2021 Fall

"Phase Transformation *in* Materials"

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Contents in Phase Transformation

Background to understand phase transformation

(Ch1) Thermodynamics and Phase Diagrams

(Ch2) Diffusion: Kinetics

(Ch3) Crystal Interface and Microstructure

Representative Phase transformation

(Ch4) Solidification: Liquid $\rightarrow$ Solid

(Ch5) Diffusional Transformations in Solid: Solid $\rightarrow$ Solid

(Ch6) Diffusionless Transformations: Solid $\rightarrow$ Solid

Contents for today's class

< Phase Transformation in Solids >

1) **Diffusional Transformation:** Thermally-activated process= rate$\propto$ exp(-ΔG*/kT)

2) **Non-diffusional Transformation:** Athermal Transformation

- **Precipitate nucleation in solid (homogeneous/ heterogeneous)**

- **Precipitate growth**

   1) Growth behind Planar Incoherent Interfaces

   2) Diffusion Controlled lengthening of Plates or Needles

   3) Thickening of Plate-like Precipitates by Ledge Mechanism

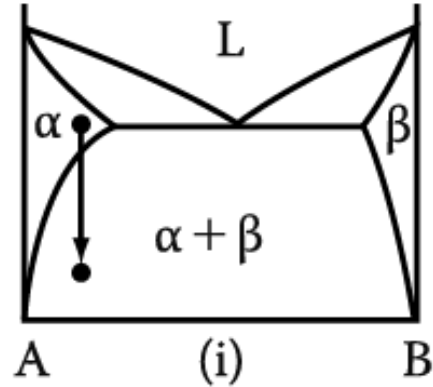# Q1: What kind of representative diffusion transformations in solid exist?

5. Diffusion Transformations in solid

: diffusional nucleation & growth

(a) Precipitation

$$\boxed{\alpha' \rightarrow \alpha + \beta}$$
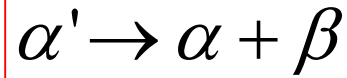
Metastable supersaturated solid solution

Homogeneous Nucleation
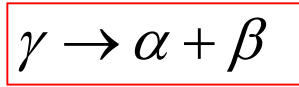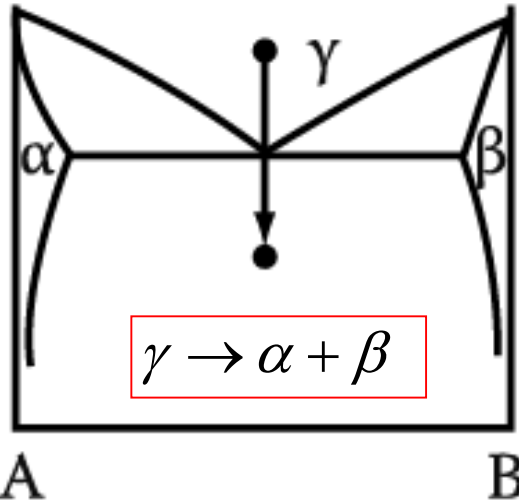
$$\Delta G = -V\Delta G_V + A\gamma + V\Delta G_S$$

$$N_{\text{hom}} = \omega\, C_0 \exp\left(-\frac{\Delta G_m}{kT}\right)\exp\left(-\frac{\Delta G^*}{kT}\right)$$

Heterogeneous Nucleation

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

➡ **suitable nucleation sites ~ nonequilibrium defects**
(creation of nucleus~destruction of a defect($-\Delta G_d$))

