

# X-ray Diffraction (XRD)

## Q's

- Why do we (have to) use XRD?
- What is XRD? What is X-ray diffractometer?
- How to collect raw data of XRD?
- What kind of information can we get from XRD pattern?
- What kind of information can we get from  $\theta$ - $2\theta$  XRD pattern? How?
- How can we get more accurate/precise results?

## Elemental analysis vs. Phase analysis

### ➤ Elemental analysis

#### ✓ Optical spectroscopy

- Probes the outer electronic structure of atoms
  - Optical emission (e.g. ICP OES\*)
  - Atomic absorption spectrometry (AAS)

#### ✓ X-ray fluorescence spectroscopy (XRF)

- Probes the inner electronic structure of atoms

### ➤ Phase analysis

#### ✓ X-ray diffraction (XRD)

- Qualitative analysis
- Quantitative analysis

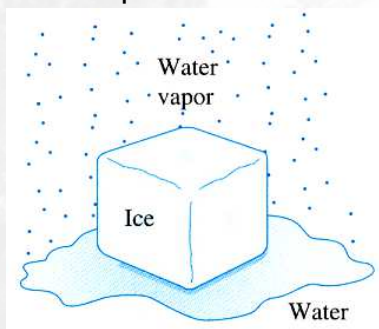
- Qualitative elemental analysis
- Quantitative elemental analysis
- Qualitative phase analysis
- Quantitative phase analysis

\* inductively coupled plasma  
optical emission spectrometry

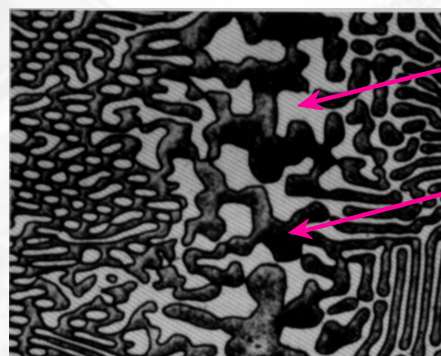
## Phase

- a region of material that is chemically uniform, physically distinct, and (often) mechanically separable
- a chemically and structurally homogeneous region of material
- a physically and chemically distinct material region

1 component  
3 phases



Aluminum-Copper Alloy

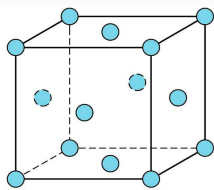


β (lighter phase)

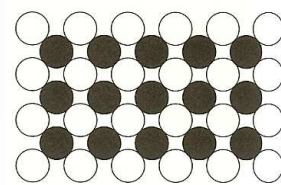
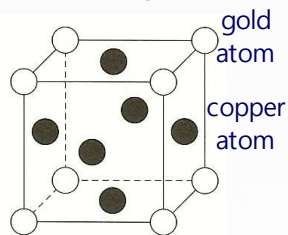
α (darker phase)

## Examples

### Crystal structure of Au & Cu



### Crystal structure of AuCu<sub>3</sub>



Portable XRF

Au, Cu

Au 30%, Cu 70%

Au, Cu

Au, AuCu<sub>3</sub>

Cu, AuCu<sub>3</sub>

Au, Cu, AuCu<sub>3</sub>

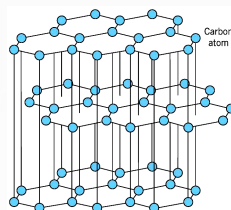
↑  
X-Ray Diffraction (XRD)

## Structure of carbon

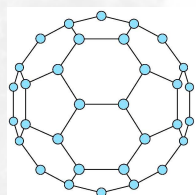
### ➤ Diamond (Si, Ge)



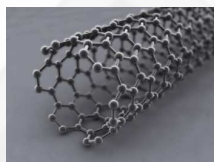
### ➤ Graphite (BN)



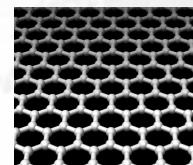
### ➤ Fullerene (buckyball)



### ➤ Carbon Nanotube



### ➤ Graphene

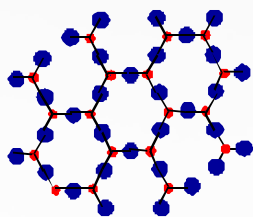


elemental analysis → carbon

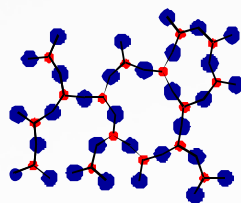
phase analysis → diamond, graphite, CNT, graphene

## Structure of SiO<sub>2</sub>

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crystalline SiO<sub>2</sub>  
quartz



noncrystalline SiO<sub>2</sub>  
fused silica



elemental analysis → Si & O

phase analysis → quartz, fused silica

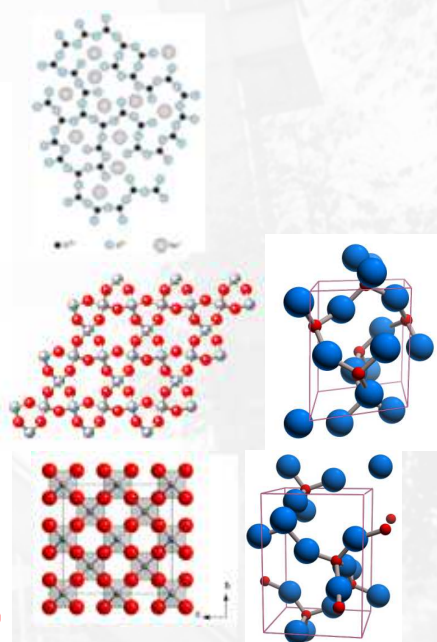
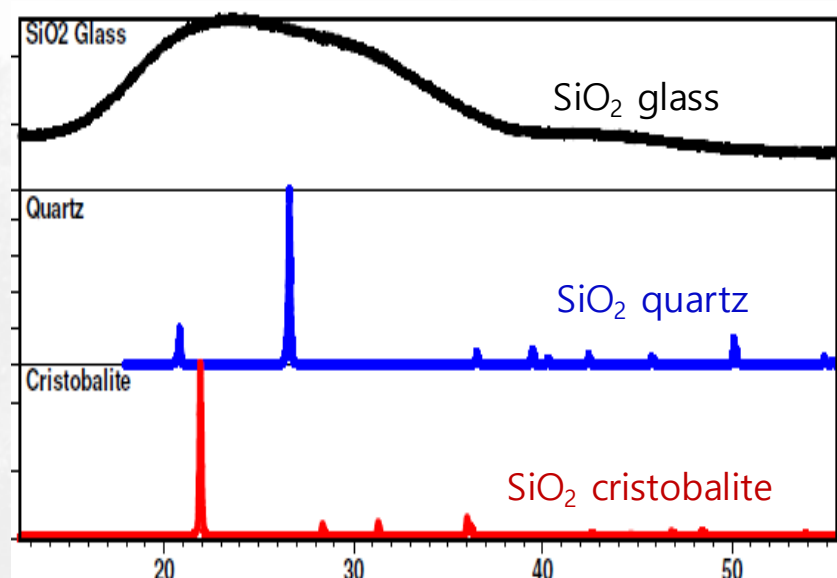
[concentricusllc.com/event/crystalmining/2019-10-12/](http://concentricusllc.com/event/crystalmining/2019-10-12/)

