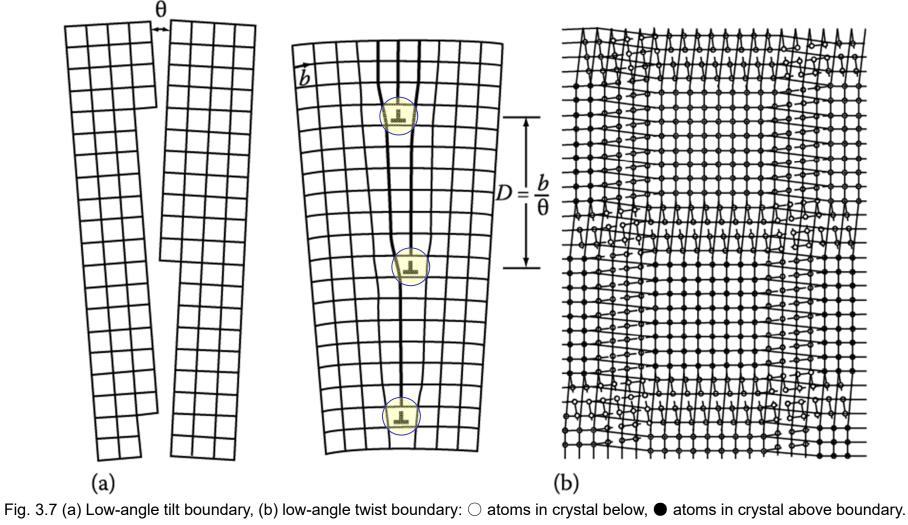
symmetric tilt or twist boundary non-symmetric tilt or twist boundary

3.3.1 Low-Angle and High-Angle Boundaries

Low-Angle Boundaries

Symmetrical low-angle tilt boundary S

Symmetrical low-angle twist boundary



An array of parallel edge dislocation

Cross-grid of two sets of screw dislocations

tilt Boundaries

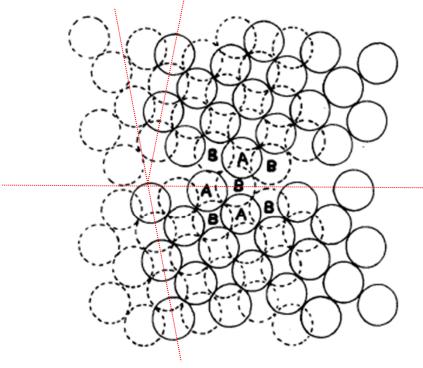
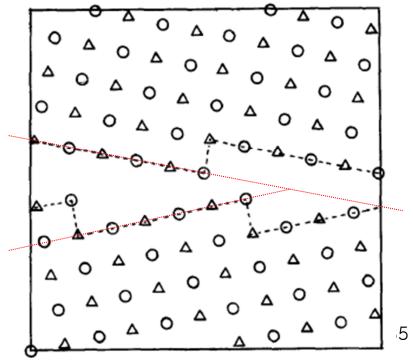
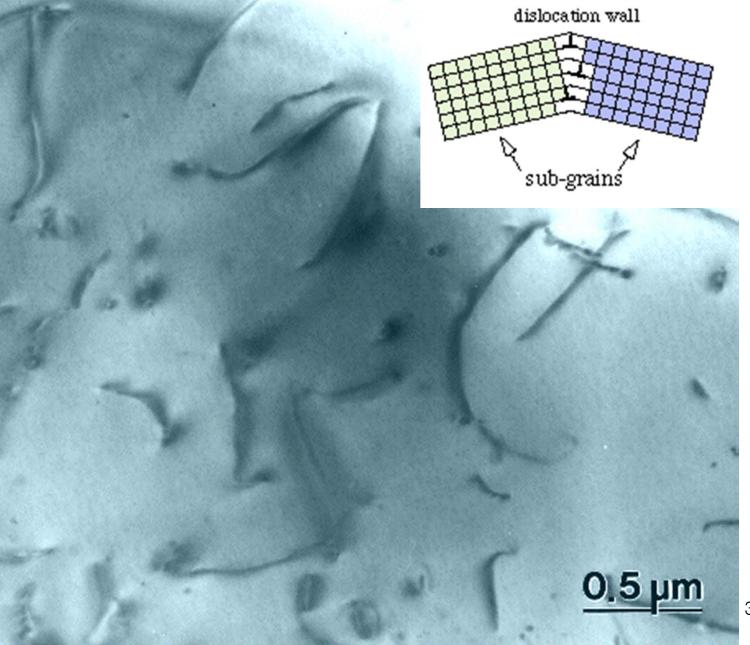