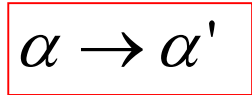### (b) Eutectoid Transformation

Composition of product phases differs from that of a parent phase.
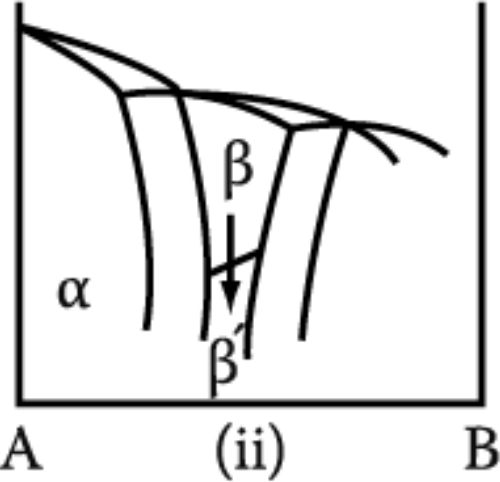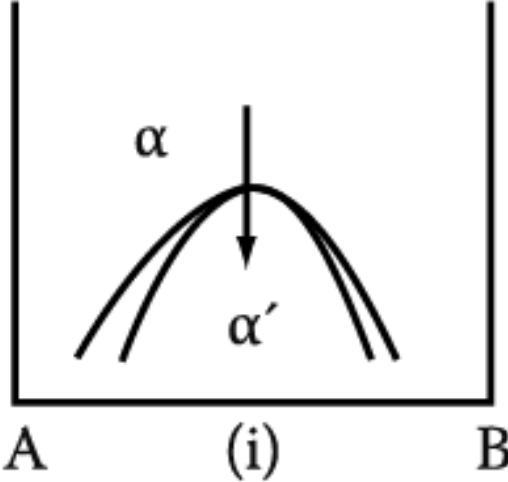**→ long-range diffusion**

Which transformation proceeds by short-range diffusion?

$$\boxed{\gamma \rightarrow \alpha + \beta}$$

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5. Diffusion Transformations in solid

(c) Order-Disorder Transformation

$$\alpha \rightarrow \alpha'$$

Disorder   **Order**
(high temp.) (low temp.)

α

α′

A   (i)   B

β

α

β′

A   (ii)   B

(d) Massive Transformation

: The original phase decomposes into one or more new phases which have the <u>same composition</u> as the parent phase, but <u>different crystal structures.</u>

Stable

α   β

A   (i)   B

$$\beta \rightarrow \alpha$$

metastable

β

α

A   (ii)   B
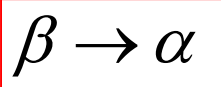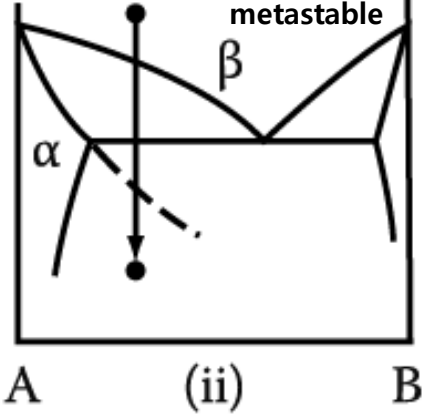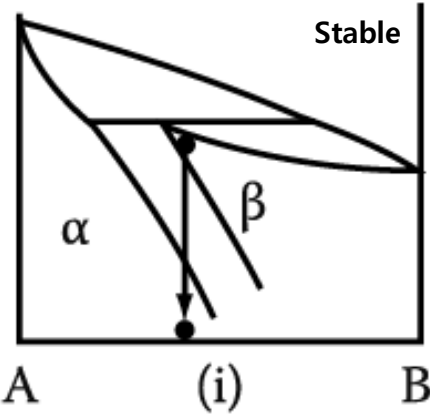
(e) Polymorphic Transformation

γ

α

A

In single component systems, <u>different crystal structures are stable over different temperature ranges.</u>

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Q2: Homogeneous nucleation in solid?

Homogeneous Nucleation in Solids

Free Energy Change Associated with the Nucleation

Negative and Positive Contributions to ΔG?

