

# Introduction to Photonics

## The Vectorial Nature of Light (2)

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# Electromagnetic Boundary Conditions

Continuity conditions:

$$\nabla \times \mathbf{E} + \frac{\partial \mathbf{B}}{\partial t} = 0 \rightarrow \oint_C \mathbf{E} \cdot d\mathbf{l} = - \int_S \frac{\partial \mathbf{B}}{\partial t} \cdot d\mathbf{s}$$

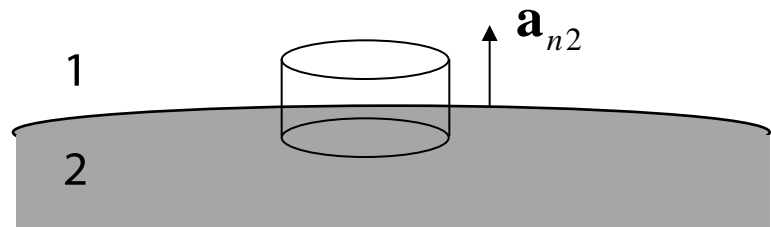
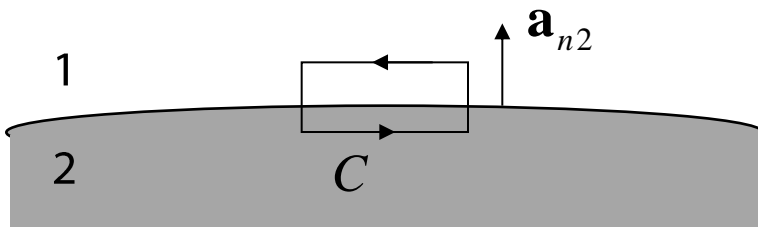
$$\rightarrow E_{1t} = E_{2t} \quad (\text{V/m})$$

$$\nabla \times \mathbf{H} - \frac{\partial \mathbf{D}}{\partial t} = \mathbf{J} \rightarrow \oint_C \mathbf{H} \cdot d\mathbf{l} = \int_S \left( \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t} \right) \cdot d\mathbf{s}$$

$$\rightarrow \mathbf{a}_{n2} \times (\mathbf{H}_1 - \mathbf{H}_2) = \mathbf{J}_s \quad (\text{A/m})$$

$$\nabla \cdot \mathbf{D} = \rho \rightarrow \mathbf{a}_{n2} \cdot (\mathbf{D}_1 - \mathbf{D}_2) = \rho_s \quad (\text{C/m}^2)$$

$$\nabla \cdot \mathbf{B} = 0 \rightarrow B_{1n} = B_{2n} \quad (\text{T})$$



# B.C. for a Plane Dielectric Interface

Lossless dielectric media:  $\sigma_1 = \sigma_2 = 0$

$$\nabla \times \mathbf{E} + \frac{\partial \mathbf{B}}{\partial t} = 0 \quad \rightarrow \quad \mathbf{a}_{n2} \times (\mathbf{E}_1 - \mathbf{E}_2) = 0$$

$$\nabla \times \mathbf{H} - \frac{\partial \mathbf{D}}{\partial t} = \mathbf{J} \quad \rightarrow \quad \mathbf{a}_{n2} \times (\mathbf{H}_1 - \mathbf{H}_2) = \mathbf{J}_s$$

$$\nabla \cdot \mathbf{D} = \rho \quad \rightarrow \quad \mathbf{a}_{n2} \cdot (\mathbf{D}_1 - \mathbf{D}_2) = \rho_s$$

$$\nabla \cdot \mathbf{B} = 0 \quad \rightarrow \quad \mathbf{a}_{n2} \cdot (\mathbf{B}_1 - \mathbf{B}_2) = 0$$



$$E_{1t} = E_{2t}$$

$$H_{1t} = H_{2t}$$

$$D_{1n} = D_{2n}$$

$$B_{1n} = B_{2n}$$

# Incidence at a Plane Dielectric Boundary

D. K. Cheng, Field and Wave Electromagnetics, 2nd ed., Addison-Wesley, 1989.

For plane waves:  $E, H \propto e^{i(\mathbf{k}\cdot\mathbf{r}-\omega t)}$   
(Isotropic media)

In medium 1 ( $z < 0$ ):