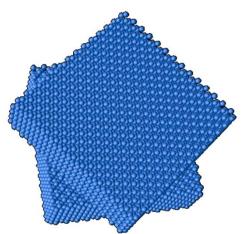
Figure 2 - 23° symmetric tilt boundary about a <001> axis. \triangle represent one layer and 0 represent the other layer of the AB.... stacked {001} planes. The ledge like character of the boundary is shown by the dashed lines. Figure 1 - 23° symmetric tilt boundary about a <001> axis. The circles with dashed lines represent one layer and the circles with solid lines the other layer of the AB....stacked {001} planes. The atoms labelled A and B denote the structural unit.



Dislocations



twist Boundaries



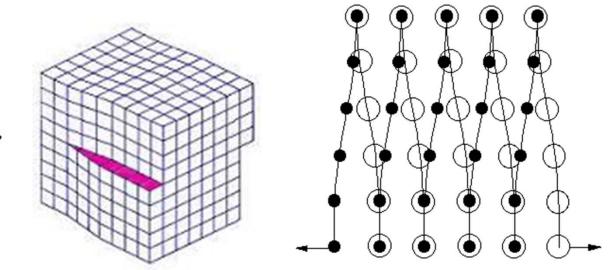
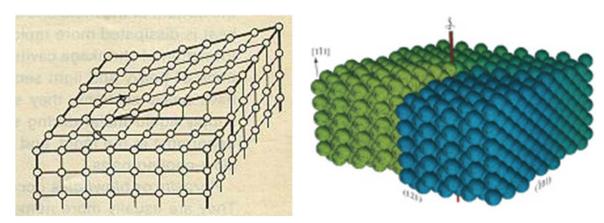
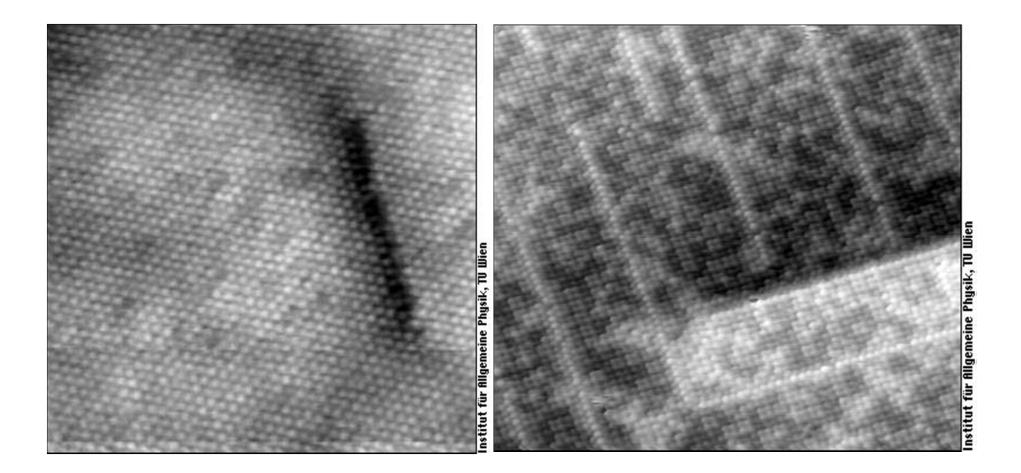


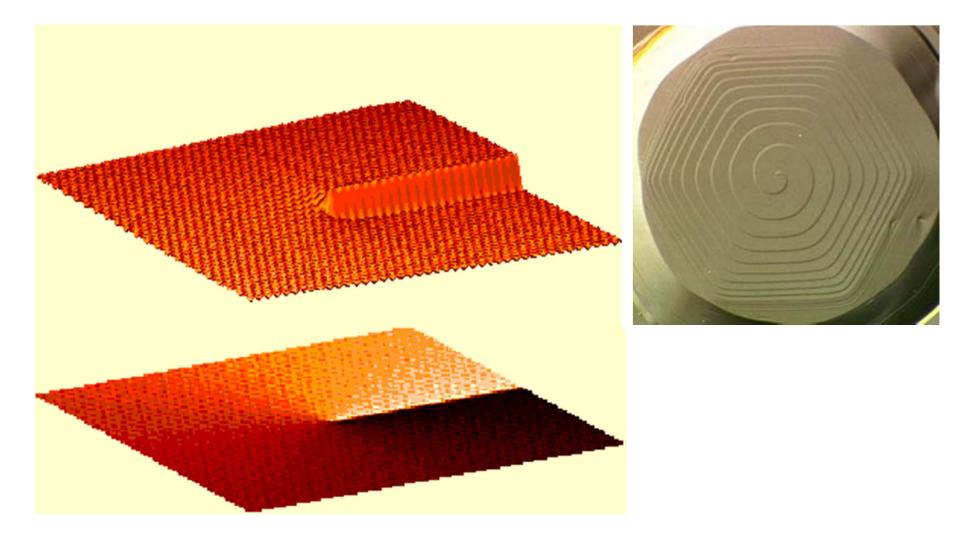
Figure 2. A screw dislocation; note the screw-like 'slip' of atoms in the upper part of the lattice