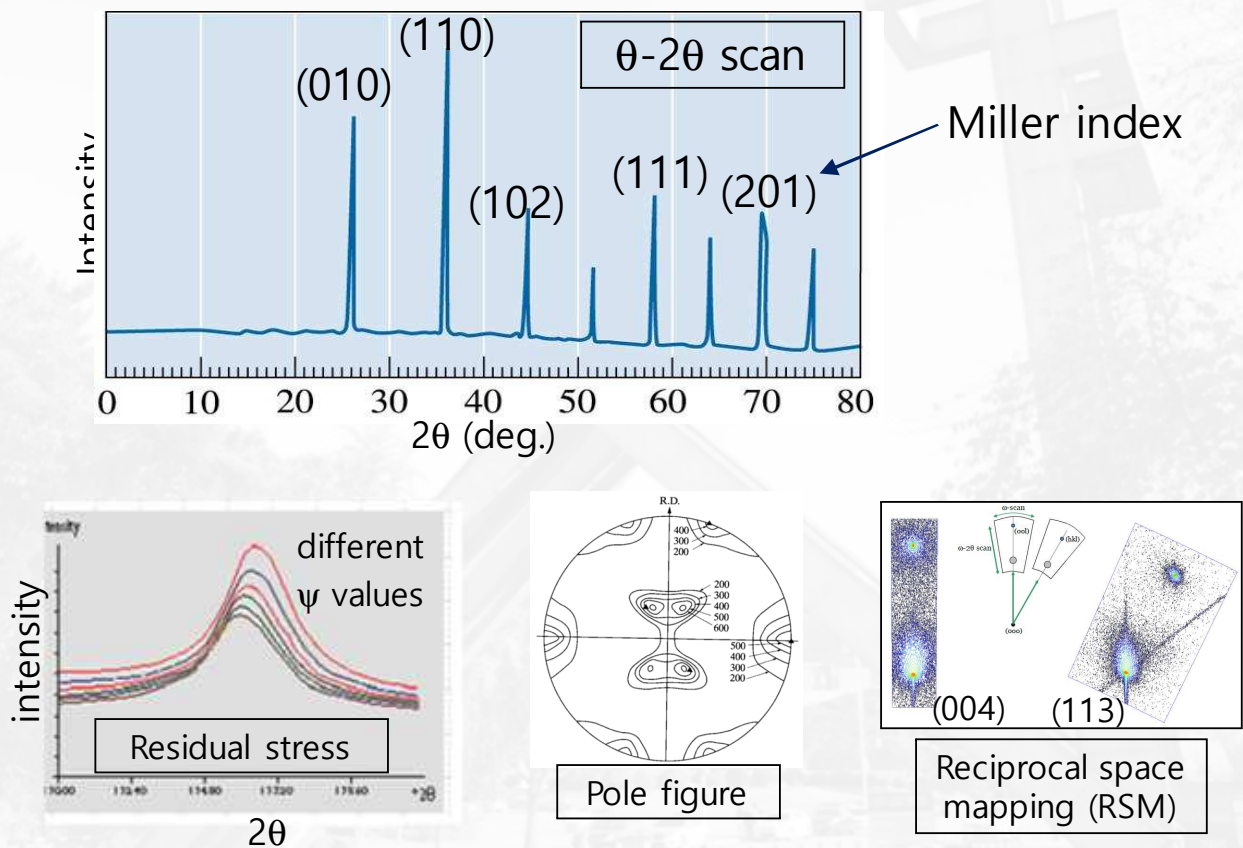
[www.corning.com/kr/ko/products/advanced-optics/product-materials/semiconductor-laser-optic-components/high-purity-fused-silica.html](http://www.corning.com/kr/ko/products/advanced-optics/product-materials/semiconductor-laser-optic-components/high-purity-fused-silica.html)

## XRD Pattern vs Crystal Structure

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crystalline vs. non-crystalline  
(amorphous)

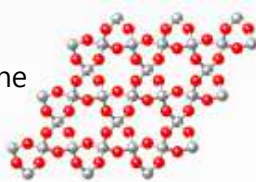




## Crystal, Unit Cell, Crystal System, Lattice Parameter

- Crystal ; long-range, 3-dimensional, orderly periodic arrangements of atoms

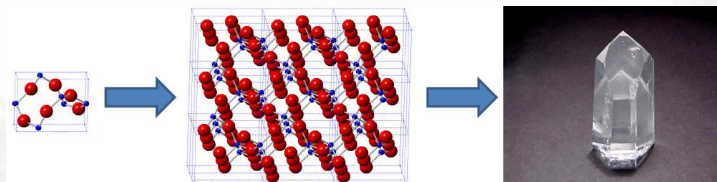
Crystalline solid



Non-crystalline solid

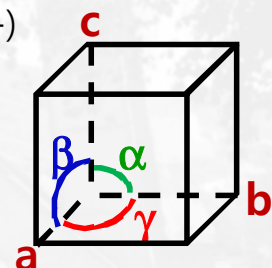


- Unit cell ; basic repeating unit that defines the crystal structure



- **shape** of unit cell ← crystal system (cubic, tetragonal, ---)

- **size** of unit cell ← lattice parameter ( $a, b, c, \alpha, \beta, \gamma$ )



# Shape of Unit Cell ← 7 Crystal Systems

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Crystal System	Axis System
Cubic	$a=b=c, \alpha=\beta=\gamma=90^\circ$
Tetragonal	$a=b \neq c, \alpha=\beta=\gamma=90^\circ$
Orthorhombic	$a \neq b \neq c, \alpha=\beta=\gamma=90^\circ$
Hexagonal	$a=b \neq c, \alpha=\beta=90^\circ, \gamma=120^\circ$
Rhombohedral	$a=b=c, \alpha=\beta=\gamma \neq 90^\circ$
Monoclinic	$a \neq b \neq c, \alpha=\gamma=90^\circ, \beta \neq 90^\circ$
Triclinic	$a \neq b \neq c, \alpha \neq \beta \neq \gamma \neq 90^\circ$

Diagrams illustrating the shapes of the 7 crystal systems: Cubic, Tetragonal, Orthorhombic, Hexagonal, Rhombohedral, Monoclinic, and Triclinic. Each diagram shows the relative lengths of the axes (a, b, c) and the angles between them ( $\alpha, \beta, \gamma$ ).

Chan Park, MSE-SNU Intro to Crystallography, 2021

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## Crystal Structure of "cubic $\text{ZrO}_2$ "

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➤ Space Group  $Fm\bar{3}m$  (225)

→ cubic

➤ Lattice Parameter  $a=5.11\text{\AA}$

Atom	Wyckoff Site	x	y	z	$B_{\text{iso}}$	occupancy
Zr	4a	0	0	0	1.14	1
O	8c	0.25	0.25	0.25	2.4	1

International Tables for Crystallography,  
Volume A: Space-group symmetry

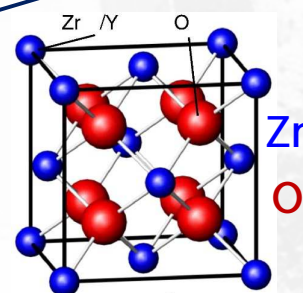
$Fm\bar{3}m$	$O_h^5$	$m\bar{3}m$
No. 225	$F4/m\bar{3}2/m$	Patterson symmetry
<b>Positions</b>		
Multiplicity, Wyckoff letter, Site symmetry	Coordinates	
	$(0,0,0)+$	$(0,\frac{1}{2},\frac{1}{2})+ \quad (\frac{1}{2},0,\frac{1}{2})+ \quad (\frac{1}{2},\frac{1}{2},0)+$
192 $l$ 1	(1) $x,y,z$	(2) $\bar{x},\bar{y},z$ (3) $\bar{x},y,\bar{z}$ (4) $x,\bar{y},\bar{z}$
48 $h$ $m\bar{3}m$ 2	$0,y,y$ $0,\bar{y},y$ $0,y,\bar{y}$ $0,\bar{y},\bar{y}$ $y,0,y$ $y,0,\bar{y}$ $\bar{y},0,y$ $\bar{y},0,\bar{y}$	
48 $g$ $2.m\bar{m}$	$x,\frac{1}{2},\frac{1}{2}$ $\bar{x},\frac{1}{2},\frac{1}{2}$ $\frac{1}{2},x,\frac{1}{2}$ $\frac{1}{2},\bar{x},\frac{1}{2}$ $\frac{1}{2},\frac{1}{2},x$ $\frac{1}{2},\frac{1}{2},\bar{x}$ $\frac{1}{2},\frac{1}{2},\bar{x}$ $\frac{1}{2},\frac{1}{2},x$	
32 $f$ $\bar{3}m$	$x,x,x$ $\bar{x},\bar{x},x$ $\bar{x},x,\bar{x}$ $x,\bar{x},\bar{x}$ $x,\bar{x},x$ $\bar{x},x,\bar{x}$ $\bar{x},x,\bar{x}$ $x,\bar{x},x$	
24 $e$ $4m\bar{m}$	$x,0,0$ $\bar{x},0,0$ $0,x,0$ $0,\bar{x},0$ $0,0,x$ $0,0,\bar{x}$	
24 $d$ $m\bar{m}m$	$0,\frac{1}{2},\frac{1}{2}$ $0,\frac{1}{2},\frac{1}{2}$ $\frac{1}{2},0,\frac{1}{2}$ $\frac{1}{2},0,\frac{1}{2}$ $\frac{1}{2},\frac{1}{2},0$ $\frac{1}{2},\frac{1}{2},0$	
8 $c$ $\bar{4}3m$	$\frac{1}{2},\frac{1}{2},\frac{1}{2}$ $\frac{1}{2},\frac{1}{2},\frac{1}{2}$	
4 $b$ $m\bar{3}m$	$\frac{1}{2},\frac{1}{2},\frac{1}{2}$	
4 $a$ $m\bar{3}m$	$0,0,0$	