Incident wave:

$$\mathbf{E}_i = \mathbf{E}_{i0} e^{i\mathbf{k}_i \cdot \mathbf{r}} \rightarrow \mathbf{k}_i = k_1 (\mathbf{a}_x \sin \theta_i + \mathbf{a}_z \cos \theta_i) = k_1 \mathbf{a}_{ni}$$

$$\mathbf{H}_i = \mathbf{H}_{i0} e^{i\mathbf{k}_i \cdot \mathbf{r}} = \frac{1}{\eta_1} \mathbf{a}_{ni} \times \mathbf{E}_{i0} e^{i\mathbf{k}_i \cdot \mathbf{r}}$$

Reflected wave:

$$\mathbf{E}_r = \mathbf{E}_{r0} e^{i\mathbf{k}_r \cdot \mathbf{r}} \rightarrow \mathbf{k}_r = k_1 (\mathbf{a}_x \sin \theta_r - \mathbf{a}_z \cos \theta_r) = k_1 \mathbf{a}_{nr}$$

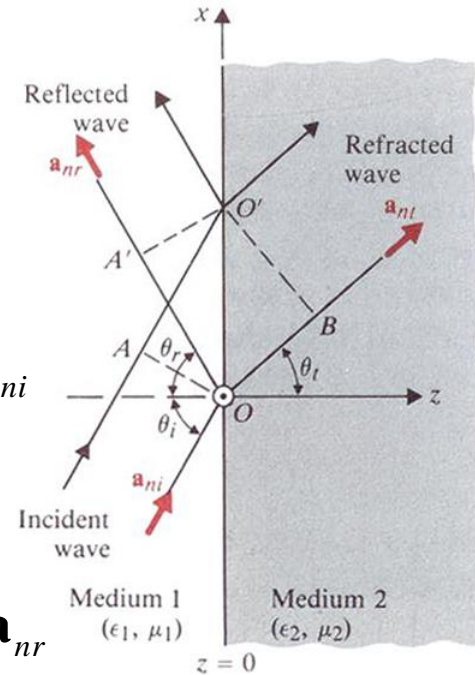
$$\mathbf{H}_r = \mathbf{H}_{r0} e^{i\mathbf{k}_r \cdot \mathbf{r}} = \frac{1}{\eta_1} \mathbf{a}_{nr} \times \mathbf{E}_{r0} e^{i\mathbf{k}_r \cdot \mathbf{r}}$$

In medium 2 ( $z > 0$ ):

Transmitted wave:

$$\mathbf{E}_t = \mathbf{E}_{t0} e^{i\mathbf{k}_t \cdot \mathbf{r}} \rightarrow \mathbf{k}_t = k_2 (\mathbf{a}_x \sin \theta_t + \mathbf{a}_z \cos \theta_t) = k_2 \mathbf{a}_{nt}$$

$$\mathbf{H}_t = \mathbf{H}_{t0} e^{i\mathbf{k}_t \cdot \mathbf{r}} = \frac{1}{\eta_2} \mathbf{a}_{nt} \times \mathbf{E}_{t0} e^{i\mathbf{k}_t \cdot \mathbf{r}}$$



# Snell's Law & Total Internal Reflection

Boundary conditions at  $z = 0$ :  $\mathbf{E}_{1t} = \mathbf{E}_{2t}$ ,  $\mathbf{H}_{1t} = \mathbf{H}_{2t}$

$$\mathbf{E}_{i0t} e^{ik_1 \sin \theta_i x} + \mathbf{E}_{r0t} e^{ik_1 \sin \theta_r x} = \mathbf{E}_{t0t} e^{ik_2 \sin \theta_t x}$$

$$\mathbf{H}_{i0t} e^{ik_1 \sin \theta_i x} + \mathbf{H}_{r0t} e^{ik_1 \sin \theta_r x} = \mathbf{H}_{t0t} e^{ik_2 \sin \theta_t x}$$

To hold for any  $x$  values:

- $\rightarrow e^{ik_1 \sin \theta_i x} = e^{ik_1 \sin \theta_r x} = e^{ik_2 \sin \theta_t x}$
- $\rightarrow k_1 \sin \theta_i = k_1 \sin \theta_r = k_2 \sin \theta_t$
- $\rightarrow \theta_i = \theta_r \rightarrow$  Snell's law of reflection
- $\rightarrow n_1 \sin \theta_i = n_2 \sin \theta_t \rightarrow$  Snell's law of refraction