Screw dislocation



Growth of Screw dislocation



Non-symmetric Tilt Boundary

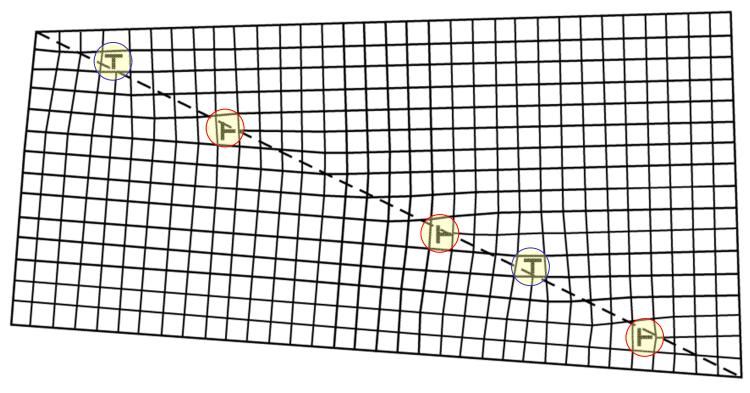
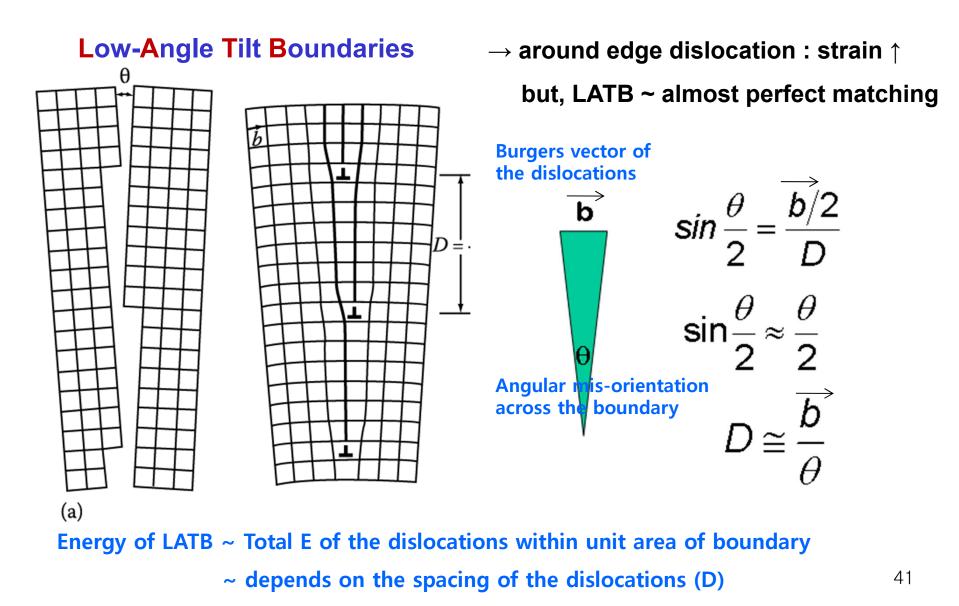


Fig. 3.8 An unsymmetrical tilt boundary. Dislocations with two different Burgers vectors are present.

If the boundary is unsymmetrical, dislocations with different burgers vectors are required to accommodate the misfit.