1) **Volume Free Energy :** $\qquad -V\Delta G_V$
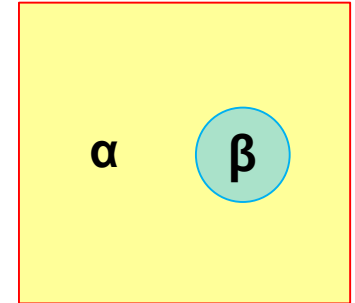
2) **Interface Energy :** $\qquad A\gamma$

3) **Misfit Strain Energy :** $\qquad V\Delta G_S$

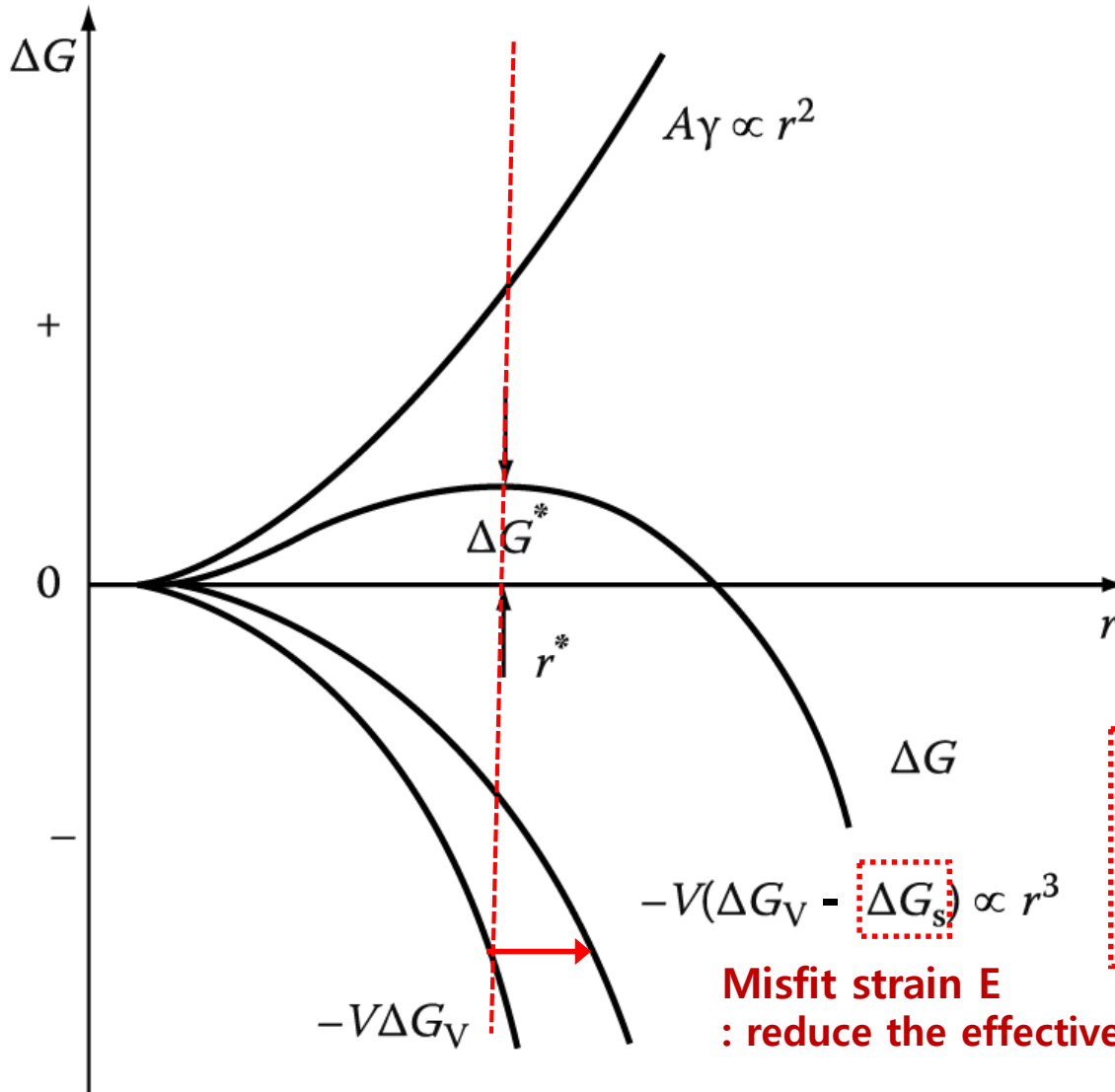$$\Delta G = -V\Delta G_V + A\gamma + V\Delta G_S$$

for spherical nucleation

$$\Delta G = -\frac{4}{3}\pi r^3 (\Delta G_V - \Delta G_S) + 4\pi r^2 \gamma$$

Plot of ΔG vs r?

r* = ?