For  $n_1 > n_2$ :

$$\sin \theta_t = \frac{n_1}{n_2} \sin \theta_i \rightarrow \sin \theta_t > 1 \text{ if } \theta_i > \theta_c \rightarrow \theta_c = \sin^{-1} \left( \frac{n_2}{n_1} \right)$$

$\nearrow$  Critical angle

$\rightarrow$  Total internal reflection

Recall:  $\mathbf{k}_t = k_2 (\mathbf{a}_x \sin \theta_t + \mathbf{a}_z \cos \theta_t)$

$$\rightarrow \cos \theta_t = \sqrt{1 - \sin^2 \theta_t} \rightarrow i \sqrt{\sin^2 \theta_t - 1} \rightarrow \text{Evanescent wave in } z\text{-axis}$$

# Continuity of E-and H-Fields

Boundary conditions at  $z = 0$ :  $\mathbf{E}_{1t} = \mathbf{E}_{2t}$ ,  $\mathbf{H}_{1t} = \mathbf{H}_{2t}$

$$\mathbf{E}_{i0t} e^{ik_1 \sin \theta_i x} + \mathbf{E}_{r0t} e^{ik_1 \sin \theta_r x} = \mathbf{E}_{t0t} e^{ik_2 \sin \theta_t x} \quad \rightarrow \quad \mathbf{E}_{i0t} + \mathbf{E}_{r0t} = \mathbf{E}_{t0t}$$

$$\mathbf{H}_{i0t} e^{ik_1 \sin \theta_i x} + \mathbf{H}_{r0t} e^{ik_1 \sin \theta_r x} = \mathbf{H}_{t0t} e^{ik_2 \sin \theta_t x} \quad \rightarrow \quad \mathbf{H}_{i0t} + \mathbf{H}_{r0t} = \mathbf{H}_{t0t}$$

Consider two orthogonal polarisations:  $\perp$  &  $\parallel$

$$\mathbf{E}_{i0t} + \mathbf{E}_{r0t} = \mathbf{E}_{t0t} \quad \rightarrow \quad \mathbf{E}_{i0t}^{\perp} + \mathbf{E}_{i0t}^{\parallel} + \mathbf{E}_{r0t}^{\perp} + \mathbf{E}_{r0t}^{\parallel} = \mathbf{E}_{t0t}^{\perp} + \mathbf{E}_{t0t}^{\parallel}$$

$$\mathbf{H}_{i0t} + \mathbf{H}_{r0t} = \mathbf{H}_{t0t} \quad \rightarrow \quad \mathbf{H}_{i0t}^{\perp} + \mathbf{H}_{i0t}^{\parallel} + \mathbf{H}_{r0t}^{\perp} + \mathbf{H}_{r0t}^{\parallel} = \mathbf{H}_{t0t}^{\perp} + \mathbf{H}_{t0t}^{\parallel}$$

Perpendicular polarisation (TE)

$$E_{i0t}^{\perp} + E_{r0t}^{\perp} = E_{t0t}^{\perp}$$

$$H_{i0t}^{\perp} + H_{r0t}^{\perp} = H_{t0t}^{\perp}$$

Parallel polarisation (TM)