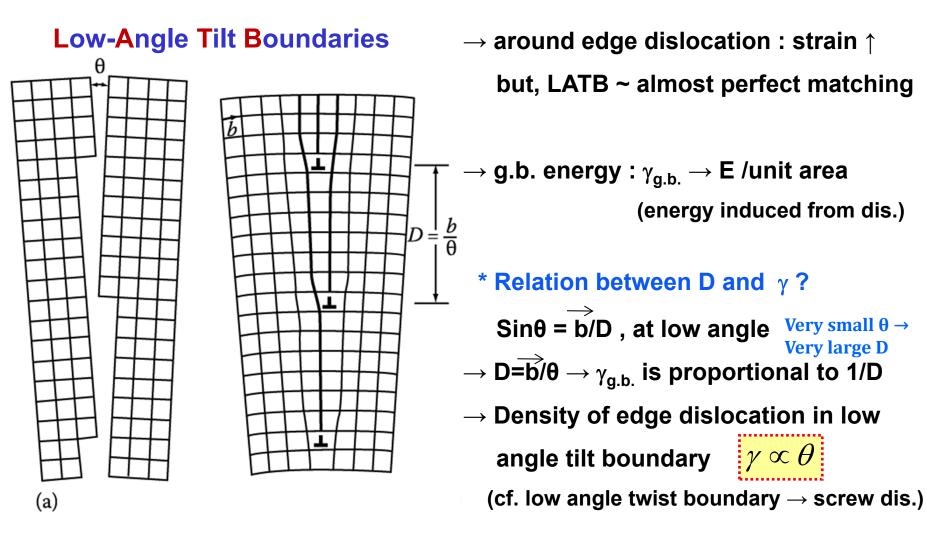
In general boundaries of a mixture of the tilt and twist type, \rightarrow several sets of different edges and screw dislocations.

3.3.1 Low-Angle and High-Angle Boundaries

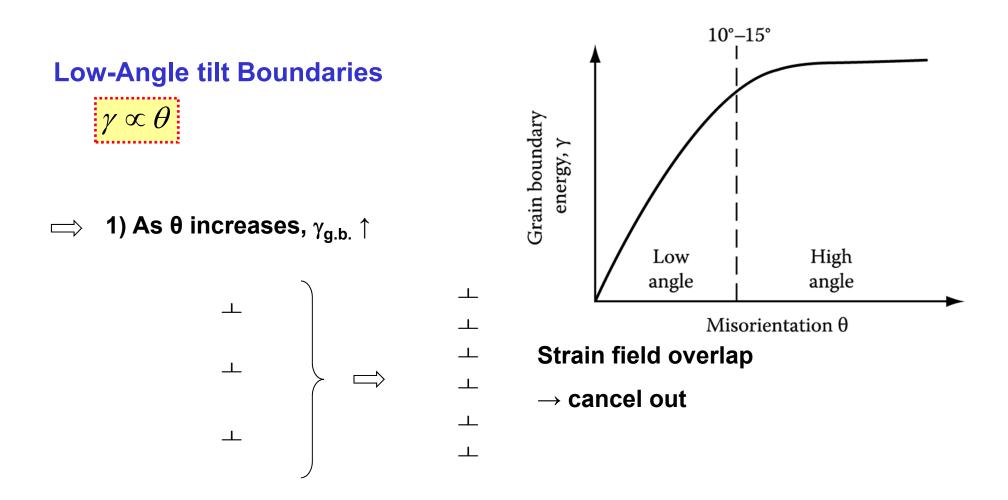


(For brevity, the distinction between internal E and free E will usually not be made from now)

3.3.1 Low-Angle and High-Angle Boundaries



Energy of LATB ~ total energy of the dislocations within unit area of boundary ~ depends on the spacing of the dislocation (D) 42

