



# Topological Sort

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# Topological Sort

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- A topological sort of a directed acyclic graph is an ordering of all its vertices such that if  $G$  contains an edge  $(u,v)$ , then  $u$  appears before  $v$  in the ordering.
- (If the graph contains a cycle, then no linear ordering is possible.)

## TOPOLOGICAL-SORT( $G$ )

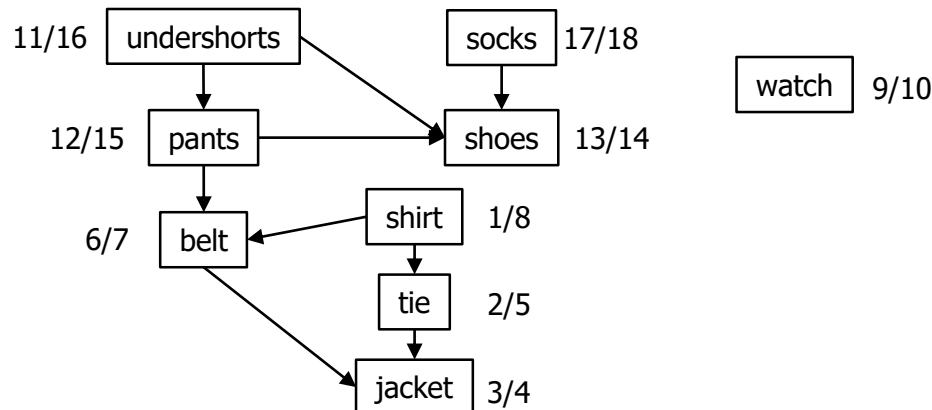
1. call DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$
2. as each vertex is finished, insert it onto the front of a linked list
3. **return** the linked list of vertices

- Running time of DFS :  $\Theta(|V|+|E|)$

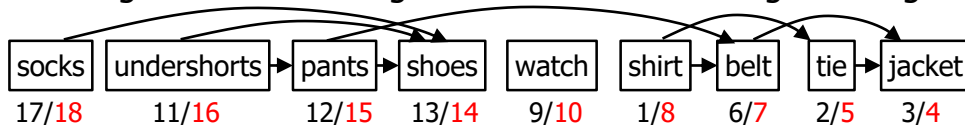


# Topological Sort

- Each directed edge  $(u,v)$  means that garment  $u$  must be put on before garment  $v$



- The same graph shown topologically sorted, with its vertices arranged from left to right in order of decreasing finishing time.





# Lemma 22.11

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- A directed graph  $G$  is acyclic if and only if a depth-first search of  $G$  yields no back edges.





# Lemma 22.11

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- A directed graph  $G$  is acyclic if and only if a depth-first search of  $G$  yields no back edges
- Proof ( $\Rightarrow$ ):
  - Assume that  $(u,v)$  is a back edge.
  - Then, vertex  $v$  is an ancestor of vertex  $u$  in the depth-first forest.
  - Thus,  $G$  contains a path  $p$  from  $v$  to  $u$ .
  - With the back edge  $(u, v)$  and  $p$ ,  $G$  has a cycle.





# Lemma 22.11

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- A directed graph  $G$  is acyclic if and only if a depth-first search of  $G$  yields no back edges
- Proof ( $\Leftarrow$ ):
  - Assume that  $G$  has a cycle  $c$ .
  - We show a depth-first search of  $G$  yields a back edge.
  - Let  $v$  be the first vertex to be discovered in  $c$ ,  $(u,v)$  be the preceding edge in  $c$ .
  - At time  $v.d$ , the cycle  $c$  forms a white path from  $v$  to  $u$ .
  - By the white-path theorem, vertex  $u$  becomes a descendant of  $v$  in the depth-first forest.
  - Therefore  $(u,v)$  is a back edge.

## Theorem 22.9 (White-path Theorem)

In a depth-first forest of a (directed or undirected) graph  $G=(V,E)$ , vertex  $v$  is a descendant of vertex  $u$  if and only if at the time  $u.d$  that the search discovers  $u$ , vertex  $v$  can be reached from  $u$  along a path consisting entirely of white vertices.





# Theorem 22.12

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- TOPOLOGICAL-SORT( $G$ ) produces a topological sort of the directed acyclic graph provided as its input.