$$E_{i0t}^{\parallel} + E_{r0t}^{\parallel} = E_{t0t}^{\parallel}$$

$$H_{i0t}^{\parallel} + H_{r0t}^{\parallel} = H_{t0t}^{\parallel}$$

# Perpendicular Polarization: TE (1)

D. K. Cheng, Field and Wave Electromagnetics, 2nd ed., Addison-Wesley, 1989.

## Perpendicular polarisation (TE)

$$E_{i0t}^\perp + E_{r0t}^\perp = E_{t0t}^\perp$$

$$H_{i0t}^\perp + H_{r0t}^\perp = H_{t0t}^\perp$$

$$\mathbf{E}_{i0}^\perp = \mathbf{a}_y E_{i0}^\perp$$

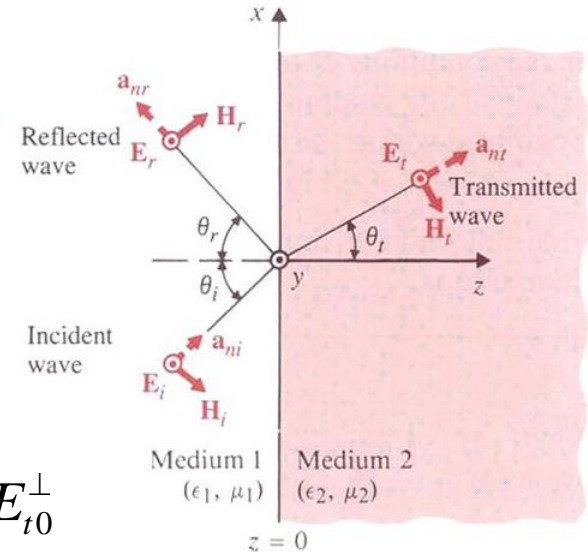
$$\begin{aligned} \mathbf{H}_{i0}^\perp &= \frac{1}{\eta_1} \mathbf{a}_{ni} \times \mathbf{E}_{i0}^\perp \\ &= \frac{E_{i0}^\perp}{\eta_1} (-\mathbf{a}_x \cos \theta_i + \mathbf{a}_z \sin \theta_i) \end{aligned}$$

$$\mathbf{E}_{r0}^\perp = \mathbf{a}_y E_{r0}^\perp$$

$$\begin{aligned} \mathbf{H}_{r0}^\perp &= \frac{1}{\eta_1} \mathbf{a}_{nr} \times \mathbf{E}_{r0}^\perp \\ &= \frac{E_{r0}^\perp}{\eta_1} (\mathbf{a}_x \cos \theta_r + \mathbf{a}_z \sin \theta_r) \end{aligned}$$

$$\mathbf{E}_{t0}^\perp = \mathbf{a}_y E_{t0}^\perp$$

$$\begin{aligned} \mathbf{H}_{t0}^\perp &= \frac{1}{\eta_2} \mathbf{a}_{nt} \times \mathbf{E}_{t0}^\perp \\ &= \frac{E_{t0}^\perp}{\eta_2} (-\mathbf{a}_x \cos \theta_t + \mathbf{a}_z \sin \theta_t) \end{aligned}$$



$$E_{i0}^\perp + E_{r0}^\perp = E_{t0}^\perp$$

$$\frac{1}{\eta_1} (E_{i0}^\perp - E_{r0}^\perp) \cos \theta_i = \frac{1}{\eta_2} E_{t0}^\perp \cos \theta_t$$

# Perpendicular Polarization: TE (2)

$$E_{i0}^{\perp} + E_{r0}^{\perp} = E_{t0}^{\perp}$$

$$\frac{1}{\eta_1} (E_{i0}^{\perp} - E_{r0}^{\perp}) \cos \theta_i = \frac{1}{\eta_2} E_{t0}^{\perp} \cos \theta_t$$

$$r_s = \frac{E_{r0}^{\perp}}{E_{i0}^{\perp}} = \frac{\eta_2 \cos \theta_i - \eta_1 \cos \theta_t}{\eta_2 \cos \theta_i + \eta_1 \cos \theta_t}$$

$$t_s = \frac{E_{t0}^{\perp}}{E_{i0}^{\perp}} = \frac{2\eta_2 \cos \theta_i}{\eta_2 \cos \theta_i + \eta_1 \cos \theta_t}$$

→ Fresnel's equations for S-pol.

$$\text{Note: } \eta_2 \cos \theta_i - \eta_1 \cos \theta_t = 0 \quad \rightarrow \quad r_s = 0$$

→ Brewster angle



# Brewster Angle for S-Pol (TE) Waves

Condition for  $r_s = 0$ :

$$\eta_2 \cos \theta_{B\perp} = \eta_1 \cos \theta_t$$

$$\rightarrow \cos^2 \theta_{B\perp} = \frac{\eta_1^2}{\eta_2^2} \cos^2 \theta_t \rightarrow 1 - \sin^2 \theta_{B\perp} = \frac{\eta_1^2}{\eta_2^2} \left(1 - \frac{n_1^2}{n_2^2} \sin^2 \theta_{B\perp}\right)$$

$$\rightarrow 1 - \frac{\eta_1^2}{\eta_2^2} = \left(1 - \frac{\eta_1^2}{\eta_2^2} \frac{n_1^2}{n_2^2}\right) \sin^2 \theta_{B\perp}$$

$$\rightarrow \sin^2 \theta_{B\perp} = \frac{1 - \eta_1^2 / \eta_2^2}{1 - \eta_1^2 n_1^2 / \eta_2^2 n_2^2} = \frac{1 - \frac{\mu_1 \varepsilon_2}{\varepsilon_1 \mu_2}}{1 - \frac{\mu_1 \varepsilon_2}{\varepsilon_1 \mu_2} \frac{\mu_1 \varepsilon_1}{\mu_2 \varepsilon_2}} = \frac{1 - \mu_1 \varepsilon_2 / \mu_2 \varepsilon_1}{1 - (\mu_1 / \mu_2)^2}$$

No Brewster angle  
for  $\mu_1 = \mu_2$

or non-magnetic media!