Temperature factor

$$B_{\text{iso}} \quad U_{\text{iso}} \quad B_{ij} \quad U_{ij} \quad \beta_{ij}$$

$$f = f_0 \exp \left[ -\frac{B \sin^2 \theta}{\lambda^2} \right]$$

$$B = 8\pi^2 U^2$$



- Site occupancy = 1; every equivalent position of that site is occupied by that atom
- Site occupancy < 1; some of the sites are vacant
  - Site occupancy = 0.5; half of that site is occupied by the atom
- two atoms occupying the same site will each have a fractional site occupancy

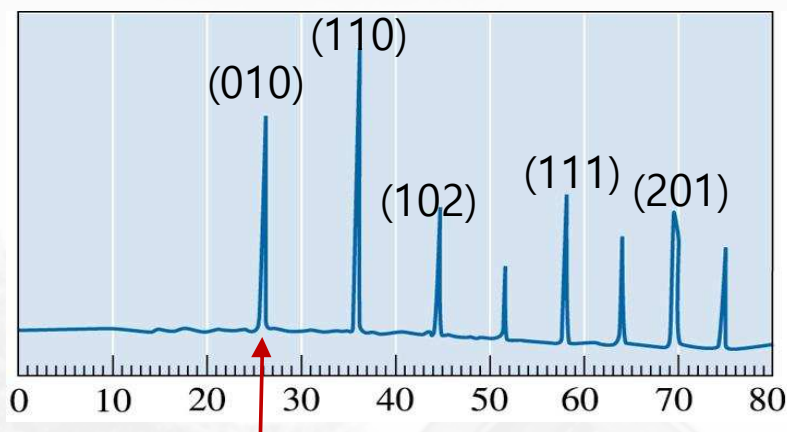
## Fake diamond

- Cubic zirconia ( $\text{ZrO}_2$ ) - 8.5 on Mohs scale
- Moissanite ( $\text{SiC}$ ) - 9.5 on Mohs scale, one of the best substitutes for diamond
- White sapphires ( $\text{Al}_2\text{O}_3$ ) - 9 on Mohs scale
- Rutile ( $\text{TiO}_2$ ) - 6 on Mohs scale
- White spinels - 8 on Mohs scale
- YAG (yttrium aluminium garnet), GGG (gadolinium gallium garnet) - 8 on Mohs scale
- Glass

Handheld XRF  
X-ray fluorescence



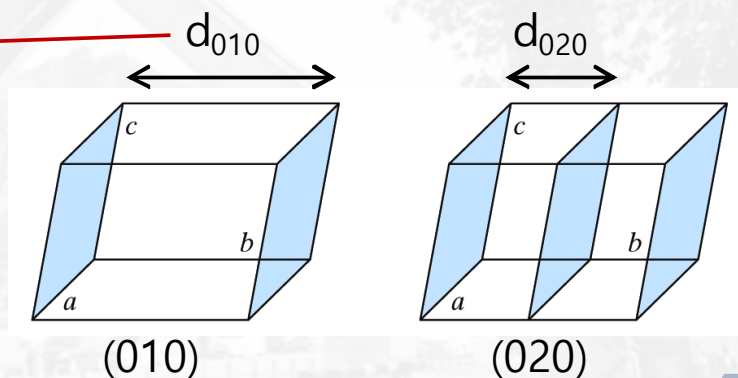
## XRD Pattern vs Miller Index



➤ indexing

Peak  
position

$d_{hkl}$   
Interplanar spacing  
(면간 거리)



	결정축계	$\frac{1}{d_{hkl}^2}$
Cubic	입 방	$\frac{1}{a^2} (h^2 + k^2 + l^2)$
tetragonal	정 방	$\frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2}$
orthorhombic	사 방	$\frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$
hexagonal	육 방	$\frac{4}{3a^2} (h^2 + hk + k^2) + \frac{l^2}{c^2}$
rhombohedral	능 면	$\frac{1}{a^2} \frac{(h^2 + k^2 + l^2) \sin^2 \alpha + 2(hk + kl + lh) (\cos^2 \alpha - \cos \alpha)}{1 + 2 \cos^2 \alpha - 3 \cos^2 \alpha}$
monoclinic	단 사	$\frac{\frac{h^2}{a^2} + \frac{k^2}{b^2} - \frac{2kh \cos \gamma}{ab}}{\sin^2 \gamma} + \frac{l^2}{c^2}$ (first setting)
		$\frac{\frac{h^2}{a^2} + \frac{l^2}{c^2} - \frac{2hl \cos \beta}{ac}}{\sin^2 \beta} + \frac{k^2}{b^2}$ (second setting)
triclinic	삼 사	$\frac{\frac{h^2}{a^2} \sin^2 \alpha + \frac{k^2}{b^2} \sin^2 \beta + \frac{l^2}{c^2} \sin^2 \gamma + \frac{2hk}{ab} (\cos \alpha \cos \beta - \cos \gamma)}{1 - \cos^2 \alpha - \cos^2 \beta - \cos^2 \gamma + 2 \cos \alpha \cos \beta \cos \gamma}$ $+ \frac{2kl}{bc} (\cos \beta \cos \gamma - \cos \alpha) + \frac{2lh}{ca} (\cos \gamma \cos \alpha - \cos \beta)$

# XRD-1

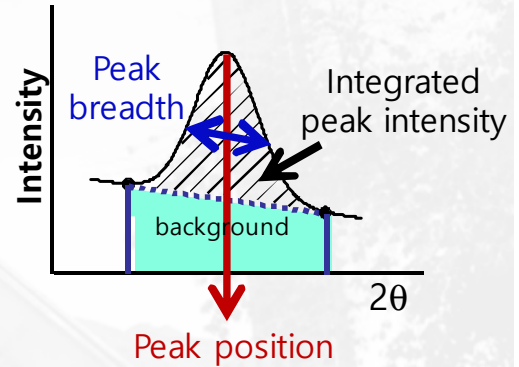
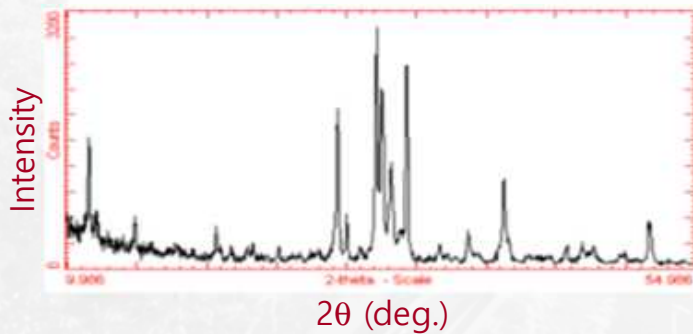
## X-ray

Read

Cullity Chapter 1-1~1-6

## Periodic array causes ---

- Periodic array of **electrons** scatter **X-ray**
- Periodic array of **nuclei** scatter **neutron**
- Periodic array of scattering sites → specific directions of constructive interference



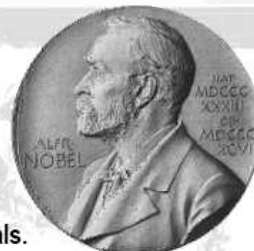
- Positions, intensities, shapes  
→ crystal structure, physical state, etc.

## X-ray

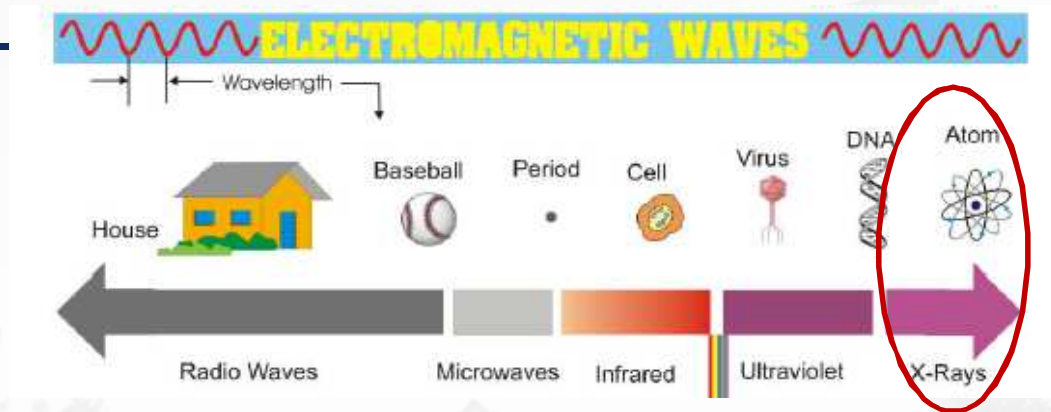
- Wilhelm Conrad Röntgen discovered X-ray in 1895 (Wurzburg).
  - ✓ In 1901, he was honoured by the Noble prize for physics.
  - ✓ In 1995, German Post edited a stamp, dedicated to W.C. Röntgen.