# Theorem 22.12

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- TOPOLOGICAL-SORT( $G$ ) produces a topological sort of the directed acyclic graph provided as its input.
- Proof:
  - Assume that DFS is run on a given dag  $G=(V,E)$  to determine finishing times for its vertices.
  - It suffices to show that for any pair of distinct vertices  $u, v \in V$ , if  $G$  contains an edge from  $u$  to  $v$ , then  $v.f < u.f$
  - When  $(u,v)$  is explored,  $v$  can not be gray because it would make a cycle.
  - If  $v$  is white,  $v.f < u.f$  because  $v$  is descendant of  $u$ .
  - If  $v$  is black,  $v.f < u.f$  because  $v.f$  has already been set and we have yet to assign a timestamp to  $u.f$ .
  - Thus, for any edge  $(u,v)$  in the dag, we have  $v.f < u.f$ .





# Strongly Connected Components

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# Strongly Connected Components

- Many algorithms that work with directed graphs begin with such a decomposition.
- After decomposing the graph into strongly connected components, such algorithms run separately on each one and then combine the solutions according to the structure of connections among components.



# Strongly Connected Components

- A strongly connected component (SCC) of a directed graph  $G$  is a maximal set of vertices  $C \subseteq V$  such that for every pair of vertices  $u$  and  $v$  in  $C$ , vertices  $u$  and  $v$  are reachable from each other
- To find SCCs, call DFS twice, one on  $G$  and one on  $G^T$ 
  - Transpose of  $G$ :  $G^T = (V, E^T)$ , where  $E^T = \{(u, v) : (v, u) \in E\}$
  - Time Complexity:  $\Theta(|V| + |E|)$
- $G$  and  $G^T$  have exactly the same strongly connected components
  - $u$  and  $v$  are reachable from each other in  $G$  if and only if they are reachable from each other in  $G^T$ .



# Strongly Connected Components

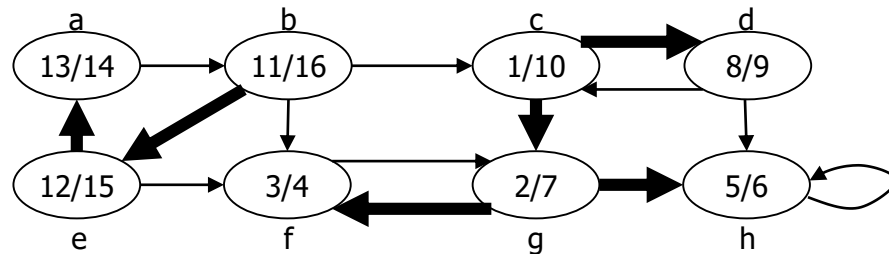
## STRONGLY-CONNECTED-COMPONENTS( $G$ )

1. call DFS( $G$ ) to compute finishing times  $u.f$  for each vertex  $u$
2. compute  $G^T$
3. call DFS( $G^T$ ), but in the main loop of DFS, consider the vertices in order of decreasing  $u.f$  (as computed in line 1)
4. output the vertices of each tree in the depth-first forest formed in line 3 as a separate strongly connected component



