



# Stacks

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# Outline

- This topic discusses the concept of a stack:
  - Description of an Abstract Stack
  - List applications
  - Implementation
  - Example applications
    - Parsing: XHTML, C++
    - Function calls
    - Reverse-Polish calculators
    - Robert's Rules
  - Standard Template Library



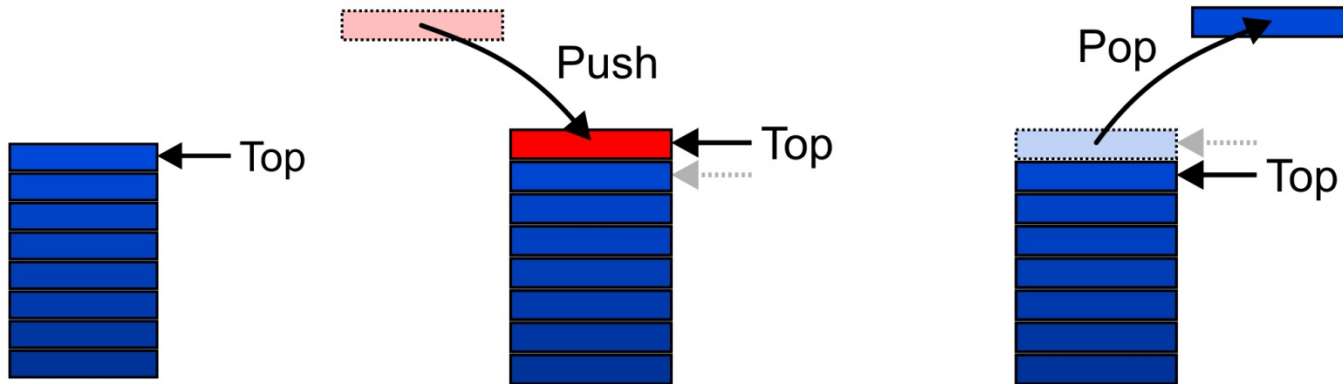
# Abstract Stack

- An Abstract Stack (Stack ADT) is an abstract data type which emphasizes specific operations:
  - Insertions and removals are performed individually
  - Inserted objects are *pushed onto* the stack
  - The *top* of the stack is the most recently object pushed onto the stack
  - When an object is *popped* from the stack, the current *top* is erased



# Abstract Stack

- Also called a *last-in–first-out* (LIFO) behaviour
  - Graphically, we may view these operations as follows:



Check more: [https://en.wikipedia.org/wiki/Undefined\\_behavior](https://en.wikipedia.org/wiki/Undefined_behavior)

- There are two exceptions associated with abstract stacks:
  - It is an undefined operation to call either pop or top on an empty stack

# Applications

- Numerous applications:
  - Parsing code:
    - Matching parenthesis
    - XML (e.g., XHTML)
  - Tracking function calls
  - Dealing with undo/redo operations
  - Reverse-Polish calculators
  
- The stack is a very simple data structure
  - Given any problem, if it is possible to use a stack, this significantly simplifies the solution



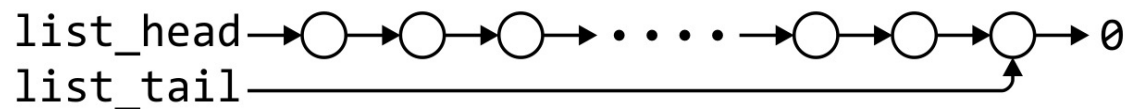
# Stack: Implementations

- We will look at two implementations of stacks:
  - Singly linked lists
  - One-ended arrays
  
- Note: The optimal asymptotic run time of any algorithm is  $\Theta(1)$ 
  - The run time of the algorithm is independent of the number of objects being stored in the container
  - We will always attempt to achieve this lower bound



# Implementation: w/ Linked-List

- Operations at the front of a singly linked list are all  $\Theta(1)$



	Front/ $1^{\text{st}}$	Back/ $n^{\text{th}}$
Find	$\Theta(1)$	$\Theta(1)$
Insert	$\Theta(1)$	$\Theta(1)$
Erase	$\Theta(1)$	$\Theta(n)$

- The desired behavior of an Abstract Stack can be performed by all operations at the front of linked-list



# Stack-as-List Class

- The stack class using a singly linked list has a single private member variable:

```
template <typename Type>
class Stack {
    private:
        Single_list<Type> list;
    public:
        bool empty() const;
        Type top() const;
        void push( Type const & );
        Type pop();
};
```





# Stack-as-List Class

- The empty and push functions just call the appropriate functions of the Single\_list class

```
template <typename Type>
bool Stack<Type>::empty() const {
    return list.empty();
}
```

```
template <typename Type>
void Stack<Type>::push( Type const &obj ) {
    list.push_front( obj );
}
```



# Stack-as-List Class

- The top and pop functions, however, must **check the boundary case**:

```
template <typename Type>
Type Stack<Type>::top() const {
    if ( empty() ) {
        throw underflow();
    }

    return list.front();
}
```

```
template <typename Type>
Type Stack<Type>::pop() {
    if ( empty() ) {
        throw underflow();
    }

    return list.pop_front();
}
```



# Implementation: w/ Array

- For one-ended arrays, all operations at the back are  $\Theta(1)$



	Front/1 <sup>st</sup>	Back/ $n^{\text{th}}$
Find	$\Theta(1)$	$\Theta(1)$
Insert	$\Theta(n)$	$\Theta(1)$
Erase	$\Theta(n)$	$\Theta(1)$



# Stack-as-Array Class

- We need to store an array:
  - In C++, this is done by storing the address of the first entry

```
template <typename Type>
class Stack {
    private:
        int stack_size;
        int array_capacity;
        Type *array;
    public:
        Stack( int = 10 );
        ~Stack();
        bool empty() const;
        Type top() const;
        void push( Type const & );
        Type pop();
};
```



# Empty

- The stack is empty if the stack size is zero:

```
template <typename Type>
bool Stack<Type>::empty() const {
    return ( stack_size == 0 );
}
```