ΔG* = ?

Homogeneous Nucleation in Solids

$$r^* = \frac{2\gamma}{(\Delta G_V - \Delta G_S)}$$

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$

: **driving force for nucleation**

Most effective way of minimizing ΔG*

~ formation of nuclei with the smallest total interfacial E

Very similar to the expression for solidification (L→S)

$$r^* = \frac{2\gamma_{SL}}{\Delta G_V} = \left(\frac{2\gamma_{SL}T_m}{L_V}\right)\frac{1}{\Delta T}$$

$$\Delta G^* = \frac{16\pi\gamma_{SL}^3}{3(\Delta G_V)^2} = \left(\frac{16\pi\gamma_{SL}^3 T_m^2}{3L_V^2}\right)\frac{1}{(\Delta T)^2}$$

$A\gamma \propto r^2$

ΔG^*

r^*

ΔG

$-V(\Delta G_V - \Delta G_S) \propto r^3$

$-V\Delta G_V$

Misfit strain E
: reduce the effective driving force for the transformation

Fig. 5.2 The variation of ΔG with r for a homogeneous nucleus. There is a activation energy barrier ΔG*.   9

Homogeneous Nucleation in Solids

Concentration of Critical Size Nuclei per unit volume

$$C^* = C_0 \exp(-\Delta G^*/kT)$$

C_0 : number of atoms per unit volume in the parent phase

Homogeneous Nucleation Rate

If each nucleus can be made supercritical at a rate of f per second,

$$N_{hom} = f\,C^*$$

$$f = \omega\,exp\left(-\Delta G_m/kT\right)$$

: f depends on how frequently a critical nucleus can receive an atom from the α matrix.

$\omega \propto$ *vibration frequency, area of critical nucleus*

ΔG_m : *activation energy for atomic migration*

$$N_{hom} = \omega\,C_0 \exp\left(-\frac{\Delta G_m}{kT}\right)\exp\left(-\frac{\Delta G^*}{kT}\right)$$

: This eq. is basically same with eq (4.12) except considering temp. dependence of f.