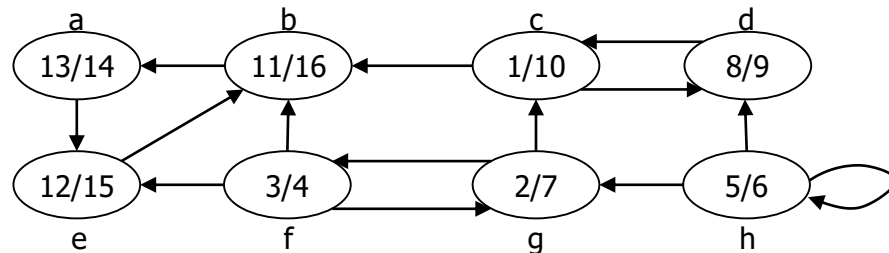
# Strongly Connected Components

- Call  $\text{DFS}(G)$  to compute finishing times



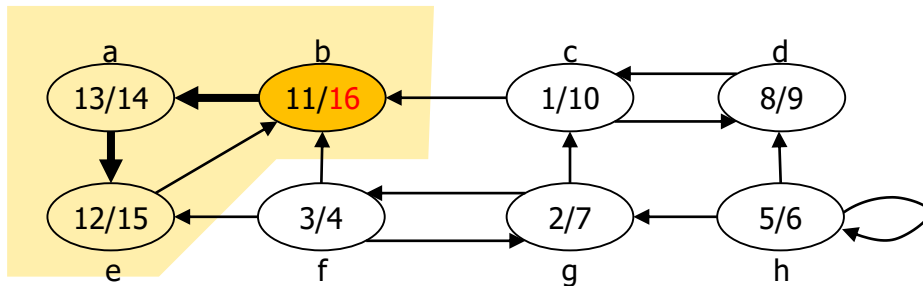
# Strongly Connected Components

- Compute  $G^T$ , transpose of  $G$



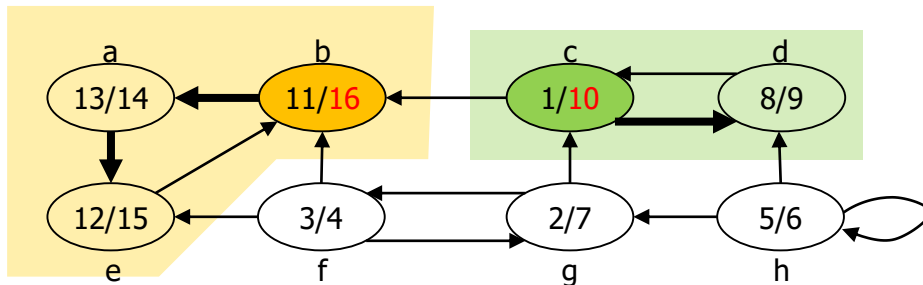
# Strongly Connected Components

- Call  $\text{DFS}(G^T)$  in order of decreasing  $u.f$



# Strongly Connected Components

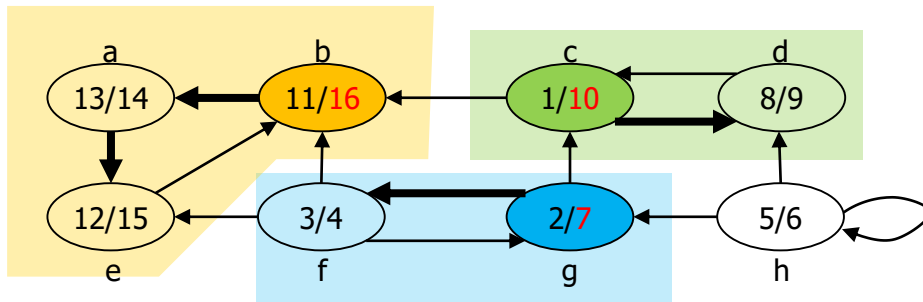
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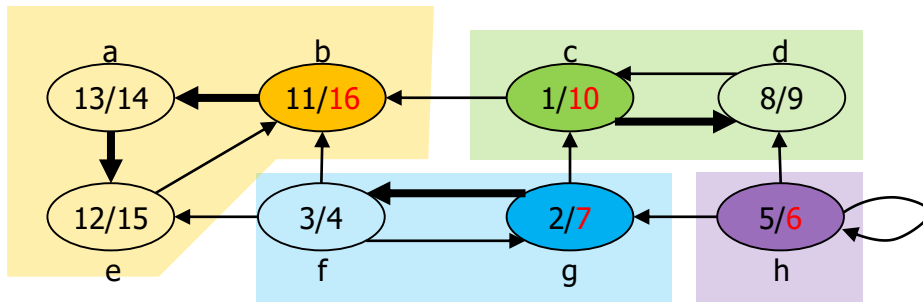
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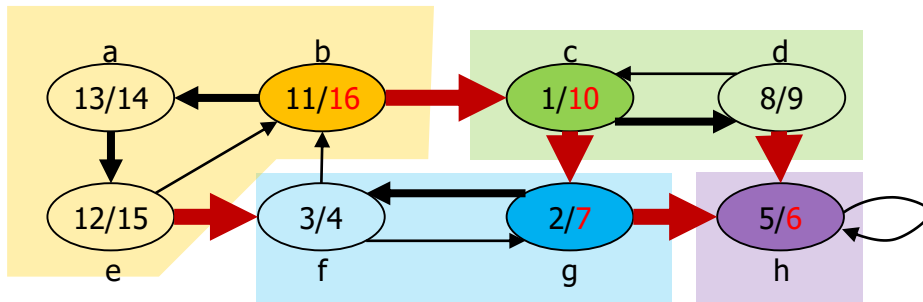
# Strongly Connected Components

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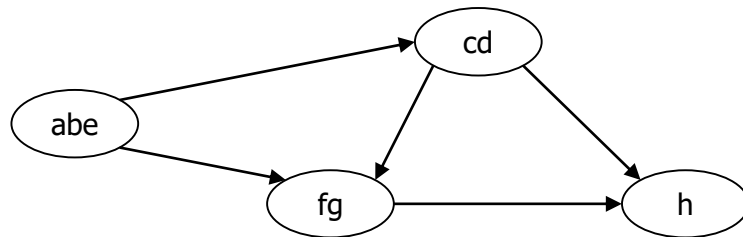
# Strongly Connected Components