### Nobel Prizes for Research with X-Rays



- 1901 W. C. Röntgen in Physics for the discovery of x-rays.
- 1914 M. von Laue in Physics for x-ray diffraction from crystals.
- 1915 W. H. Bragg and W. L. Bragg in Physics for crystal structure determination.
- 1917 C. G. Barkla in Physics for characteristic radiation of elements.
- 1924 K. M. G. Siegbahn in Physics for x-ray spectroscopy.
- 1927 A. H. Compton in Physics for scattering of x-rays by electrons.
- 1936 P. Debye in Chemistry for diffraction of x-rays and electrons in gases.
- 1962 M. Perutz and J. Kendrew in Chemistry for the structure of hemoglobin.
- 1962 J. Watson, M. Wilkins, and F. Crick in Medicine for the structure of DNA.
- 1979 A. McLeod Cormack and G. Newbold Hounsfield in Medicine for computed axial tomography.
- 1981 K. M. Siegbahn in Physics for high resolution electron spectroscopy.
- 1985 H. Hauptman and J. Karle in Chemistry for direct methods to determine x-ray structures.
- 1988 J. Deisenhofer, R. Huber, and H. Michel in Chemistry for the structures of proteins that are crucial to photosynthesis.



➤ It is not always necessary to understand something in order to use it.

➤ Radiography



Diffraction – 1912 (Munich)  
Max v. Laue  
hydrated copper sulfate  
 $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$



## X-ray

➤ Electromagnetic wave; wavelength  $0.3\text{\AA} \sim 3\text{\AA}$

➤ Detection

- ✓ Photographic
- ✓ Fluorescent (e.g. on ZnS, CdS, NaI, etc.)
- ✓ Ionizing

➤ **Wavelength ( $0.3\text{\AA} \sim 3\text{\AA}$ ) ~ atomic distance → can get info on the arrangement of atoms within the crystal by diffraction**

➤ Critical angle for total reflection  $\sim 1/6$  to  $1/2$  degree

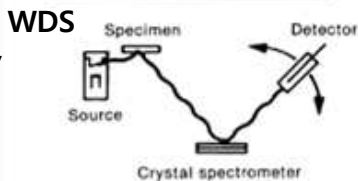
➤ **Transmission → medical, nondestructive evaluation (NDE)**

Generation  
Detection

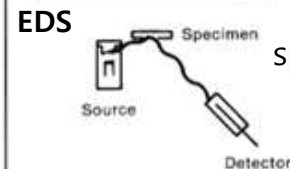
## X-ray is used in \_\_\_\_

Wavelength  
dispersive  
spectrometry

a) Wavelength dispersive spectrometry

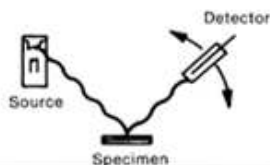


b) Energy dispersive spectrometry

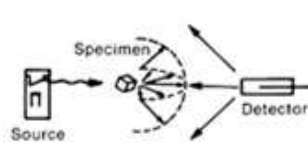


Energy  
dispersive  
spectrometry  
fluorescence

c) Powder diffractometry

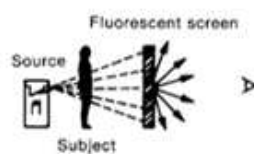


d) Single crystal diffractometry

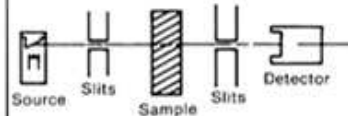


diffraction

e) Diagnostic X-ray

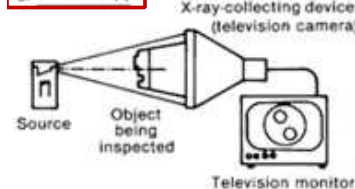


f) Level and thickness gaging

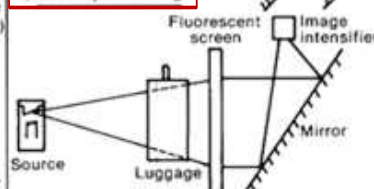


absorption

g) Fluoroscopy

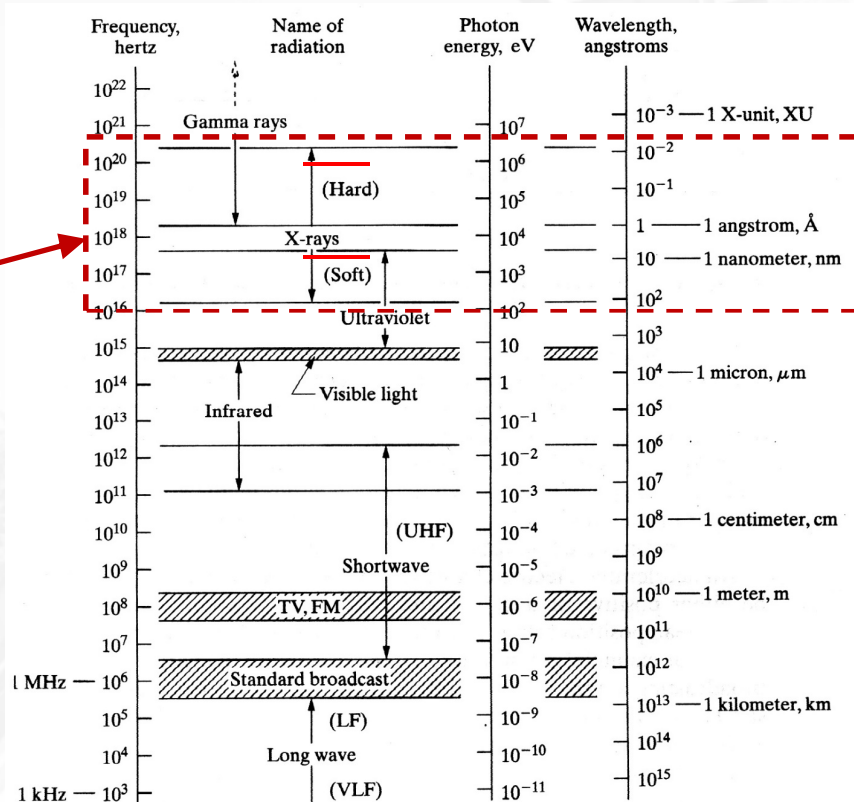


h) Security screening



## Electromagnetic spectrum

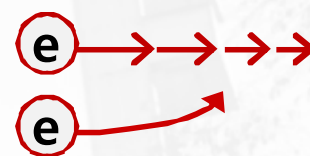
Wavelength  
≈  
Object Size  
≈  
Angstroms  
for Condensed  
Matter Research



## Generation of X-rays

- X-rays are produced when any electrically charged particle of sufficient kinetic energy rapidly decelerates

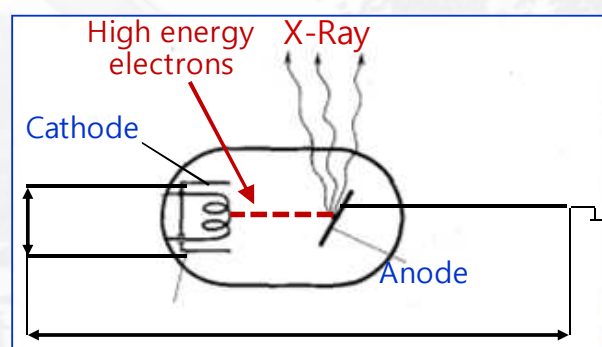
- ✓ change of speed of matter
- ✓ change of direction of movement