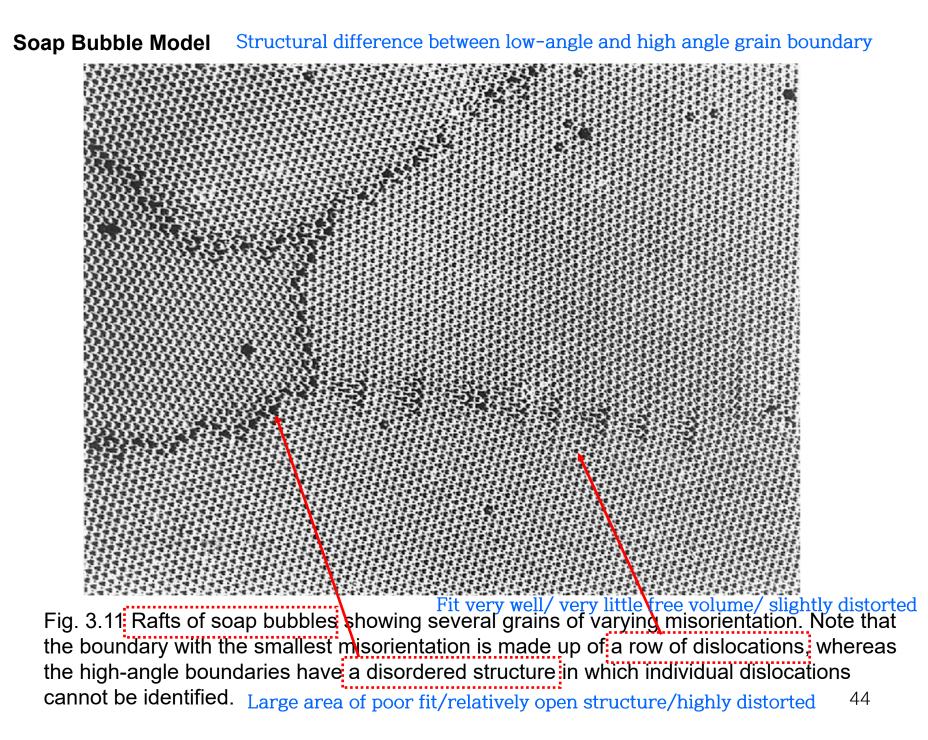


 \rightarrow 2) $\gamma_{g.b.}$ increases and the increasing rate of γ (=d γ /d θ) decreases.

 \rightarrow 3) if θ increases further, it is impossible to physically identify the individual dislocations

 \rightarrow 4) When θ > 10°-15°, increasing rate of $\gamma_{g.b.}$ ~ 0

5) When $\theta > 10^{\circ}-15^{\circ}$, Grain-boundary energy ~ almost independent of misorientation



High Angle Grain Boundary: $\theta > 10^{\circ}-15^{\circ}$

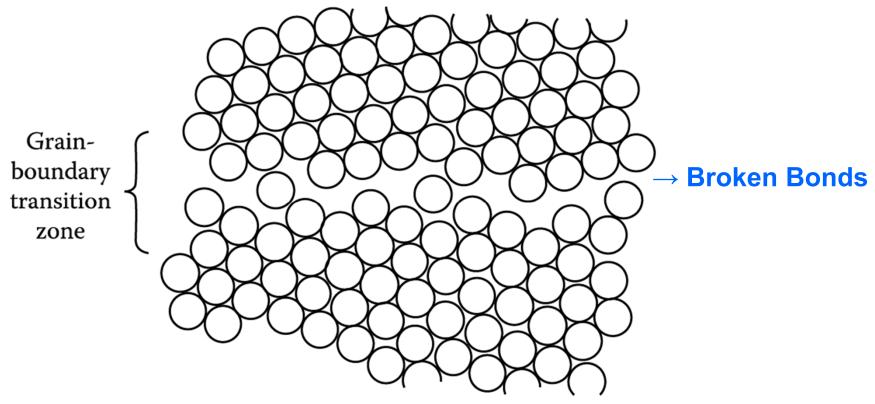


Fig. 3.10 Disordered grain boundary structure (schematic).

High angle boundaries contain large areas of poor fit and have a relatively open structure.

 \rightarrow high energy, high diffusivity, high mobility (cf. gb segregation) ₄₅

High Angle Grain Boundary

Low angle boundary

 \rightarrow almost perfect matching (except dislocation part)

High angle boundary (almost)

 \rightarrow open structure, large free volume

* low and high angle boundary

high angle $\gamma_{g.b.} \approx$ 1/3 $\gamma_{S/V.} \rightarrow$ Broken Bonds

Crystal	γ (mJ m ⁻²)	T (°C)	γ_b/γ_{sv}	
Sn	164	223	0.24	
Al	324	450	0.30	
Ag	375	950	0.33	
Au	378	1000	0.27	
Cu	625	925	0.36	
γ-Fe	756	1350	0.40	
δ-Fe	468	1450	0.23	
Pt	660	1300	0.29	
W	1080	2000	0.41	

Measured high-angle grain boundary energies

* As for $\gamma_{S/V}$, γ_b is temperature dependent decreasing somewhat with increasing temperature.