- Output the strongly connected component



# Strongly Connected Components

- Output the strongly connected component



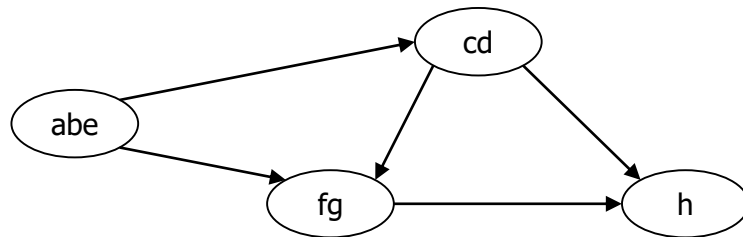
# Strongly Connected Components

- A strongly connected component (SCC) of a directed graph  $G$  is a maximal set of vertices  $C \subseteq V$  such that for every pair of vertices  $u$  and  $v$  in  $C$ , vertices  $u$  and  $v$  are reachable from each other
- Component graph  $G^{\text{SCC}} = (V^{\text{SCC}}, E^{\text{SCC}})$  (DAG)
  - Suppose that  $G$  has strongly connected components  $C_1, C_2, \dots, C_k$ .
  - The vertex set  $V^{\text{SCC}}$  is  $\{v_1, v_2, \dots, v_k\}$ , and it contains a vertex  $v_i$  for each strongly connected component  $C_i$  of  $G$ .
  - There is an edge  $(v_i, v_j) \in E^{\text{SCC}}$  if  $G$  contains a directed edge  $(x, y)$  for some  $x \in C_i$  and some  $y \in C_j$ .



# Strongly Connected Components

- The acyclic component graph  $G^{\text{SCC}}$  obtained by contracting all edges within each strongly connected component of  $G$  so that only a single vertex remains in each component.



# Strongly Connected Components

- Lemma 22.13
  - Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G=(V,E)$ , let  $u,v \in C$ , let  $u',v' \in C'$ , and suppose that  $G$  contains a path  $u \rightsquigarrow u'$ .
  - Then  $G$  cannot also contain a path  $v' \rightsquigarrow v$



# Strongly Connected Components

- Lemma 22.13
  - Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G=(V,E)$ , let  $u,v \in C$ , let  $u',v' \in C'$ , and suppose that  $G$  contains a path  $u \rightsquigarrow u'$ .
  - Then  $G$  cannot also contain a path  $v' \rightsquigarrow v$
- Proof
  - If  $G$  contains a path  $v' \rightsquigarrow v$ , then it contains paths  $u \rightsquigarrow u' \rightsquigarrow v'$  and  $v' \rightsquigarrow v \rightsquigarrow u$ .
  - Thus,  $u$  and  $v'$  are reachable from each other, thereby contradicting the assumption that  $C$  and  $C'$  are distinct strongly connected components.







# Strongly Connected Components

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- Let  $d(C) = \min_{u \in C} \{u.d\}$  and  $f(C) = \max_{u \in C} \{u.f\}$
- Lemma 22.14
  - Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G=(V,E)$ .
  - Suppose that there is an edge  $(u,v) \in E$ , where  $u \in C$  and  $v \in C'$ .
  - Then,  $f(C) > f(C')$ .

# Strongly Connected Components

- Let  $d(C) = \min_{u \in C} \{u.d\}$  and  $f(C) = \max_{u \in C} \{u.f\}$
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  - Suppose that there is an edge  $(u,v) \in E$ , where  $u \in C$  and  $v \in C'$ .
  - Then,  $f(C) > f(C')$ .
- Proof of Lemma 22.14 - when  $d(C) < d(C')$ ,
  - Let  $x$  be the first vertex discovered in  $C$ .
  - At time  $x.d$ , all vertices in  $C$  and  $C'$  are white.
  - At that time,  $G$  contains a path from  $x$  to each vertex in  $C$  consisting only of white vertices.
  - Because  $(u,v) \in E$ , for any vertex  $w \in C'$ , there is also a path in  $G$  at time  $x.d$  from  $x$  to  $w$  consisting only of white vertices :  $x \rightsquigarrow u \rightarrow v \rightsquigarrow w$ .
  - By the white-path theorem, all vertices in  $C$  and  $C'$  become descendants of  $x$  in the depth-first tree.
  - By Corollary 22.8,  $x$  has the latest finishing time of any of its descendants, and so  $x.f = f(C) > f(C')$ .

## Theorem 22.9 (White-path Theorem)

In a depth-first forest of a (directed or undirected) graph  $G=(V,E)$ , vertex  $v$  is a descendant of vertex  $u$  if and only if at the time  $u.d$  that the search discovers  $u$ , vertex  $v$  can be reached from  $u$  along a path consisting entirely of white vertices.