# Top

- If there are  $n$  objects in the stack, the last is located at index  $n - 1$

```
template <typename Type>
Type Stack<Type>::top() const {
    if ( empty() ) {
        throw underflow();
    }

    return array[stack_size - 1];
}
```



# Pop

- Removing an object simply involves reducing the size
  - By decreasing the size, the previous top of the stack is now at the location `stack_size`

```
template <typename Type>
Type Stack<Type>::pop() {
    if ( empty() ) {
        throw underflow();
    }

    --stack_size;
    return array[stack_size];
}
```



# Push

- Pushing an object onto the stack can only be performed if the array is not full

```
template <typename Type>
void Stack<Type>::push( Type const &obj ) {
    if ( stack_size == array_capacity ) {
        throw overflow(); // return ??
    }

    array[stack_size] = obj;
    ++stack_size;
}
```





# Exceptions

- The case where the array is full is not defined in the Abstract Stack
  
- If the array is filled, we have five options:
  - Increase the size of the array
  - Throw an exception
  - Ignore the element being pushed
  - Replace the current top of the stack
  - Put the pushing process to “sleep” until something else removes the top of the stack



# Array Capacity

- If dynamic memory is available, you can increase the array capacity
  
- If we increase the array capacity, the question is:
  - How much?
  - 1) By a constant?            `array_capacity += c;`
  - 2) By a multiple?           `array_capacity *= c;`



# Array Capacity Enlargement and Run times

- First, we recognize that any time that we push onto a full stack, this requires to copy  $n$  items and the run time is  $\Theta(n)$
- Therefore, push is usually  $\Theta(1)$  except when new memory is required



# Array Capacity Enlargement and Run times

- To state the average run time, we will introduce the concept of **amortized time**:
  - If  $n$  operations requires  $\Theta(f(n))$  in total, we will say that an individual operation has an amortized run time of  $\Theta(f(n)/n)$
  - Therefore, if inserting  $n$  objects requires:
    - $\Theta(n^2)$  items to be copied, the amortized time is  $\Theta(n)$
    - $\Theta(n)$  items to be copied, the amortized time is  $\Theta(1)$

## Definition

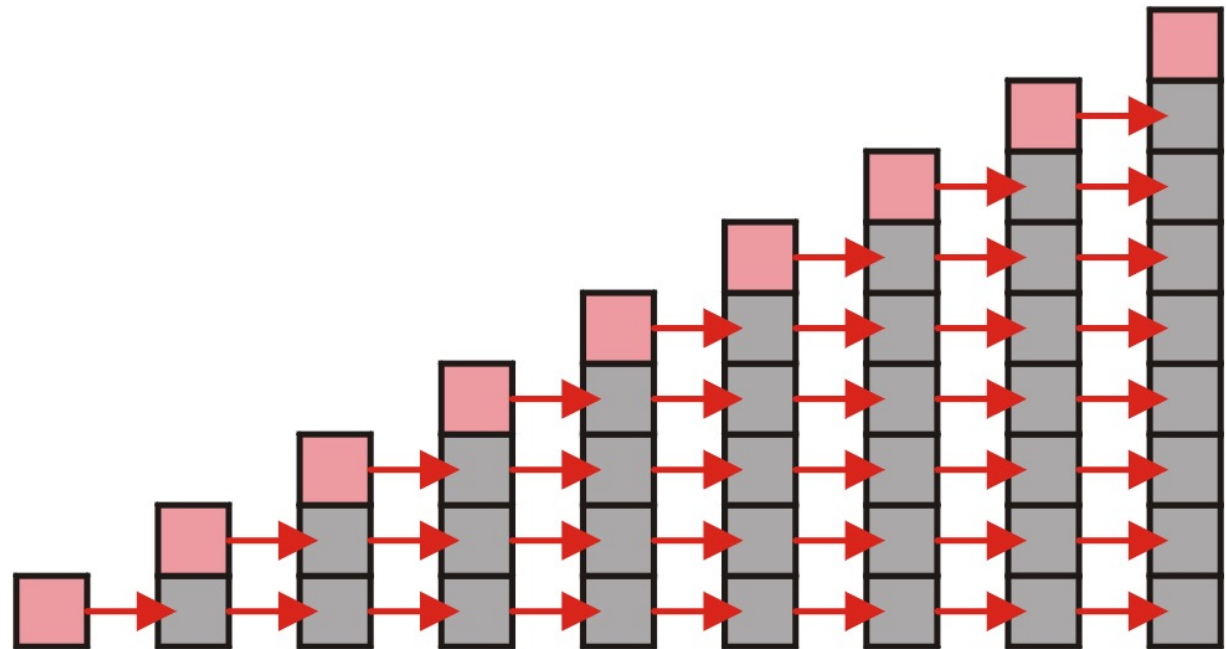
**Amortized cost:** Given a sequence of  $n$  operations, the amortized cost is:

$$\frac{\text{Cost}(n \text{ operations})}{n}$$



# Array Capacity: Increase by 1

- Let us consider the case of increasing the capacity by 1 each time the array is full
  - With each insertion when the array is full, this requires all entries to be copied