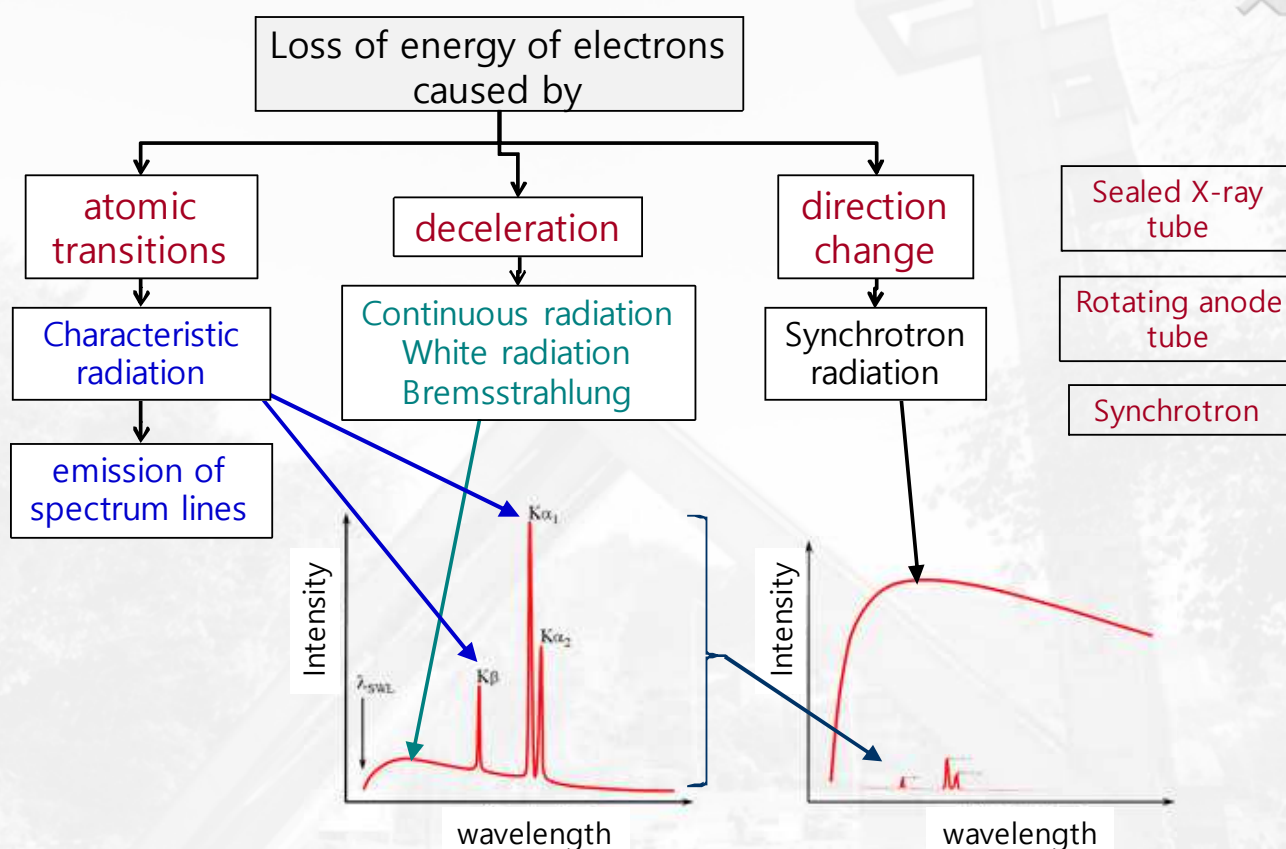
- Bombardment of a target by electrons
- Anode (Cu, Mo, W, Ag ..), Cathode (W, LaB<sub>6</sub>)
- $10^{-3} \sim 10^{-4}$  Torr chamber, high voltage (10 ~ 50kV)



Sealed X-ray tube

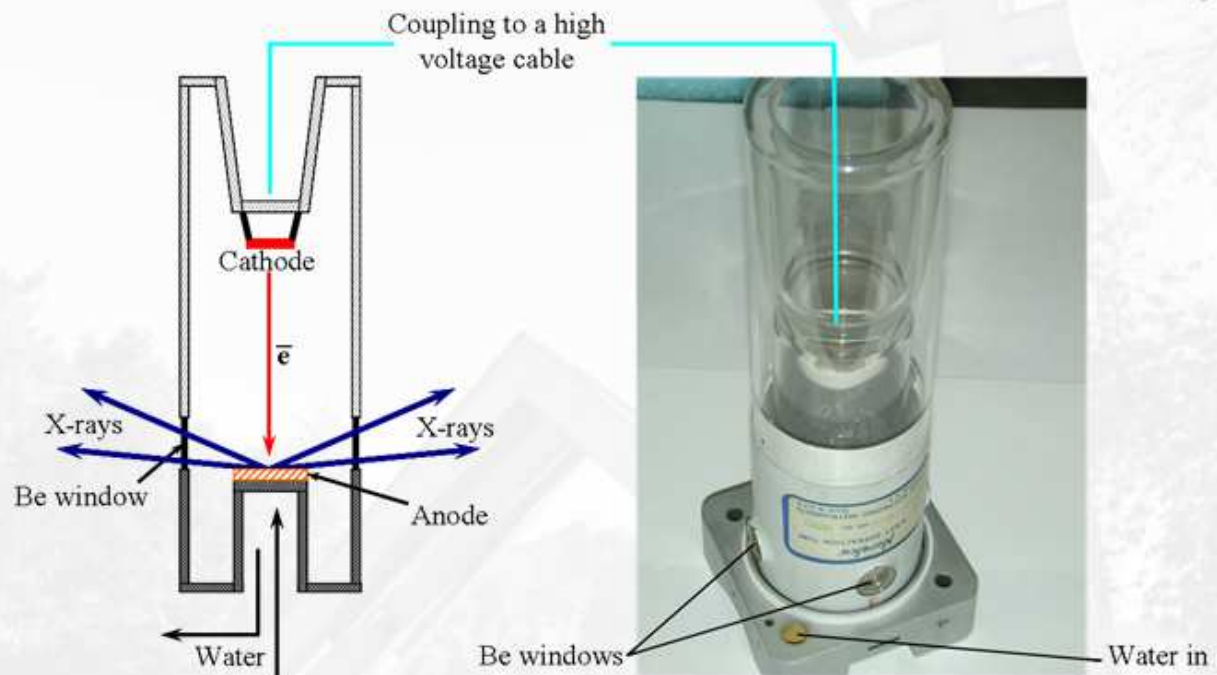


## Origin of X-rays



## Sealed X-ray tube

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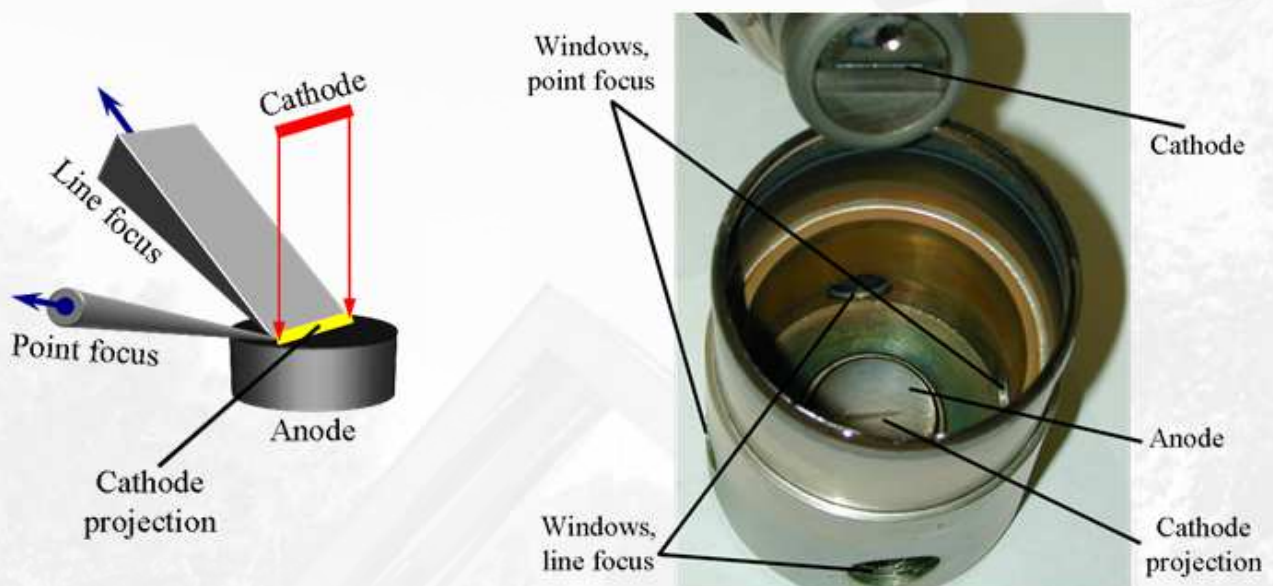


Overall efficiency very low  
 ← heat

Most of the kinetic energy striking the target is converted into heat → **< 1% is transformed into X-ray**