# Strongly Connected Components

- Let  $d(C) = \min_{u \in C} \{u.d\}$  and  $f(C) = \max_{u \in C} \{u.f\}$
- Lemma 22.14
  - Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G=(V,E)$ .
  - Suppose that there is an edge  $(u,v) \in E$ , where  $u \in C$  and  $v \in C'$ .
  - Then,  $f(C) > f(C')$ .
- Proof of Lemma 22.14 - when  $d(C) > d(C')$ ,
  - Let  $y$  be the first vertex discovered in  $C'$ .
  - At time  $y.d$ , all vertices in  $C'$  are white and  $G$  contains a path from  $y$  to each vertex in  $C'$  consisting only of white vertices.
  - By the white-path theorem, all vertices in  $C'$  become descendants of  $y$  in the depth-first tree.
  - By corollary 22.8,  $y.f = f(C')$ .
  - At time  $y.d$ , all vertices in  $C$  are white.
  - Since there is an edge  $(u,v)$  from  $C$  to  $C'$ , Lemma 22.13 implies that there cannot be a path from  $C'$  to  $C$ .
  - Hence, no vertex in  $C$  is reachable from  $y$ .
  - At time  $y.f$  all vertices in  $C$  are still white.
  - Thus, any vertex  $w$  in  $C$ ,  $w.f > y.f$ , meaning  $f(C) > f(C')$ .



# Strongly Connected Components

- Corollary 22.15
  - Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G=(V,E)$ .
  - Suppose that there is an edge  $(u,v) \in E^T$ , where  $u \in C$  and  $v \in C'$ .
  - Then  $f(C) < f(C')$



# Strongly Connected Components

- Proof of Corollary 22.15
  - Since  $(u,v) \in E^T$ , we have  $(v,u) \in E$ .
  - Because the strongly connected components of  $G$  and  $G^T$  are the same, Lemma 22.14 implies that  $f(C) < f(C')$ .



# Strongly Connected Components



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- Theorem 22.16
  - The STRONGLY-CONNECTED-COMPONENTS procedure correctly computes the strongly connected components of the directed graph  $G$  provided as its input.



# Strongly Connected Components

- Proof of Theorem 22.16
- We argue by induction on the number of depth-first trees found in the depth-first search of  $G^T$  that the vertices of each tree form a strongly connected component.
- Inductive hypothesis
  - The first  $k$  trees produced are strongly connected components.
- Basis case
  - When  $k = 0$ , it is trivial.



# Strongly Connected Components

- Proof of Theorem 22.16 (continued)
- Inductive step
  - Assume that each of the first  $k$  depth-first trees is a strongly connected component, and we consider the  $(k+1)$ -st tree.
  - Let the root of this tree be vertex  $u$ , and let  $u$  be in a strongly connected component (SCC)  $C$ .
  - Because we choose roots in decreasing order of finishing time,  $u.f = f(C) > f(C')$  for any SCC  $C'$  other than  $C$  that has yet to be visited.
  - By the inductive hypothesis, at the time that the search visits  $u$ , all other vertices of  $C$  are white.
  - By the white-path theorem, therefore, all other vertices of  $C$  are descendants of  $u$  in its depth-first tree.





# Strongly Connected Components

- Proof of Theorem 22.16 (continued)
- Inductive step (continued)
  - Moreover, by the inductive hypothesis and by Corollary 22.15, any edges in  $G^T$  that leave  $C$  must be to SCCs that have already been visited.
  - Thus, no vertex in any strongly connected component other than  $C$  will be a descendant of  $u$  during the depth-first search of  $G^T$ .
  - Thus, the vertices of the depth-first tree in  $G^T$  that is rooted at  $u$  form exactly one strongly connected component, which completes the inductive step and the proof.

## Corollary 22.15

Let  $C$  and  $C'$  be distinct strongly connected components in directed graph  $G=(V,E)$ .  
Suppose that there is an edge  $(u,v) \in E^T$ , where  $u \in C$  and  $v \in C'$ .  
Then  $f(C) < f(C')$



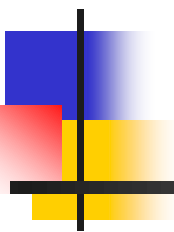


# Any Question?

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# Introduction to Algorithms (Chapter 23: Minimum Spanning Trees)



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# Outline

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- In this chapter, we shall examine two algorithms for solving the minimum spanning-tree problem: Kruskal's algorithm and Prim's algorithm.