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Q: Grain boundary (α/ α interfaces) = Boundaries in Single-Phase Solids (a) Low-Angle and High-Angle Boundaries

(b) Special High-Angle Grain Boundaries

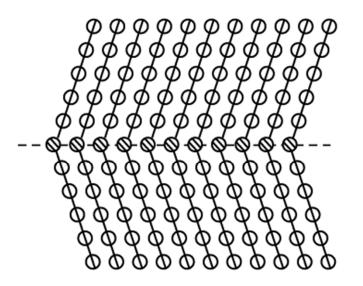
(c) Equilibrium in Polycrystalline Materials

Boundaries in Single-Phase Solids

(a) Low-Angle and High-Angle Boundaries

(b) Special High-Angle Grain Boundaries I

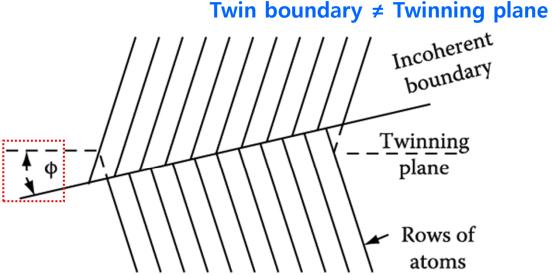
: high angle boundary but with low $\gamma_{\text{g.b.}}$



a) **Coherent twin boundary** symmetric twin boundary

 $\rightarrow low \; \gamma_{g.b.\;.}$

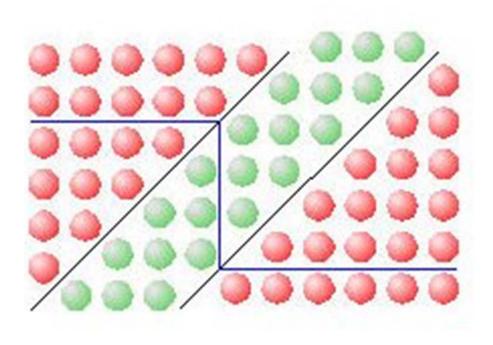
Atoms in the boundary are essentially in undistorted positions

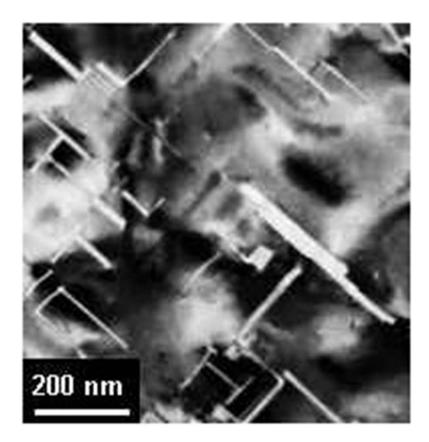


- b) Incoherent twin boundary asymmetric twin boundary
 - \rightarrow low $\gamma_{g.b.}$.

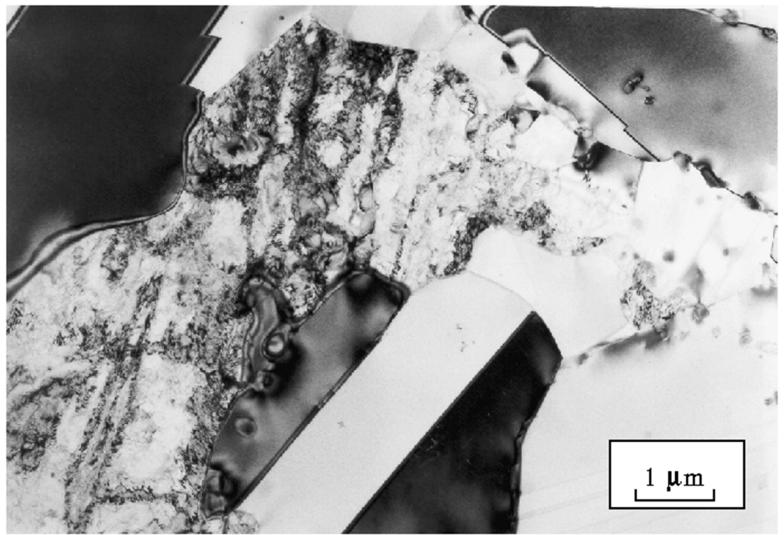
Energy of twin boundary~ very sensitive to the orientation φ of the boundary plane