In case:  $\varepsilon_1 = \varepsilon_2, \mu_1 \neq \mu_2$

$$\rightarrow \sin \theta_{B\perp} = \frac{1}{\sqrt{1 + \mu_1 / \mu_2}} \quad \rightarrow \tan \theta_{B\perp} = \sqrt{\frac{\mu_2}{\mu_1}} = \frac{n_2}{n_1}$$

$$\rightarrow \theta_i + \theta_t = \frac{\pi}{2}$$

# Parallel Polarization: TM (1)

Parallel polarisation (TM)

$$E_{i0t}'' + E_{r0t}'' = E_{t0t}''$$

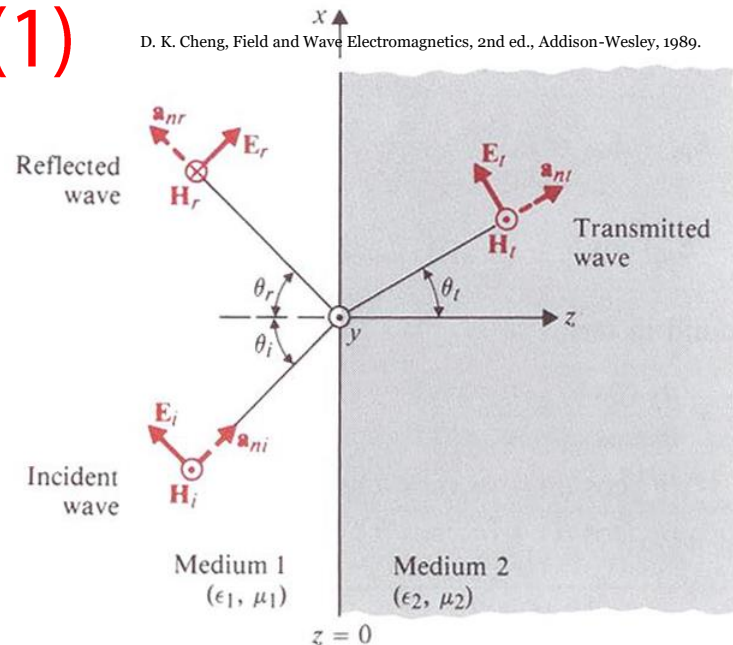
$$H_{i0t}'' + H_{r0t}'' = H_{t0t}''$$

$$\mathbf{E}_{i0}'' = E_{i0}'' (\mathbf{a}_x \cos \theta_i - \mathbf{a}_z \sin \theta_i)$$

$$\mathbf{H}_{i0}'' = \mathbf{a}_y \frac{1}{\eta_1} E_{i0}''$$

$$\mathbf{E}_{r0}'' = E_{r0}'' (\mathbf{a}_x \cos \theta_r + \mathbf{a}_z \sin \theta_r)$$

$$\mathbf{H}_{r0}'' = -\mathbf{a}_y \frac{1}{\eta_1} E_{r0}''$$



$$\mathbf{E}_{t0}'' = E_{t0}'' (\mathbf{a}_x \cos \theta_t - \mathbf{a}_z \sin \theta_t)$$

$$\mathbf{H}_{t0}'' = \mathbf{a}_y \frac{1}{\eta_2} E_{t0}''$$

$$(E_{i0}'' + E_{r0}'') \cos \theta_i = E_{t0}'' \cos \theta_t$$

$$\frac{1}{\eta_1} (E_{i0}'' - E_{r0}'') = \frac{1}{\eta_2} E_{t0}''$$

## Parallel Polarization: TM (2)

$$\begin{aligned}(E_{i0}'' + E_{r0}'') \cos \theta_i &= E_{t0}'' \cos \theta_t \\ \frac{1}{\eta_1} (E_{i0}'' - E_{r0}'') &= \frac{1}{\eta_2} E_{t0}''\end{aligned}$$

$$r_p = \frac{E_{r0}''}{E_{i0}''} = \frac{\eta_2 \cos \theta_t - \eta_1 \cos \theta_i}{\eta_2 \cos \theta_t + \eta_1 \cos \theta_i}$$

$$t_p = \frac{E_{t0}''}{E_{i0}''} = \frac{2\eta_2 \cos \theta_i}{\eta_2 \cos \theta_t + \eta_1 \cos \theta_i}$$