# Array Capacity: Increase by 1

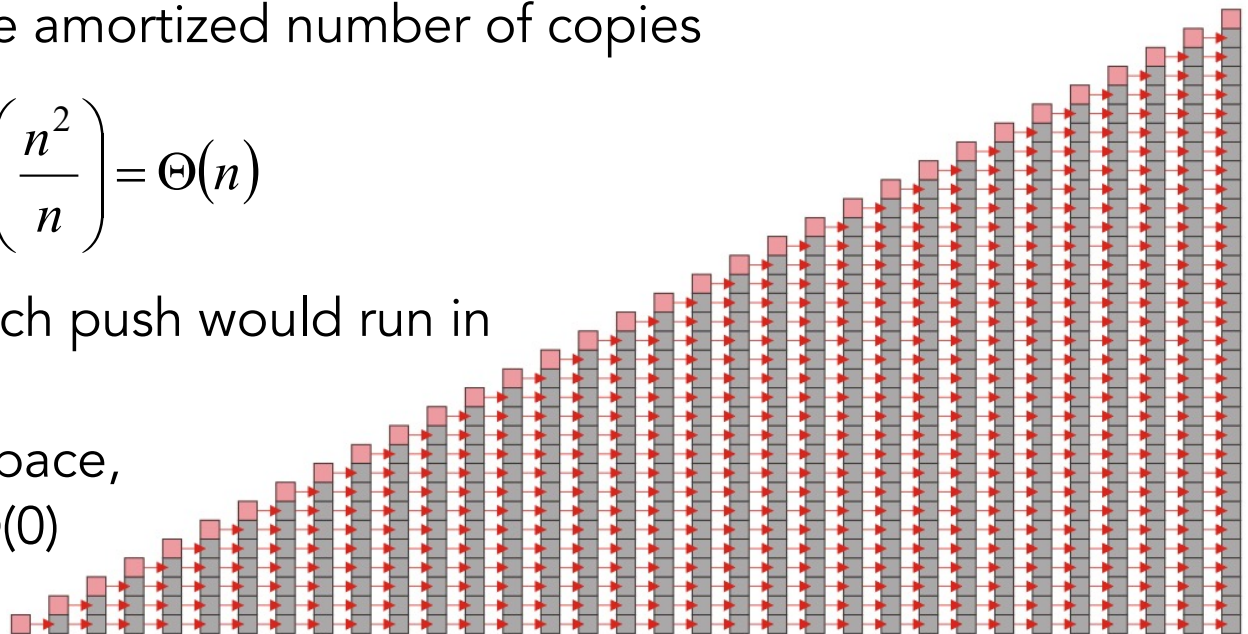
- Suppose we insert  $n$  objects
  - The pushing of the  $k^{\text{th}}$  object on the stack requires  $k - 1$  copies
  - The total number of copies is now given by:

$$\sum_{k=1}^n (k-1) = \left( \sum_{k=1}^n k \right) - n = \frac{n(n+1)}{2} - n = \frac{n(n-1)}{2} = \Theta(n^2)$$

- Therefore, the amortized number of copies is given by

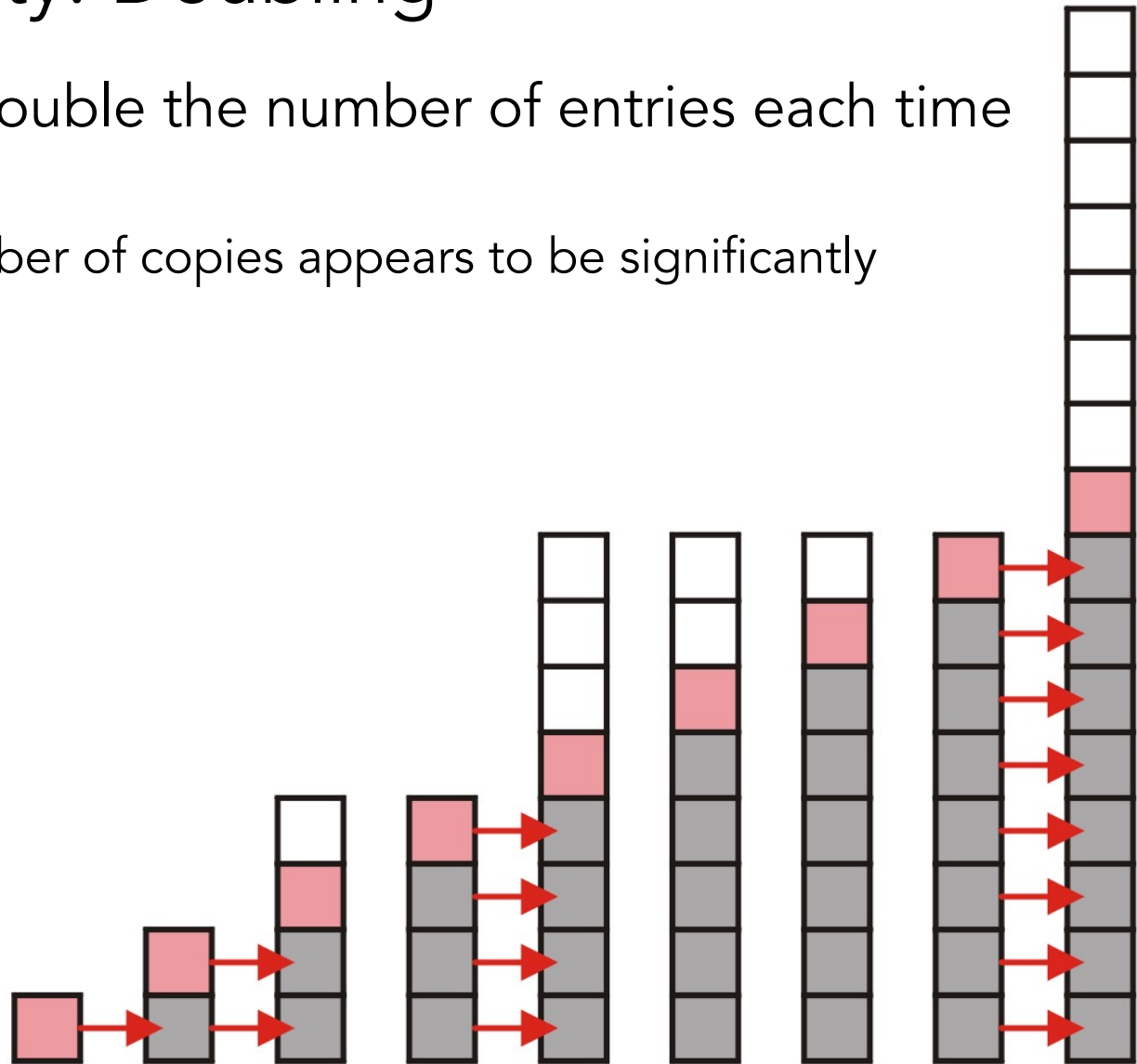
$$\Theta\left(\frac{n^2}{n}\right) = \Theta(n)$$