Twin boundary

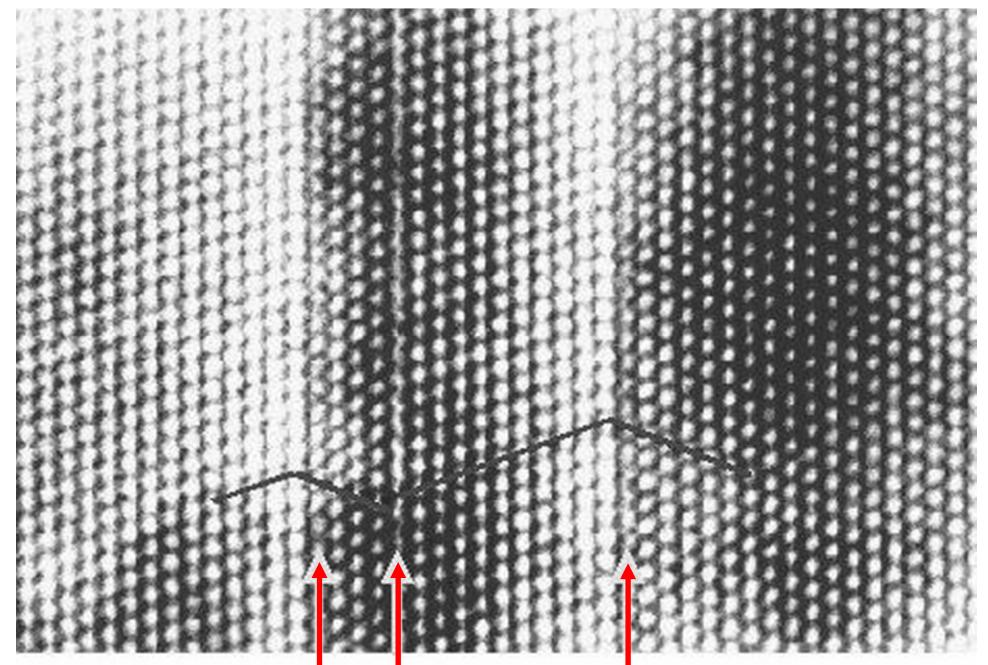




Twin boundary



Twin boundary



(b) Special High-Angle Grain Boundaries I

c) Twin boundary energy as a function of the grain boundary orientation

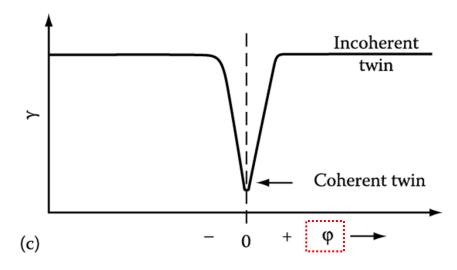


 Table 3.3 Measured Boundary Free Energies for Crystals in Twin Relationships

(Units mJ/m²)

Crystal	Coherent Twin- Boundary Energy		Incoherent Twin- Boundary Energy		Grain-Boundary Energy
Cu	21		498		623
Ag	8	<<	126	<	377
Fe-Cr-Ni (stainless steel type 304)	19		209		835