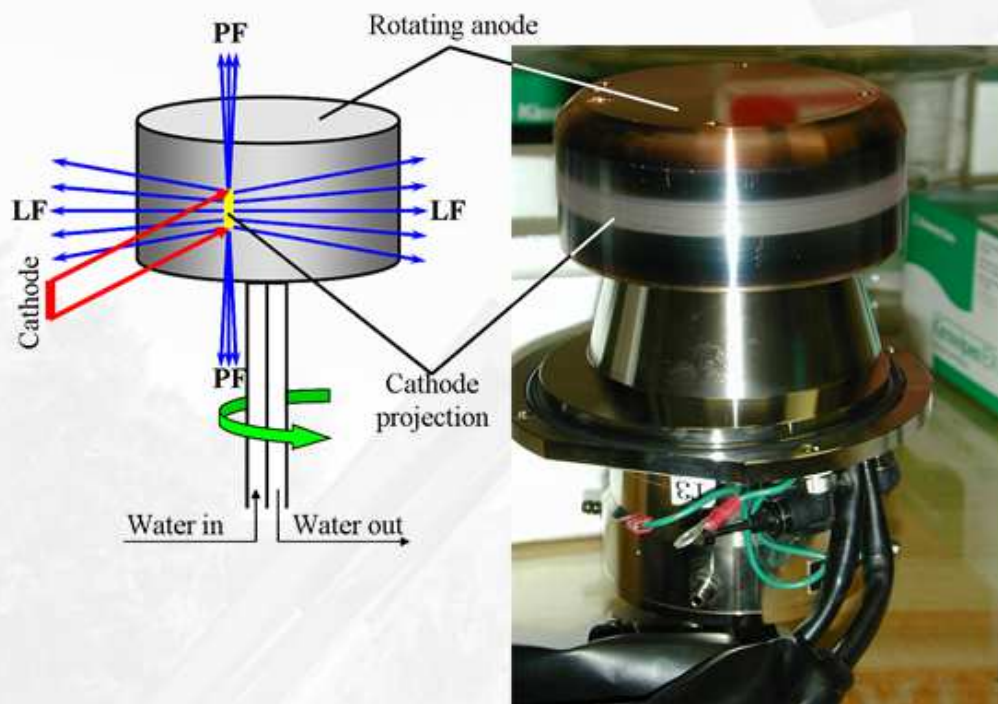
## Line focus, Point focus

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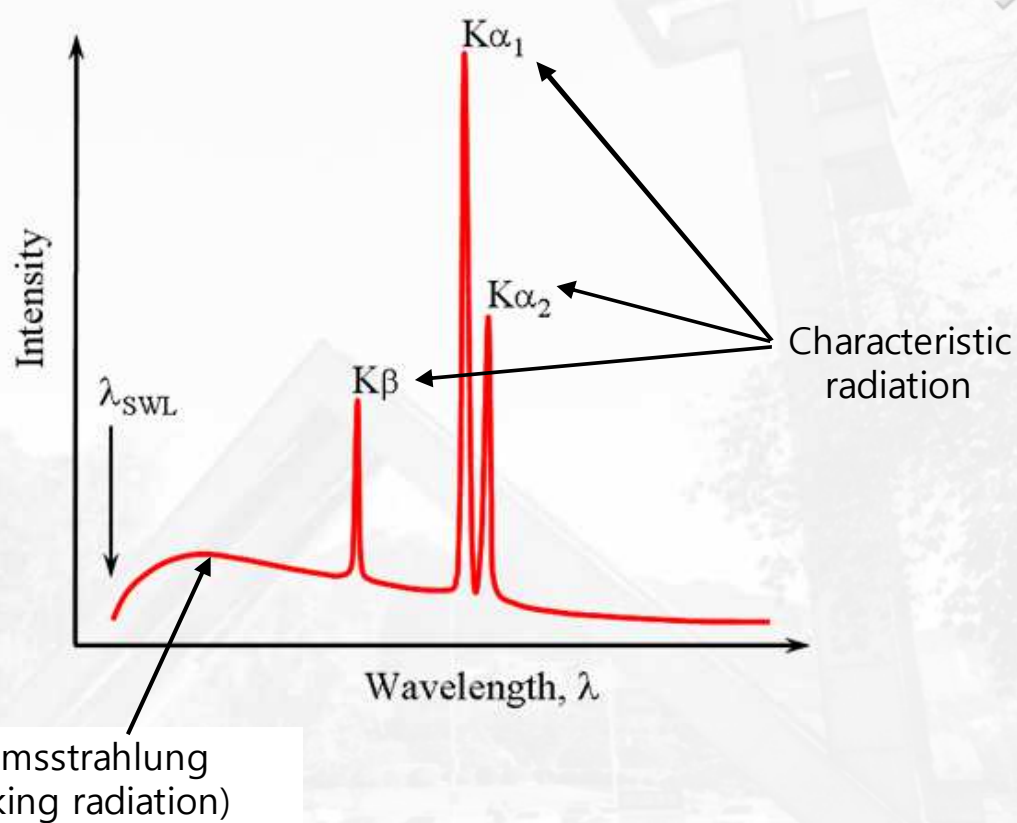
## Rotating anode X-ray source

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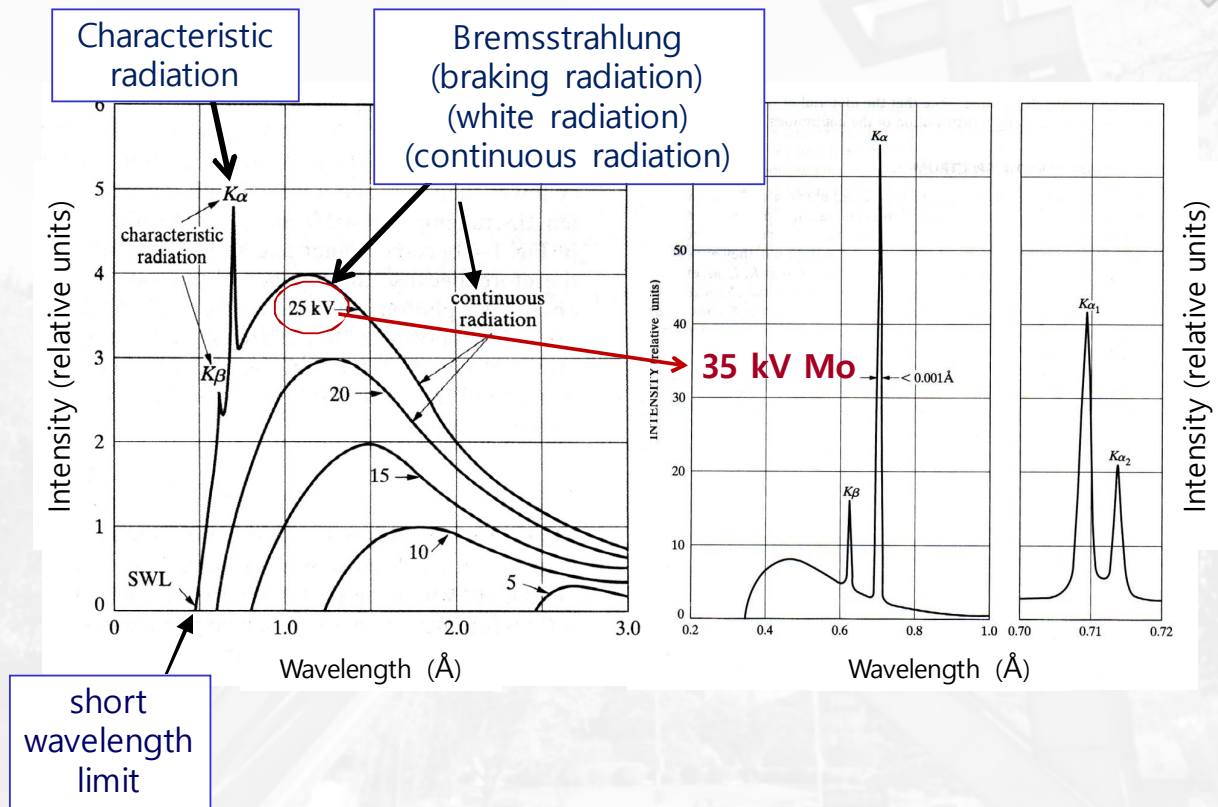