- Therefore, each push would run in  $\Theta(n)$  time
- The wasted space, however, is  $\Theta(0)$



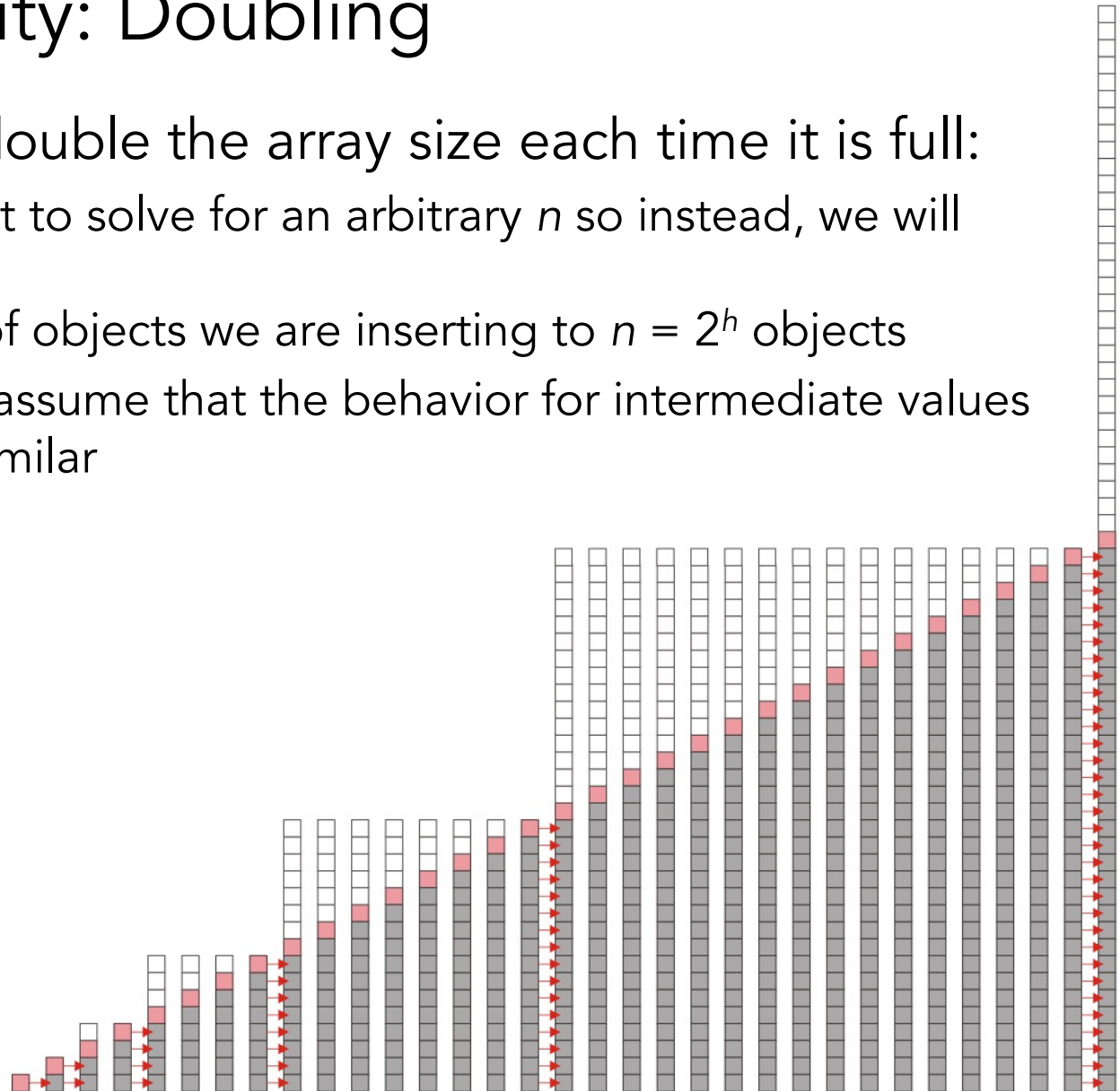
# Array Capacity: Doubling

- Suppose we double the number of entries each time the array is full
  - Now the number of copies appears to be significantly fewer



# Array Capacity: Doubling

- Suppose we double the array size each time it is full:
  - This is difficult to solve for an arbitrary  $n$  so instead, we will restrict the number of objects we are inserting to  $n = 2^h$  objects
  - We will then assume that the behavior for intermediate values of  $n$  will be similar