# Minimum Spanning Tree

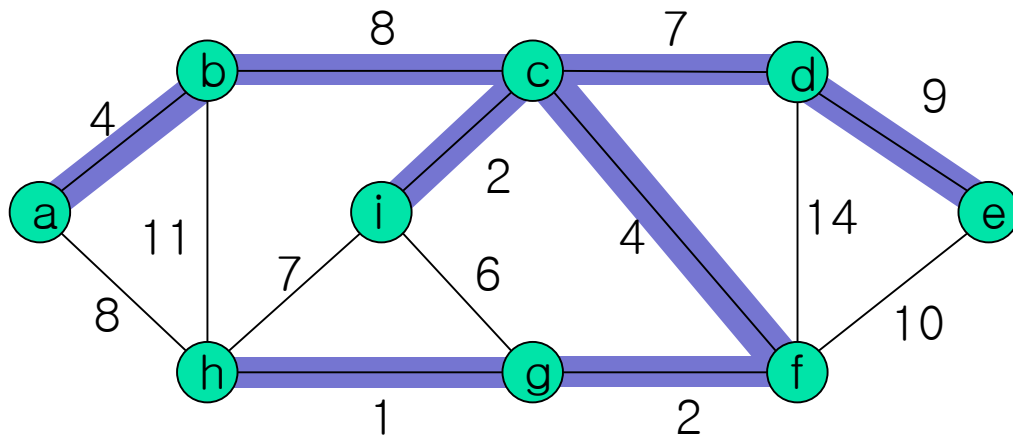
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- In the design of electronic circuitry, it is often necessary to make the pins of several components electrically equivalent by wiring together.
- To interconnect a set of  $n$  pins, we can use an arrangement of  $n-1$  pins.
- Of all such arrangements, the one using the least amount of wire is the most desirable.



# Minimum Spanning Tree

- Given a **connected, undirected, weighted** graph
- Find a spanning tree using edges that minimizes the total weight



# Minimum Spanning Tree

- We can model this wiring problem with a connected, undirected graph  $G=(V,E)$ , where
  - $V$  is the set of pins
  - $E$  is the set of possible interconnections between pair of pins
  - A weight  $w(u,v)$  for each edge  $(u,v)\in E$  that specifying the cost to connect  $u$  and  $v$
- Find an acyclic subset  $T\subseteq E$  that connects all of the vertices and whose total weight  $w(T) = \sum_{(u,v)\in T} w(u,v)$  is minimized.
- Since  $T$  is acyclic and connects all the vertices, we call a minimum spanning tree.





# Minimum Spanning Tree

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- We first introduce a “generic” minimum-spanning-tree method that grows a spanning tree by adding one edge at a time.
- We shall examine two greedy algorithms for solving the minimum spanning-tree problem.
- The greedy strategy advocates making the choice that is the best at the moment.
- We prove that certain greedy strategies do yield a spanning tree with minimum weight.
- We make both Kruskal’s algorithm and Prim’s algorithm run in time  $O(E \lg V)$  using ordinary binary heaps.
- By using Fibonacci heaps, Prim’s algorithm runs in time  $O(E + V \lg V)$ , which improves over the binary-heap implementation if  $|V|$  is much smaller than  $|E|$ .





# Minimum Spanning Tree

- Let  $A$  be a subset of a minimum spanning tree .
- An edge  $(u,v)$  is safe if we can add it to  $A$  while maintaining that  $A \cup \{(u, v)\}$  is also a subset of a minimum spanning tree.

GENERIC-MST( $G, w$ )

1.  $A = \emptyset$
2. **while**  $A$  does not form a spanning tree
3.     find an edge  $(u,v)$  that is safe for  $A$
4.      $A = A \cup \{(u,v)\}$
5. **return**  $A$





# Minimum Spanning Tree

---

- Loop Invariant
  - Prior to each iteration,  $A$  is a subset of some minimum spanning tree.
- Initialization
  - After line 1, the set  $A = \emptyset$  trivially satisfies the loop invariant.

GENERIC-MST( $G, w$ )

1.  $A = \emptyset$
2. **while**  $A$  does not form a spanning tree
3.     find an edge  $(u,v)$  that is safe for  $A$
4.      $A = A \cup \{(u,v)\}$
5. **return**  $A$





# Minimum Spanning Tree

---

- Loop Invariant
  - Prior to each iteration,  $A$  is a subset of some minimum spanning tree.
- Maintenance
  - The loop in lines 2-4 maintains the invariant by adding only safe edges.

GENERIC-MST( $G, w$ )

1.  $A = \emptyset$
2. **while**  $A$  does not form a spanning tree
3.     find an edge  $(u,v)$  that is safe for  $A$
4.      $A = A \cup \{(u,v)\}$
5. **return**  $A$





# Minimum Spanning Tree

---

- Loop Invariant
  - Prior to each iteration,  $A$  is a subset of some minimum spanning tree.
- Termination
  - All edges added to  $A$  are in a minimum spanning tree, and so the set  $A$  returned in line 5 must be a minimum spanning tree.