→ Fresnel's equations for P-pol.

$$\text{Note: } \eta_2 \cos \theta_t - \eta_1 \cos \theta_i = 0 \quad \rightarrow r_p = 0$$

→ Brewster angle

# Brewster Angle for P-Pol (TM) Waves

Condition for  $r_p = 0$ :

$$\eta_2 \cos \theta_t = \eta_1 \cos \theta_{B//}$$

$$\rightarrow \cos^2 \theta_{B//} = \frac{\eta_2^2}{\eta_1^2} \cos^2 \theta_t \quad \rightarrow 1 - \sin^2 \theta_{B//} = \frac{\eta_2^2}{\eta_1^2} \left(1 - \frac{n_1^2}{n_2^2} \sin^2 \theta_{B//}\right)$$

$$\rightarrow 1 - \frac{\eta_2^2}{\eta_1^2} = \left(1 - \frac{\eta_2^2}{\eta_1^2} \frac{n_1^2}{n_2^2}\right) \sin^2 \theta_{B//}$$

$$\rightarrow \sin^2 \theta_{B//} = \frac{1 - \eta_2^2 / \eta_1^2}{1 - \eta_2^2 n_1^2 / \eta_1^2 n_2^2} = \frac{1 - \frac{\mu_2}{\epsilon_2} \frac{\epsilon_1}{\mu_1}}{1 - \frac{\mu_2}{\epsilon_2} \frac{\epsilon_1}{\mu_1} \frac{\mu_1 \epsilon_1}{\mu_2 \epsilon_2}} = \frac{1 - \mu_2 \epsilon_1 / \mu_1 \epsilon_2}{1 - (\epsilon_1 / \epsilon_2)^2}$$

No Brewster angle  
for  $\epsilon_1 = \epsilon_2$

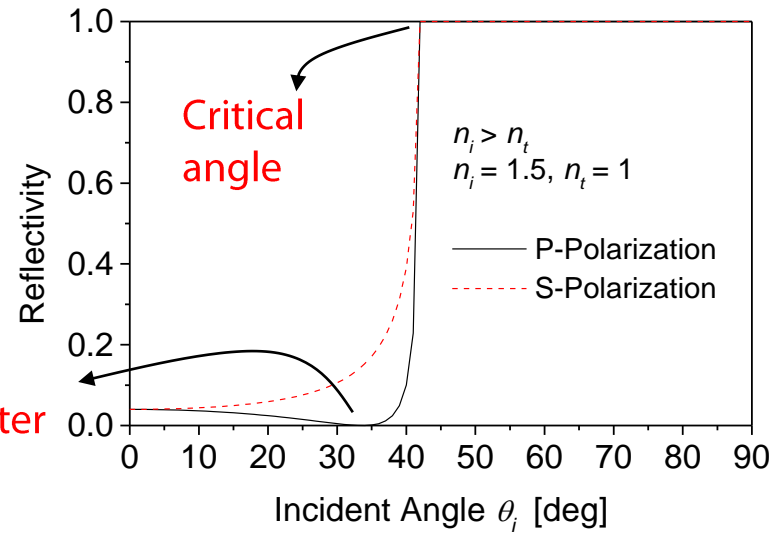
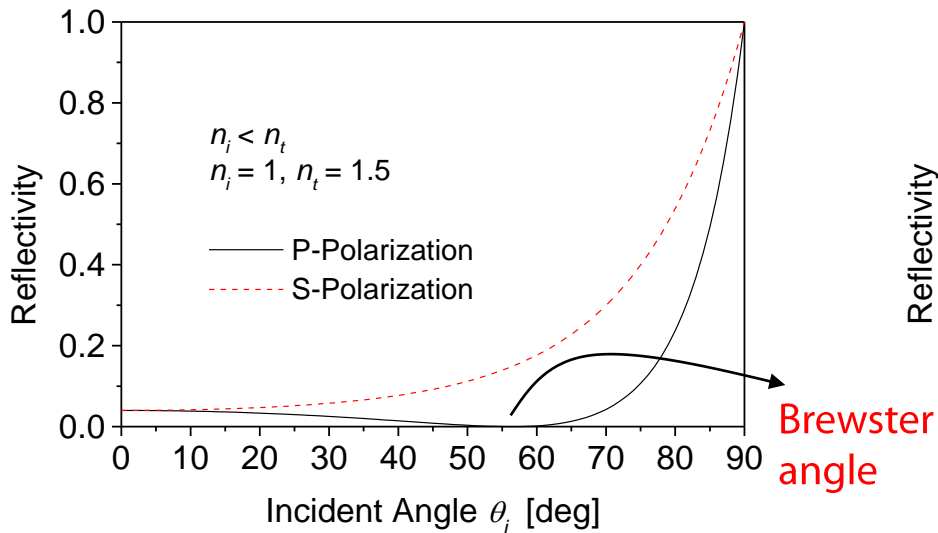
In case:  $\epsilon_1 \neq \epsilon_2, \mu_1 = \mu_2 = \mu_0$