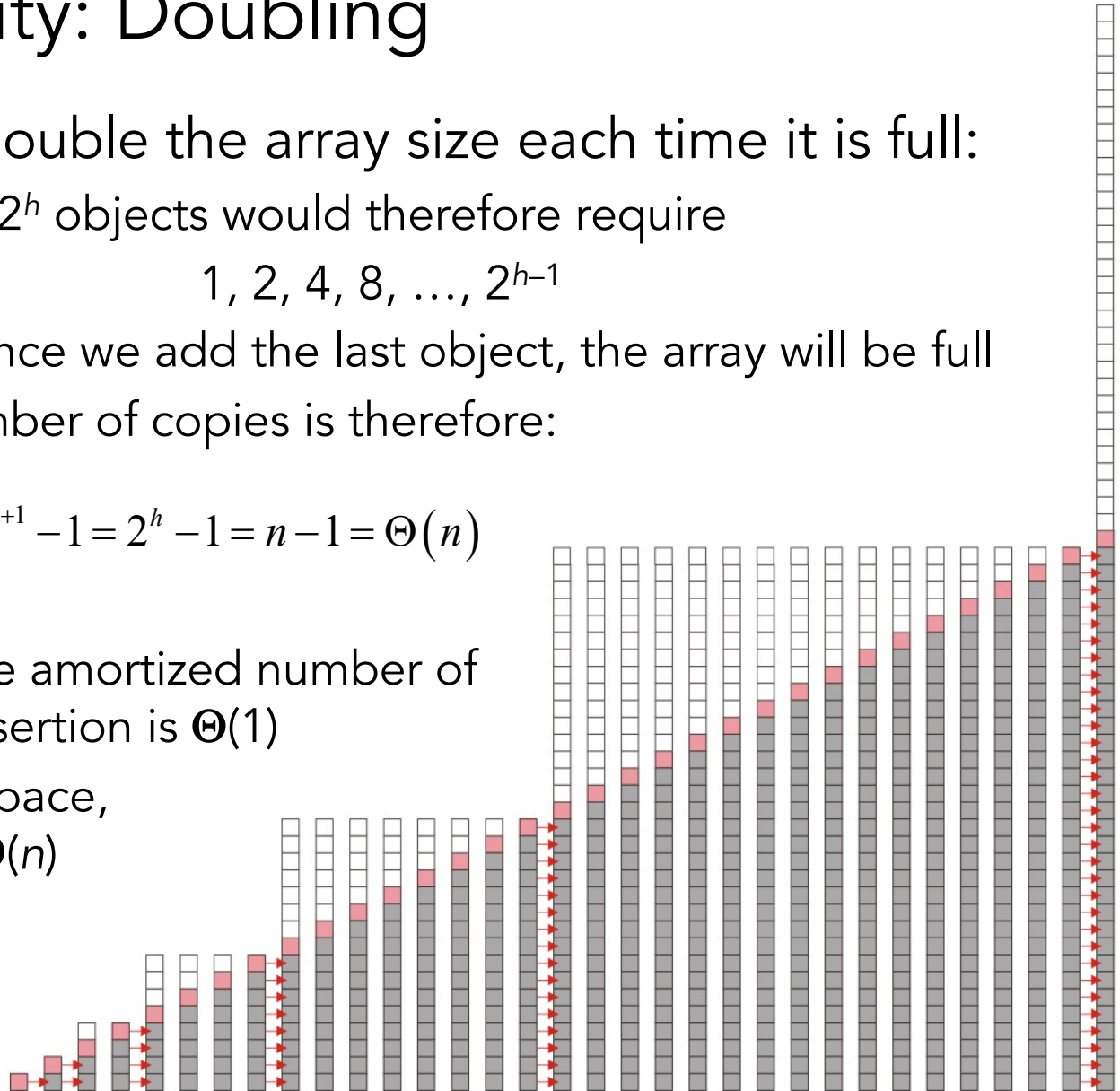


# Array Capacity: Doubling

- Suppose we double the array size each time it is full:
  - Inserting  $n = 2^h$  objects would therefore require
 
$$1, 2, 4, 8, \dots, 2^{h-1}$$
 copies, for once we add the last object, the array will be full
  - The total number of copies is therefore:

$$\sum_{k=0}^{h-1} 2^k = 2^{(h-1)+1} - 1 = 2^h - 1 = n - 1 = \Theta(n)$$

- Therefore, the amortized number of copies per insertion is  $\Theta(1)$
- The wasted space, however, is  $O(n)$



# Application: Parsing

- Most parsing uses stacks
  
- Examples includes:
  - Matching tags in XHTML
  - In C++, matching
    - parentheses ( ... )
    - brackets, and [ ... ]
    - braces { ... }



# Parsing XHTML

- XHTML is made of nested
    - *opening tags*, e.g., `<some_identifier>`, and
    - *matching closing tags*, e.g., `</some_identifier>`
- ```
<html>  
  <head><title>Hello</title></head>  
  <body><p>This appears in the <i>browser</i>.</p></body>  
</html>
```



# Parsing XHTML

- *Nesting* indicates that any closing tag must match the most recent opening tag
  
- Strategy for parsing XHTML:
  - read through the XHTML linearly
  - place the opening tags in a stack
  - when a closing tag is encountered, check that it matches what is on top of the stack



# Parsing XHTML

<html>

<head><title>Hello</title></head>

<body><p>This appears in the <i>browser</i>.</p></body>

</html>

<html>			
--------	--	--	--



# Parsing XHTML

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<head><title>Hello</title></head>

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</html>

<html>	<head>		
--------	--------	--	--



# Parsing XHTML

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  <head><title>Hello</title></head>
```

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```

```
</html>
```

<html>	<head>	<title>	
--------	--------	---------	--



# Parsing XHTML

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  <head><title>Hello</title></head>
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  <body><p>This appears in the <i>browser</i>.</p></body>
```

```
</html>
```

<code>&lt;html&gt;</code>	<code>&lt;head&gt;</code>	<code>&lt;title&gt;</code>	
---------------------------	---------------------------	----------------------------	--





# Parsing XHTML

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<html>
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  <head><title>Hello</title></head>
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  <body><p>This appears in the <i>browser</i>.</p></body>
```