## X-ray emission spectrum

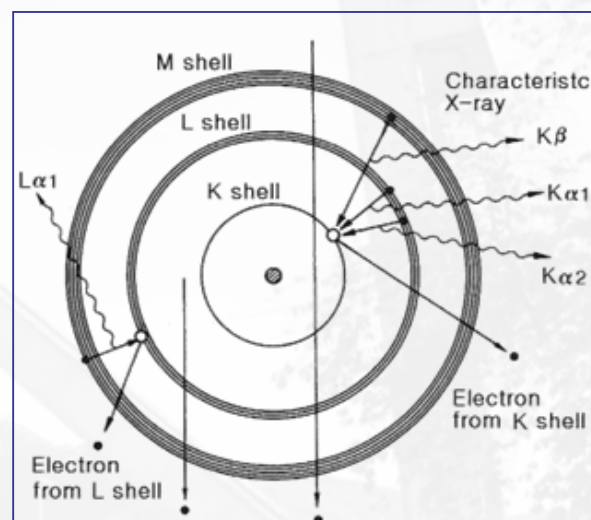
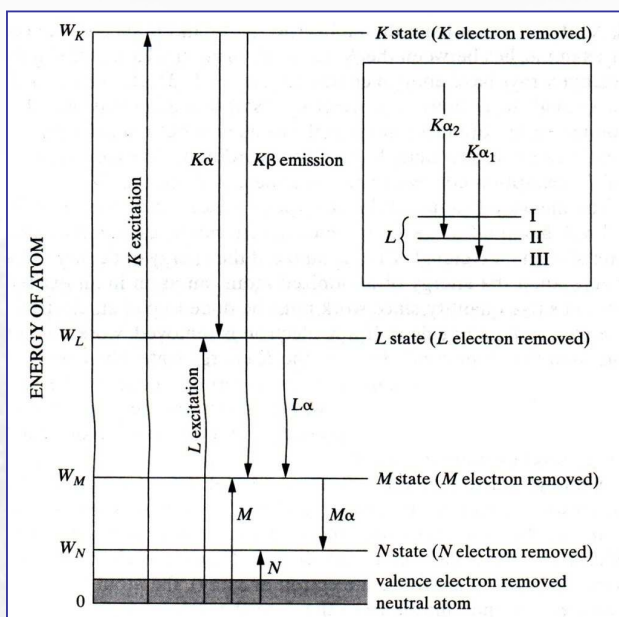
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# X-ray spectrum of molybdenum