$$\rightarrow \sin \theta_{B//} = \frac{1}{\sqrt{1 + \epsilon_1 / \epsilon_2}} \quad \rightarrow \tan \theta_{B//} = \sqrt{\frac{\epsilon_2}{\epsilon_1}} = \frac{n_2}{n_1}$$

$$\rightarrow \theta_i + \theta_t = \frac{\pi}{2}$$

# Brewster Angle & Critical Angle

Numerical examples:



Brewster Angle (Non-magnetic):

For P-polarization

$$\theta_B = \tan^{-1}\left(\frac{n_t}{n_i}\right)$$

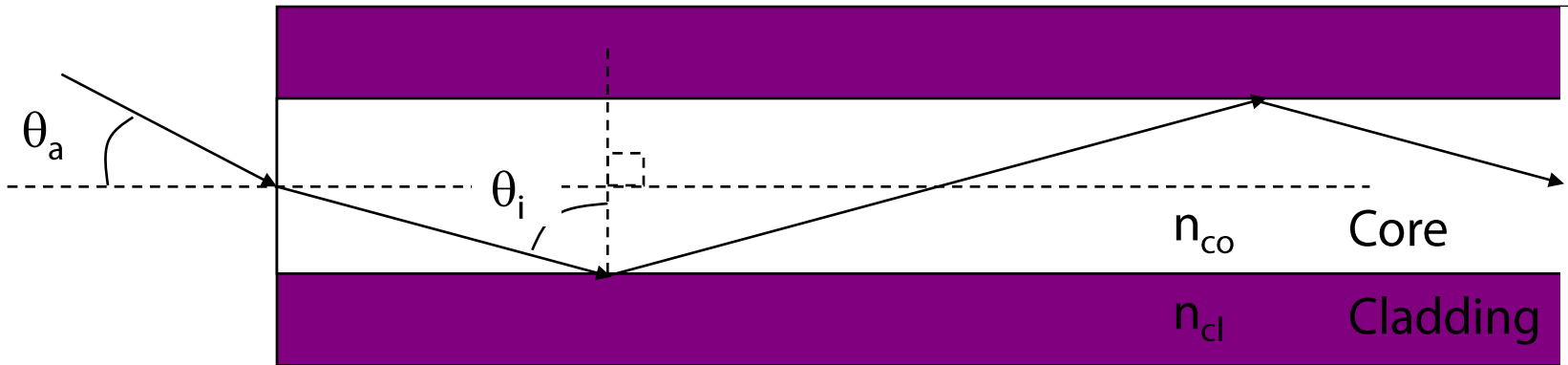
$$\rightarrow \theta_i + \theta_t = \frac{\pi}{2}$$

Critical Angle: Total internal reflection

$$\theta_c = \sin^{-1}\left(\frac{n_t}{n_i}\right)$$

$$\rightarrow n_i > n_t, \theta_t = \frac{\pi}{2}$$

# Optical Waveguides



Total internal reflection (TIR):

$$\theta_i > \theta_c = \sin^{-1}\left(\frac{n_{cl}}{n_{co}}\right)$$

Numerical aperture:

$$NA = n_o \sin \theta_a \approx \theta_a = \sqrt{n_{co}^2 - n_{cl}^2}$$

Phase changes in TIR:

H.W.#3: Derive eqs. (2.70) & (2.71).