```
</html>
```

<code>&lt;html&gt;</code>	<code>&lt;head&gt;</code>		
---------------------------	---------------------------	--	--



# Parsing XHTML

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`<head><title>Hello</title></head>`

`<body><p>This appears in the browser.</p></body>`

`</html>`



# Parsing XHTML

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</html>
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<code>&lt;html&gt;</code>	<code>&lt;body&gt;</code>		
---------------------------	---------------------------	--	--



# Parsing XHTML

<html>

<head><title>Hello</title></head>

<body><p>This appears in the <i>browser</i>.</p></body>

</html>

<html>			
--------	--	--	--





# Parsing XHTML

- We are finished parsing, and the stack is empty
  
- Possible errors:
  - a closing tag which does not match the opening tag on top of the stack
  - a closing tag when the stack is empty
  - the stack is not empty at the end of the document



# Reverse-Polish Notation

- Normally, mathematics is written using what we call *in-fix* notation:

$$(3 + 4) \times 5 - 6$$

- The operator is placed (inserted) between two operands
- One weakness: parentheses are required

$$(3 + 4) \times 5 - 6 = 29$$

$$3 + 4 \times 5 - 6 = 17$$

$$3 + 4 \times (5 - 6) = -1$$

$$(3 + 4) \times (5 - 6) = -7$$



# Reverse-Polish Notation

- In Reverse-Polish Notation (RPN), the operations are placed first, followed by the operator:

$$(3 + 4) \times 5 - 6$$

$$\rightarrow 3 \ 4 \ + \ 5 \ \times \ 6 \ -$$

- Parsing reads left-to-right and performs any operation on the last two operands:

$$3 \ 4 \ + \ 5 \ \times \ 6 \ -$$

$$\rightarrow 7 \ 5 \ \times \ 6 \ -$$

$$\rightarrow 35 \ 6 \ -$$

$$29$$

RPN → [https://en.wikipedia.org/wiki/Reverse\\_Polish\\_notation](https://en.wikipedia.org/wiki/Reverse_Polish_notation)  
 PN or NPN → [https://en.wikipedia.org/wiki/Polish\\_notation](https://en.wikipedia.org/wiki/Polish_notation)

3 4 + vs. + 3 4



# Reverse-Polish Notation

□ Other examples:

$$\begin{array}{l}
 3 \ 4 \ 5 \ \times \ + \ 6 \ - \\
 \rightarrow 3 \ 20 \ + \ 6 \ - \\
 \rightarrow \quad 23 \quad \quad 6 \ - \\
 \rightarrow \quad \quad \quad \quad 17
 \end{array}$$

$$\begin{array}{l}
 3 \ 4 \ 5 \ 6 \ - \ \times \ + \\
 \rightarrow 3 \ 4 \ -1 \ \times \ + \\