## Atomic energy level

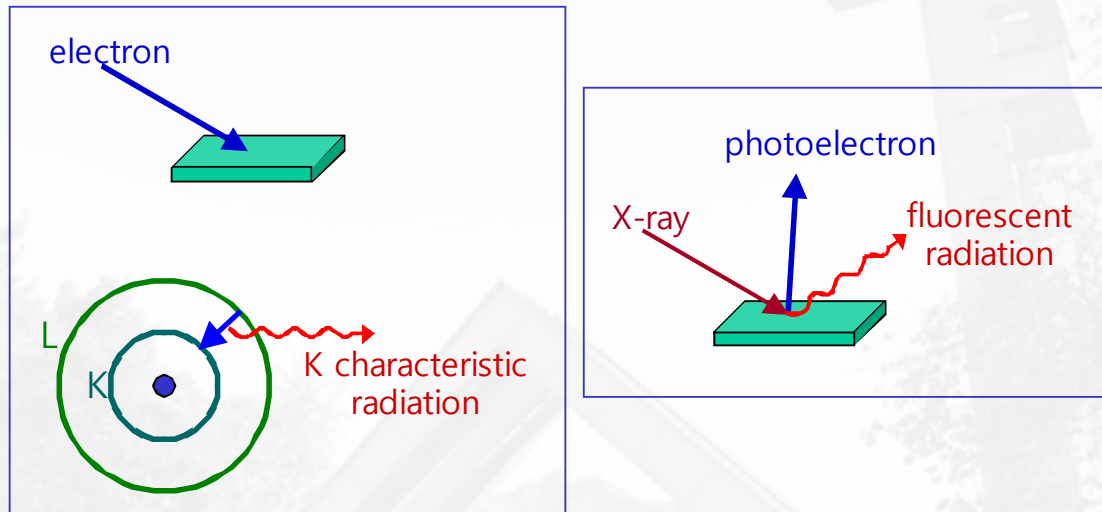


$$K\alpha = (2K\alpha_1 + K\alpha_2)/3$$

β-filter, monochromator

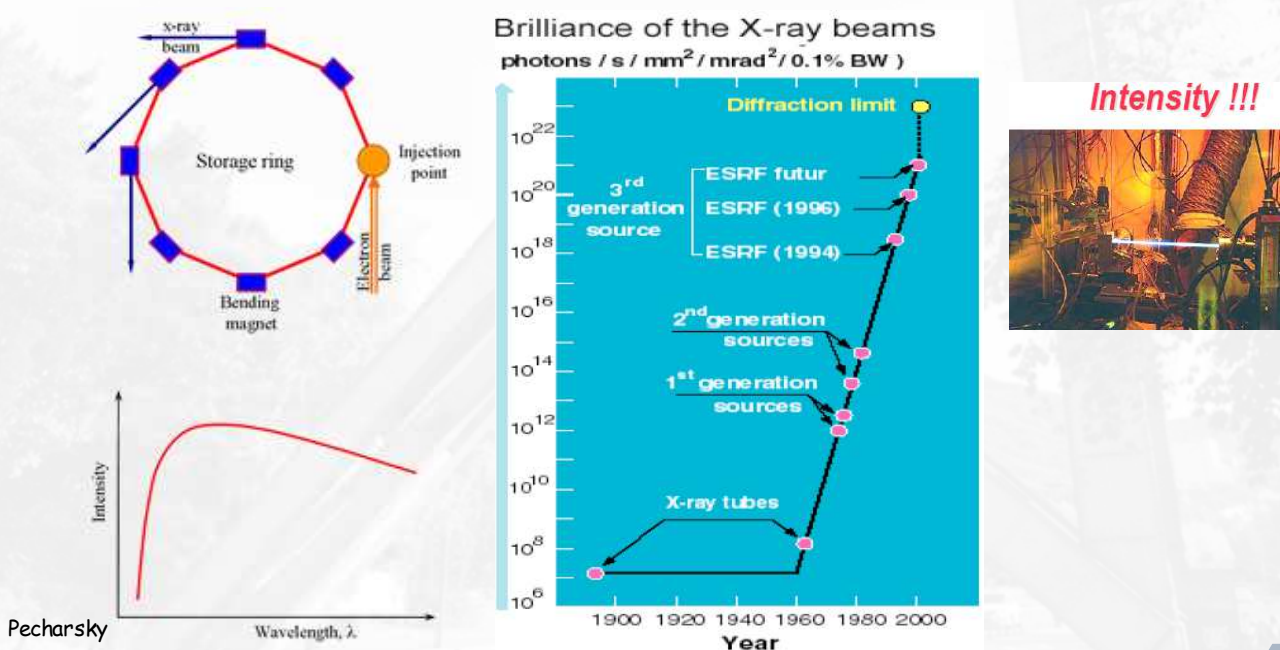
Intensity ratios

$$K\alpha_1 : K\alpha_2 : K\beta = 10 : 5 : 2$$



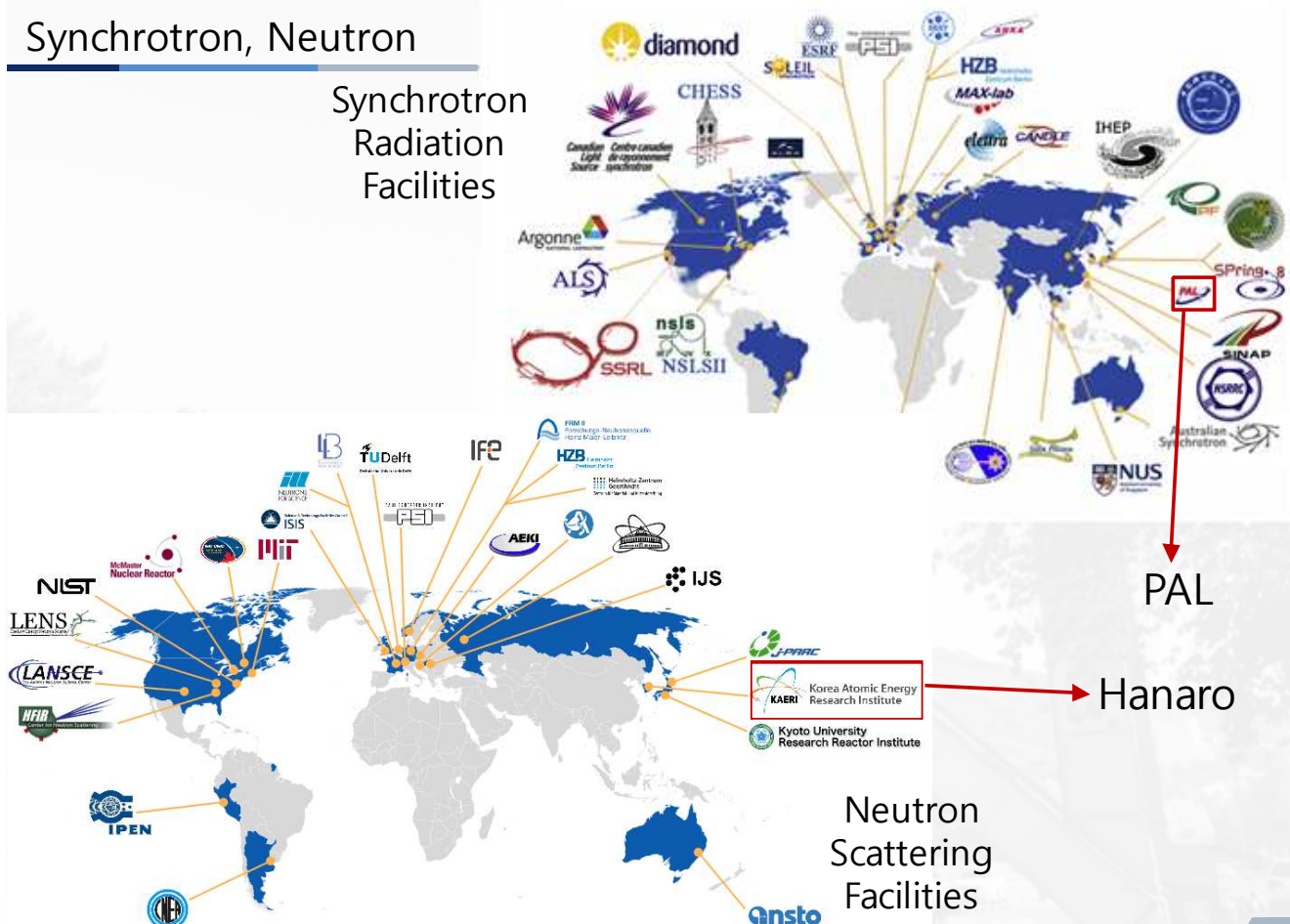
## Synchrotron X-ray

- Most powerful X-ray radiation source
- High brilliance X-ray beam
- Distribution of beam intensity as a function of wavelength



# Synchrotron, Neutron

## Synchrotron Radiation Facilities



[www.vqter.co.uk/images/content-images/neutron-diffraction/map-of-world-neutron.png](http://www.vqter.co.uk/images/content-images/neutron-diffraction/map-of-world-neutron.png)

33

## Neutron source

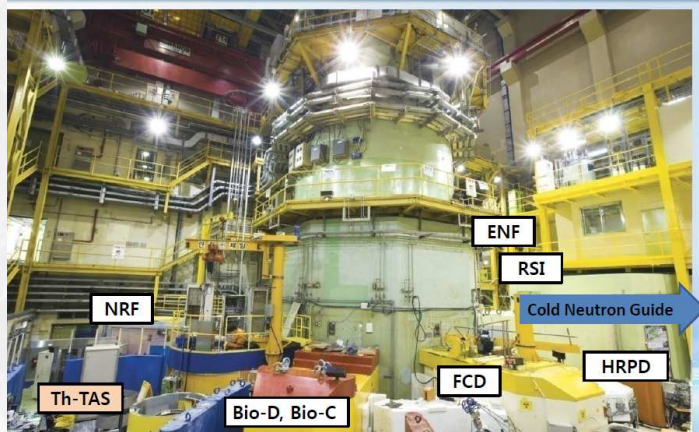
### HANARO at KAERI in Daejeon

**High-flux Advanced Neutron Application Reactor**  
 30 MW Multi-purpose Research reactor since 1995  
 Neutron Scattering, NAA, RI production, Irradiation Test, NTD



RX : Reactor Building      RIF : Radio-Isotope Production Facility  
 CNL : Cold Neutron Laboratory      IMEF : Irradiated Material Examination Facility

### HANARO Reactor Hall



**Mass**

**No Charge**

**Spin 1/2**

	No charge	→ <b>Deep penetration</b>
	Wavelength $\text{\AA} \sim \text{nm}$ (Thermal & Cold Neutron)	→ <b>Atomic &amp; Nanometer scale</b>
	Energy $\sim \text{meV}$	→ <b>Same magnitude as basic excitations in solids</b>
	Spin = 1/2	→ <b>Magnetic structure &amp; dynamics</b>
	Interacts with nuclei	→ <b>Contrast variation</b> ( $b_H = -3.74\text{fm}$ , $b_D = 6.67\text{fm}$ )

## Neutron vs. X-ray

### Why Neutron?

#### X-rays

##### Scattered from electrons

➤ Scattering proportional to Z

H	C	N	O	Al	Si	P	Ti	D
1	6	7	8	13	14	15	22	1

#### Neutrons

##### Scattered by nuclei

➤ Scattering not proportional to Z

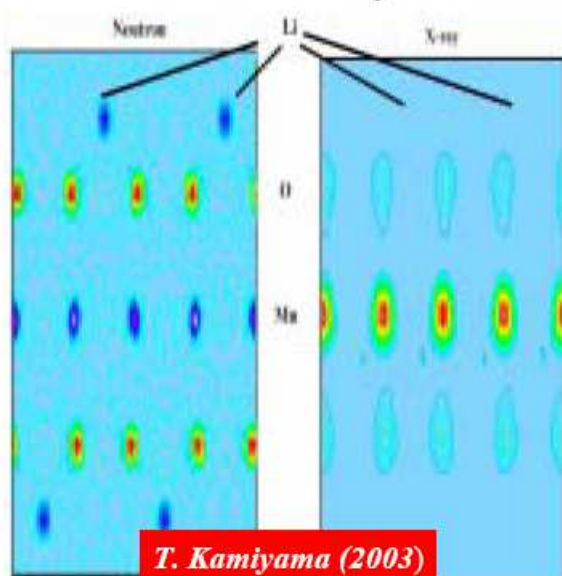
H	C	N	O	Al	Si	P	Ti	D
1	6	7	8	13	14	15	22	1
-37.4	66.5	93.6	58.0	34.5	41.5	51.3	-34.4	66.7

$\times 10^{-14}$

## Introduction

## Neutron vs. X-ray

neutron Li-ion battery X-ray



## X-ray Radiography



## Neutron Radiography

<http://neutra.web.psi.ch>

## Electron diffraction, Neutron diffraction

## ➤ Electron diffraction

- ✓ High vacuum is needed, Cost of equipment
- ✓ **e's strongly interact with materials → dynamical theory of diffraction**

## ➤ Neutron diffraction

- ✓ **Neutrons generated in nuclear reactors**
- ✓ White spectrum
- ✓ **Scattered by nuclei (X-ray is scattered by electron)**
- ✓ Scattering factor remains constant over the whole range of Bragg angles
- ✓ **Scattering factors not proportional to atomic number**
- ✓ Scattering factors are different for different isotopes of the same element
- ✓ Neutrons have spins → interact with unpaired e' spins (magnetic moments), can be used to determine ordered magnetic structures

	X-ray (conv/sync)	Neutron	Electron
nature	wave	particle	particle
medium	atmosphere	atmosphere	high vacuum
<b>scattering by</b>	<b>e' density</b>	<b>nuclei, magnetic spins of e's</b>	<b>electrostatic potential</b>
range of $\lambda$ (Å)	0.5~2.5 (0.1~10)	~1	0.01~0.05
selection of $\lambda$	fixed/variable	variable	variable
focusing	none		magnetic lenses
<b>lattice image</b>	<b>reciprocal</b>		<b>direct, reciprocal</b>
direct structure image	no		yes
<b>applicable theory of diffraction</b>	<b>kinematical</b>		<b>dynamical</b>

## Safety (XRD)

### Beryllium - MSDS

- Electric shock
- Radiation hazard
  - ✓ Burns
  - ✓ Radiation sickness
  - ✓ Genetic mutation
- Be window

- Appearance: silvery solid or grey foil  
Melting point: 1278 C Boiling point: 2970 C
- Very toxic by inhalation - risk of serious damage to health. May act as a human carcinogen for which there is no safe exposure level. May act as a sensitizer.
- Toxicity data IVN-RAT LD50 0.5 mg kg-1
- Risk phrases R26 R27 R37 R39.

IVN – intravenous

LD50 – lethal dose 50% kill

R26 – very toxic by inhalation

R27 – very toxic in contact with skin

R37 irritating to respiratory system

R39 – danger of very serious irreversible effects

- No special health risks with Be in solid form

- Skin Contact with Beryllium

- ✓ No effect on contact or temporary embedding.
- ✓ Solvents will not generate beryllium dust, but some acids will. Don't etch beryllium.
- ✓ Wear clean gloves to protect the skin and to protect the beryllium.



- XRD-1, Read
  - ✓ Cullity Chapter 1-1~1-6
  
- XRD-1 Homework (due in 1 week)
  - ✓ Cullity 1-1, 1-2, 1-16