(b) Special High-Angle Grain Boundaries II

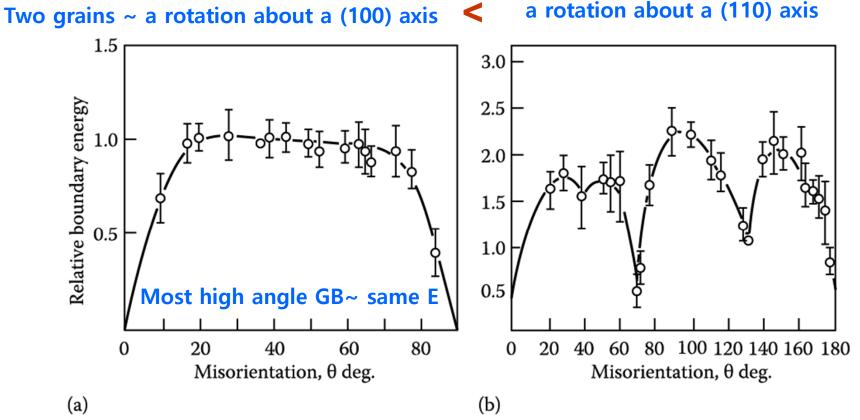


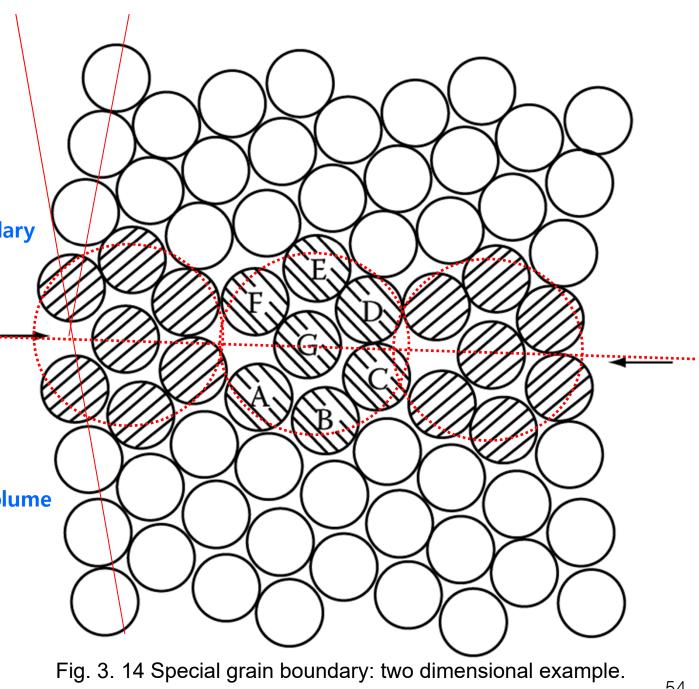
Fig. 3.13 Measured grain boundary energies for symmetric tilt boundaries in AI (a) When the rotation axis is parallel to (100), (b) when the rotation axis is parallel to (110).

Why are there cusps in Fig. 3.13 (b)?

In FCC metal, several large-angle orientations with significantly lower energies than the random boundaries. 53

 $\phi = 70.5^{\circ} \sim$ coherent twin boundary (next page), others \sim not well understood

- symmetrical tilt boundary ~38.2 °
- a small group of atoms are repeated regular intervals along the boundary. ~relatively little free volume



입계의 원자구조가 주위의 격자와 폭넓게 잘 일치 됨

Contents for previous class

Chapter 3 Crystal Interfaces and Microstructure

1) Interfacial Free Energy (γ : J/m²)

 \rightarrow The Gibbs free energy of a system containing an interface of area A

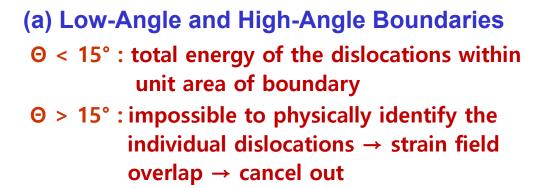
* Origin of the surface free energy (E_{sv}) ? \rightarrow Broken Bonds

2) Solid/Vapor Interfaces

$$high T_m \to high L_s \to high \gamma_{sv}$$

* γ interfacial energy = free energy (J/m²) γ - θ plot $\Rightarrow \gamma = G = H - TS$ = E + PV - TS (: PV is ignored) $\Rightarrow \gamma = E_{sv} - TS_{sv}$ (S_{sv} thermal entropy, configurational entropy) $\Rightarrow \partial \gamma / \partial T = -S$: surface energy decreases with increasing T $E_{sv} = 3 \epsilon/2 = 0.25 L_s /N_a \implies \gamma_{SV} = 0.15 L_s /N_a J / surface atom 55$ (\because surface free Es averaged over many surface plane, S effect at high T) Contents for today's class

3) Boundaries in Single-Phase Solids

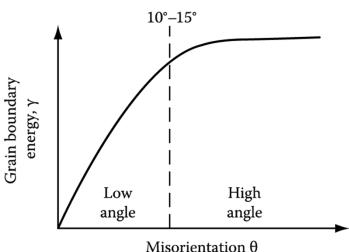


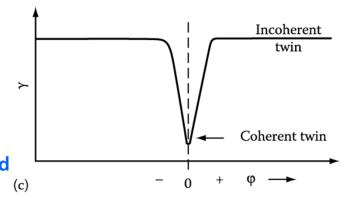
Broken Bonds \rightarrow high angle $\gamma_{g.b.} \approx 1/3 \gamma_{S/V.}$

(b) Special High-Angle Grain Boundaries

- : high angle boundary but with low $\gamma_{g.b.}$
 - → twin boundary

Atoms in the boundary are essentially in undistorted positions ~relatively little free volume





MIDTERM (3rd November, 4 PM-7 PM)

Scopes: Text ~ page 146 (~ chapter 3.3)/ Teaching notes: 1~11/ and Homeworks

Places: 33-327 (class #: 1-25) & 330 (class #: 26-50)

Good Luck!!