 \rightarrow 3 \quad -4 \quad \quad + \\
 \rightarrow \quad \quad -1
 \end{array}$$



# Reverse-Polish Notation

## □ Benefits:

- No ambiguity and no brackets are required
- It is the same process used by a computer to perform computations:
  - operands must be loaded before performing the operation
- Reverse-Polish can be processed using stacks



# Reverse-Polish Notation

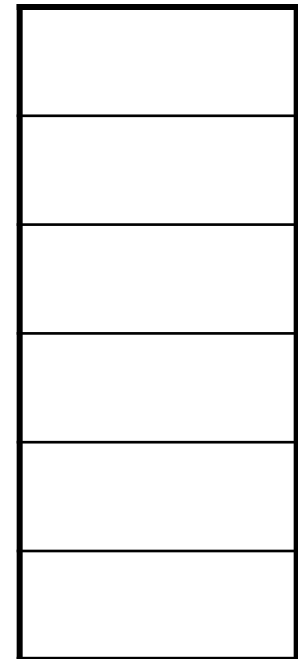
- The easiest way to parse reverse-Polish notation is to use an operand stack:
  - operands are processed by pushing them onto the stack
  - when processing an operator:
    - pop the last two items off the operand stack,
    - perform the operation, and
    - push the result back onto the stack



# Reverse-Polish Notation

- Evaluate the following reverse-Polish expression using a stack:

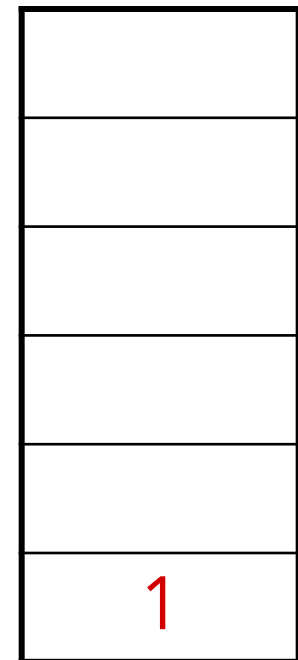
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 1 onto the stack

1 2 3 + 4 5 6 × − 7 × + − 8 9 × +

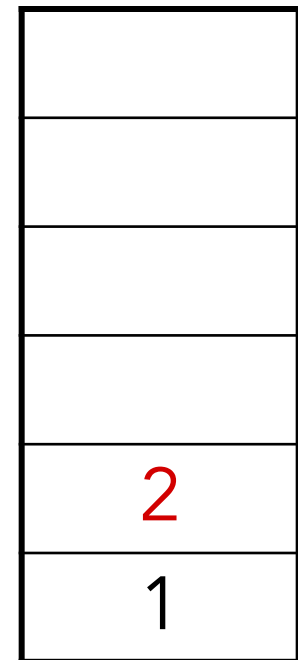




# Reverse-Polish Notation

- Push 1 onto the stack

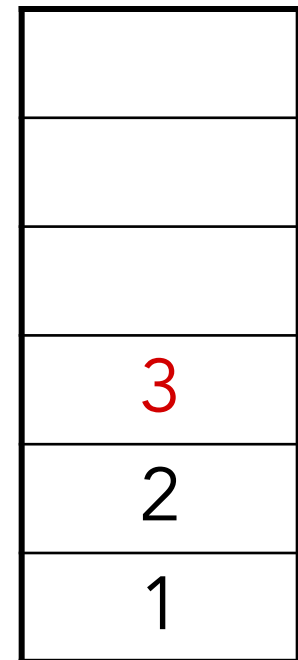
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 3 onto the stack

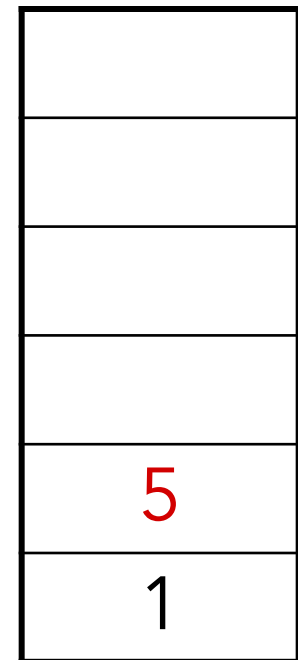
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop 3 and 2 and push  $2 + 3 = 5$

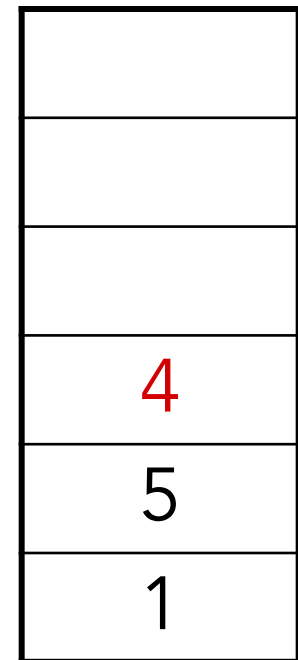
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 4 onto the stack

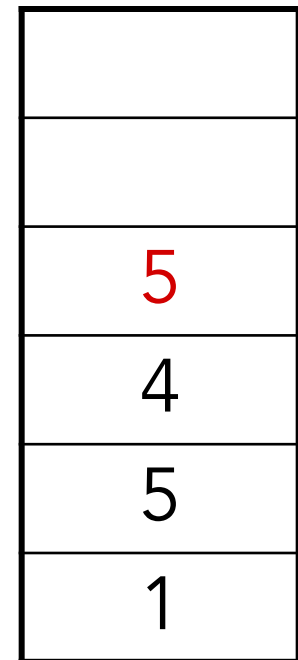
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 5 onto the stack

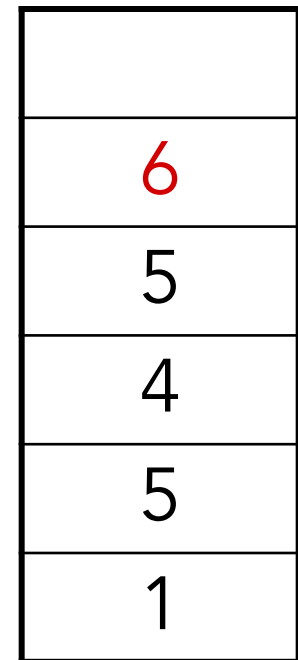
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 6 onto the stack

1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop 6 and 5 and push  $5 \times 6 = 30$

1 2 3 + 4 5 6  $\times$  - 7  $\times$  + - 8 9  $\times$  +

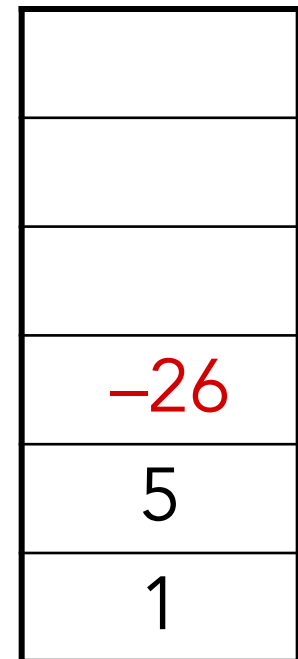
30
4
5
1



# Reverse-Polish Notation

- Pop 30 and 4 and push  $4 - 30 = -26$

1 2 3 + 4 5 6 × - 7 × + - 8 9 × +

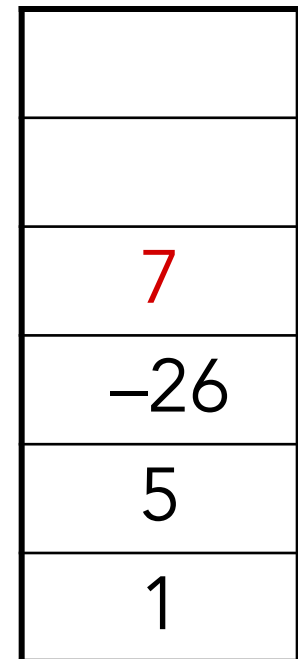




# Reverse-Polish Notation

- Push 7 onto the stack

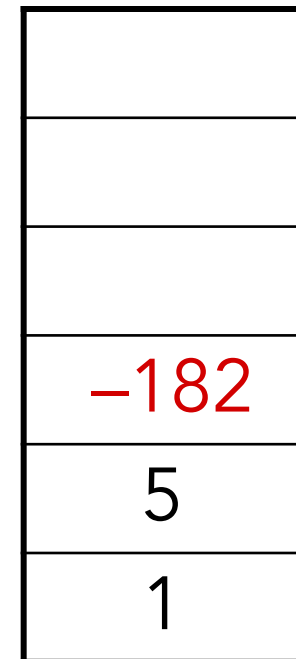
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop 7 and -26 and push  $-26 \times 7 = -182$

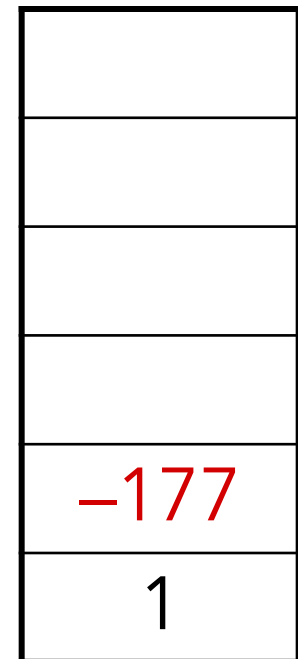