GENERIC-MST( $G, w$ )

1.  $A = \emptyset$
2. **while**  $A$  does not form a spanning tree
3.     find an edge  $(u,v)$  that is safe for  $A$
4.      $A = A \cup \{(u,v)\}$
5. **return**  $A$





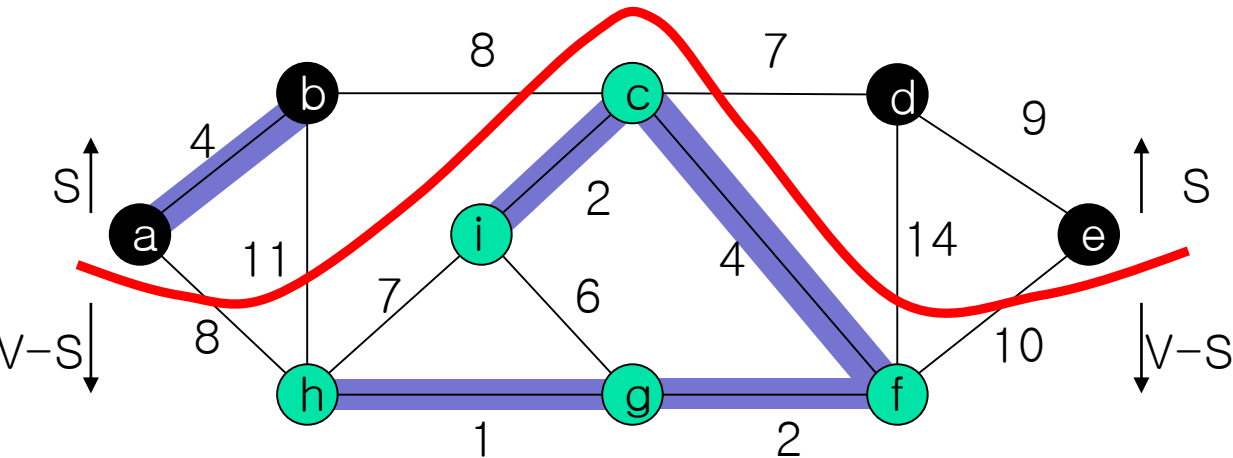
# Minimum Spanning Tree

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- If  $A \cup \{(u,v)\}$  is also a subset of a minimum spanning tree, we call the edge  $(u,v)$  a safe edge.
- A cut  $(S, V-S)$  of an undirected graph  $G=(V,E)$  is a partition of  $V$ .
- An edge  $(u,v) \in E$  crosses the cut  $(S, V-S)$  if one of its endpoints is in  $S$  and the other is in  $V-S$ .
- A cut respects a set  $A$  of edges if no edge in  $A$  crosses the cut.
- An edge is a light edge crossing a cut if its weight is the minimum of any edge crossing the cut.



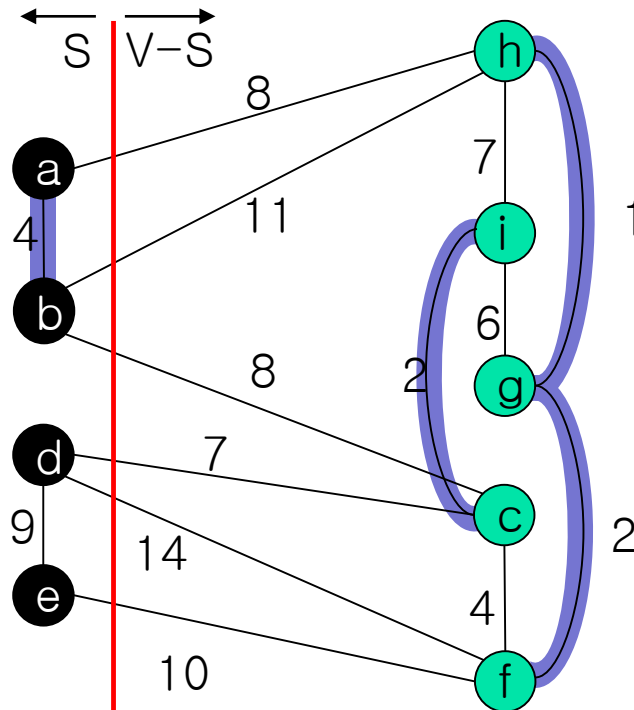
# One Way of Viewing a Cut $(S, V-S)$



- Black vertices are in the set  $S$ , and green vertices are in  $V-S$ .
- The edge  $(d,c)$  is the unique light edge crossing the cut.