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop  $-182$  and  $5$  and push  $-182 + 5 = -177$

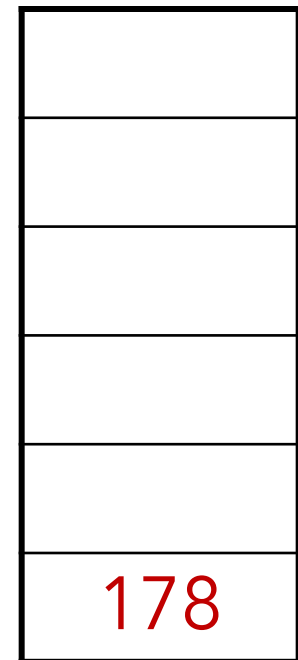
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop  $-177$  and  $1$  and push  $1 - (-177) = 178$

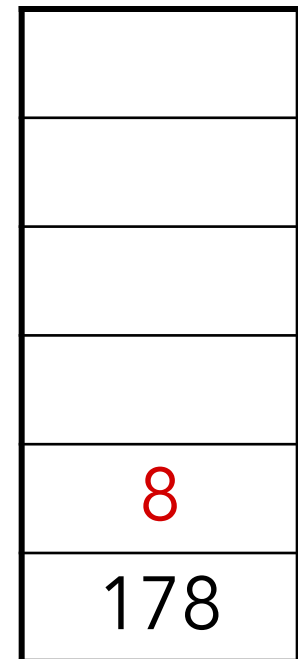
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 8 onto the stack

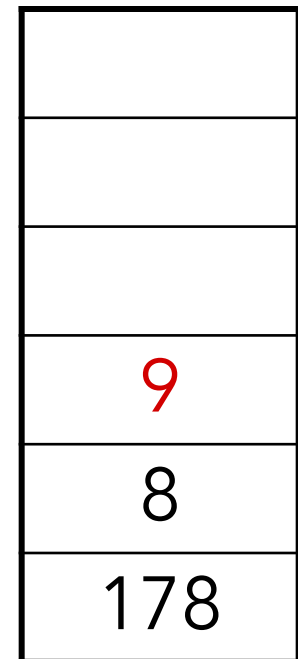
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Push 1 onto the stack

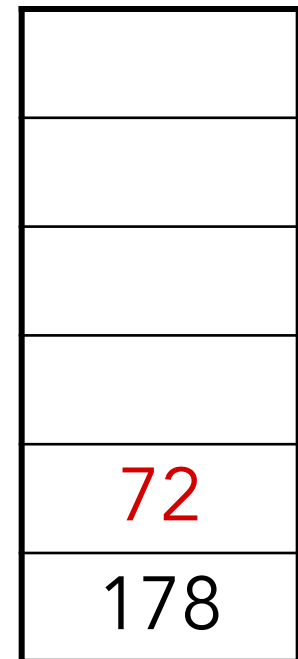
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop 9 and 8 and push  $8 \times 9 = 72$

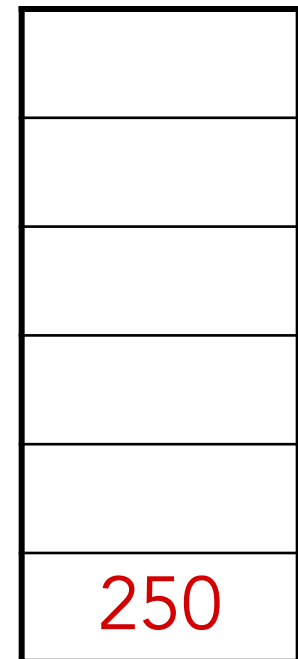
1 2 3 + 4 5 6 × − 7 × + − 8 9 × +



# Reverse-Polish Notation

- Pop 72 and 178 and push  $178 + 72 = 250$

1 2 3 + 4 5 6 × − 7 × + − 8 9 × +





# Reverse-Polish Notation

- Thus

1 2 3 + 4 5 6 × − 7 × + − 8 9 × +

evaluates to the value on the top: 250

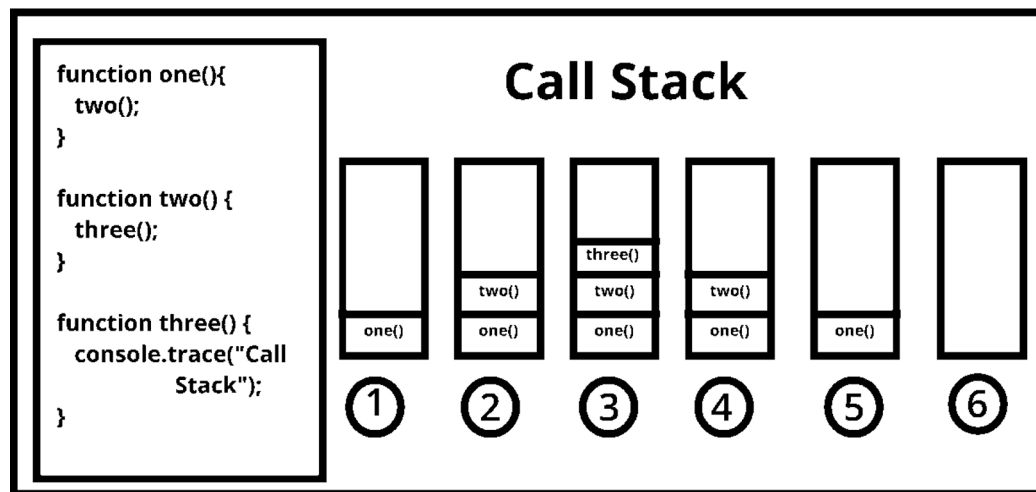
- The equivalent in-fix notation is

$((1 - ((2 + 3) + ((4 - (5 \times 6)) \times 7))) + (8 \times 9))$



# Function Calls

- In the Computer Architecture class, you will see how stacks are implemented in CPUs to facilitate function calling
- Function calls are similar to problem solving presented earlier:
  - you write a function to solve a problem
  - the function may require sub-problems to be solved, hence, it may call another function
  - once a function is finished, it returns to the function which called it



# Summary: Stacks

- The stack is the simplest of all ADTs
  - Understanding how a stack works may be trivial
  - May be not that simple to understand its applications and meanings
  
- We looked at:
  - Parsing, function calls, and reverse Polish



# References

- Donald E. Knuth, *The Art of Computer Programming, Volume 1: Fundamental Algorithms*, 3<sup>rd</sup> Ed., Addison Wesley, 1997, §2.2.1, p.238.
- Cormen, Leiserson, and Rivest, *Introduction to Algorithms*, McGraw Hill, 1990, §11.1, p.200.
- Weiss, *Data Structures and Algorithm Analysis in C++*, 3<sup>rd</sup> Ed., Addison Wesley, §3.6, p.94.
- Koffman and Wolfgang, "Objects, Abstraction, Data Structures and Design using C++", John Wiley & Sons, Inc., Ch. 5.
- Wikipedia, [http://en.wikipedia.org/wiki/Stack\\_\(abstract\\_data\\_type\)](http://en.wikipedia.org/wiki/Stack_(abstract_data_type))