# Another Way of Viewing a Cut ( $S, V-S$ )





# Theorem 23.1

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- Let  $G=(V,E)$  be a connected undirected graph with a real-valued weight function  $w$  defined on  $E$ .
- Let  $A$  be a subset of  $E$  that is included in some minimum spanning tree for  $G$ .
- Let  $(S,V-S)$  be any cut of  $G$  that respects  $A$  and let  $(u,v)$  be a light edge crossing  $(S,V-S)$ .
- Then, the edge  $(u,v)$  is safe for  $A$







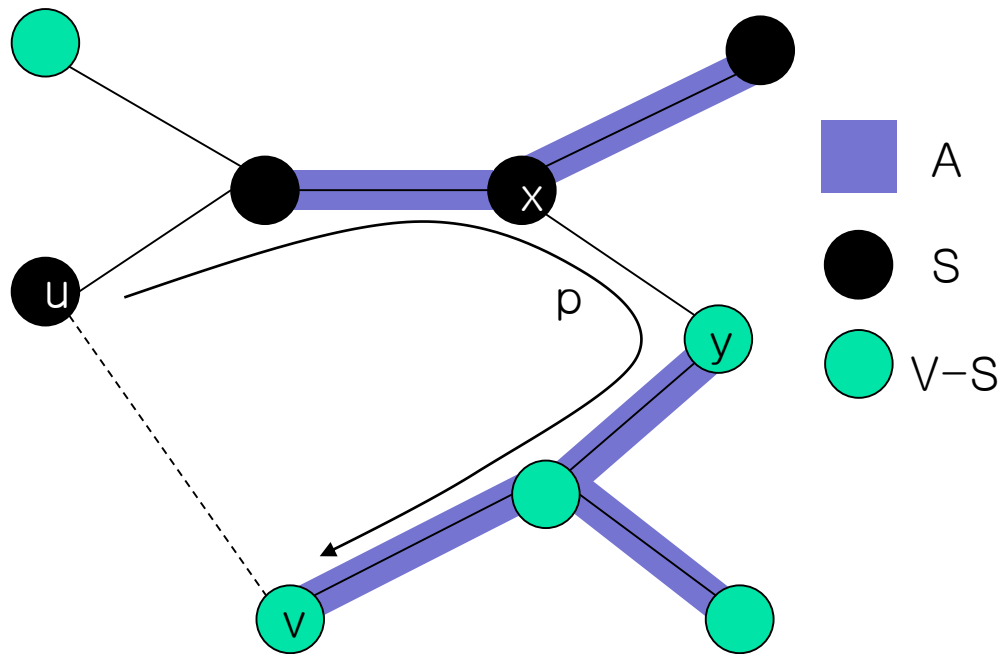
# Theorem 23.1 (Proof)

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- Let  $T$  be a minimum spanning tree that includes  $A$
- Assume that  $T$  does not contain the light edge  $(u,v)$
- Construct another minimum spanning tree  $T'$  that include  $A \cup \{(u,v)\}$  by using a cut-and-paste technique, thereby showing that  $(u,v)$  is a safe edge for  $A$



# Theorem 23.1 (Proof)



- The edge  $(x, y)$  is an edge on the unique simple path  $p$  from  $u$  to  $v$  in  $T$  and the edges in  $A$  are shaded.





## Theorem 23.1 (Proof)

---

- The light edge  $(u,v)$  forms a cycle with the edge on the simple path  $p$  from  $u$  to  $v$  in  $T$ .
- Since  $u$  and  $v$  are on opposite sides of the cut  $(S, V-S)$ , there is at least one edge in  $T$  on the simple path  $p$  that also crosses the cut. Let  $(x,y)$  be any such edge.
- The edge  $(x, y)$  is not in  $A$ , since the cut respects  $A$ .
- Since  $(x,y)$  is on the unique path from  $u$  to  $v$  in  $T$ , removing  $(x,y)$  breaks  $T$  into two components.
- Adding  $(u,v)$  re-connects them to form a new spanning tree  $T' = T - \{(x,y)\} \cup \{(u,v)\}$ .





## Theorem 23.1 (Proof)

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- We next show that  $T' = T - \{(x,y)\} \cup \{(u,v)\}$  is a minimum spanning tree.
- Since  $(u, v)$  is a light edge crossing  $(S, V-S)$  and  $(x, y)$  also crosses this cut, we have  $w(u,v) \leq w(x, y)$  resulting that  $w(T') = w(T) - w(x,y) + w(u,v) \leq w(T)$ .
- But  $w(T) \leq w(T')$ , since  $T$  is a minimum spanning tree.
- Thus,  $T'$  must be a minimum spanning tree.
- Because  $A \subseteq T'$  and  $A \cup \{(u,v)\} \subseteq T'$  where  $T'$  is a minimum spanning tree,  $(u,v)$  is safe for  $A$ .