Homogeneous Nucleation rate

$$N_{hom} = f_0 C_o \exp\left(-\frac{\Delta G^*_{hom}}{kT}\right)$$

nuclei / m³·s

$$N_{hom} = \omega \, C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

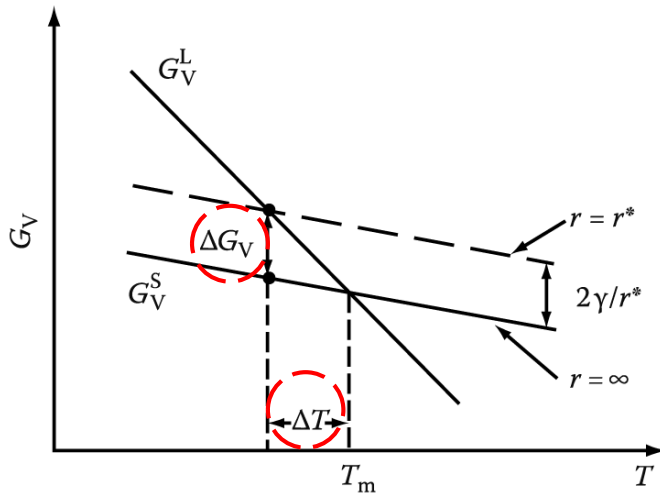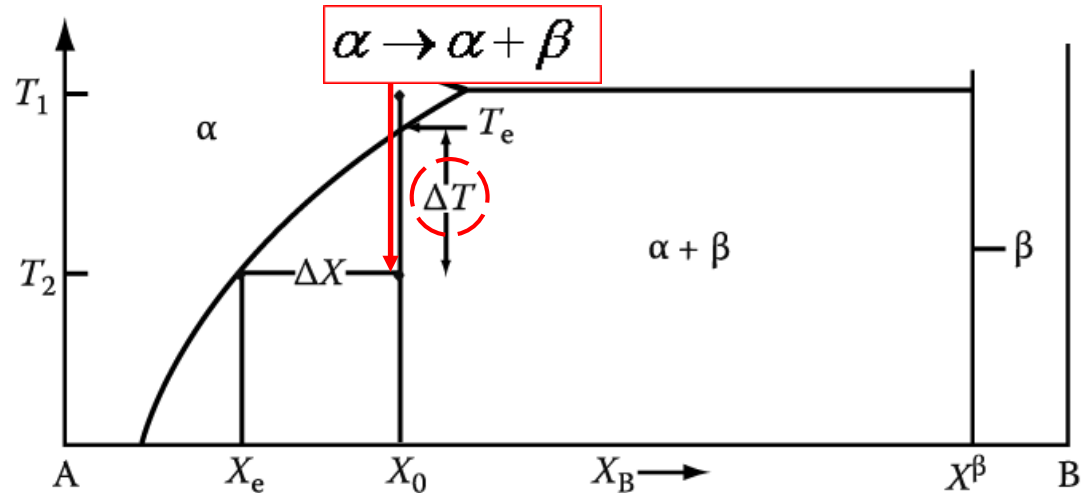**: strongly temp. dependent**

ΔG*는 온도에 민감

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$

ΔG$_V$ (driving force for precipitation)_main factor of **ΔG***

→ **magnitude of ΔG$_V$** ~ 조성변화에 의존

Liquid → Solid

1) For X$_0$, solution treatment at T_1

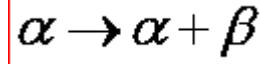**2) For X$_0$, quenching down to T_2**

α' → α+β

: supersaturated α with B → β precipitation in α

Total Free Energy Decrease per Mole of Nuclei ΔG_0

: overall driving force for transformation/ different with driving force for nucleation

Driving Force for Precipitate Nucleation $\boxed{\alpha \rightarrow \alpha + \beta}$ $\Delta G_V = \dfrac{\Delta G_n}{V_m}$

$$\Delta G_1 = \mu_A^\alpha X_A^\beta + \mu_B^\alpha X_B^\beta$$

: **Decrease of total free E of system**
by removing a small amount of material
with the nucleus composition (X_B^β) (P point)

$$\Delta G_2 = \mu_A^\beta X_A^\beta + \mu_B^\beta X_B^\beta$$

: **Increase of total free E of system**
by forming β phase with composition X_B^β
(Q point)

$$\Delta G_n = \Delta G_2 - \Delta G_1 \quad \text{(length PQ)}$$

$$\Delta G_V = \frac{\Delta G_n}{V_m} \quad per\ unit\ volume\ of\ \beta$$

: **driving force for β precipitation**

For dilute solutions,

$$\Delta G_V \propto \Delta X \quad where\ \Delta X = X_0 - X_e$$

Composition dependent

$$\Delta G_V \propto \Delta X \propto \Delta T$$

∝ **undercooling below T_e**

(a) phase diagram with axes X_B, temperatures T_1, T_e, T_2, regions α, $\alpha + \beta$, β, ΔT, ΔX, points X_e, X_0, X^β

(b) @T_2 free energy G vs X_B diagram with curves G^α, G^β, points P, Q, μ_B^α, μ_A^β, μ_A^a, μ_B^β, ΔG_n, ΔG_0

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Effective equilibrium temperature is reduced by misfit strain E term, ΔGs.

Thermodynamics

vs

Kinetics

(a)

(b)

$$\Delta G_V \propto \Delta X \propto \Delta T$$

Composition dependent

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$

Resultant energy barrier for nucleation

$(\Delta G_v - \Delta G_s)$
Effective driving force
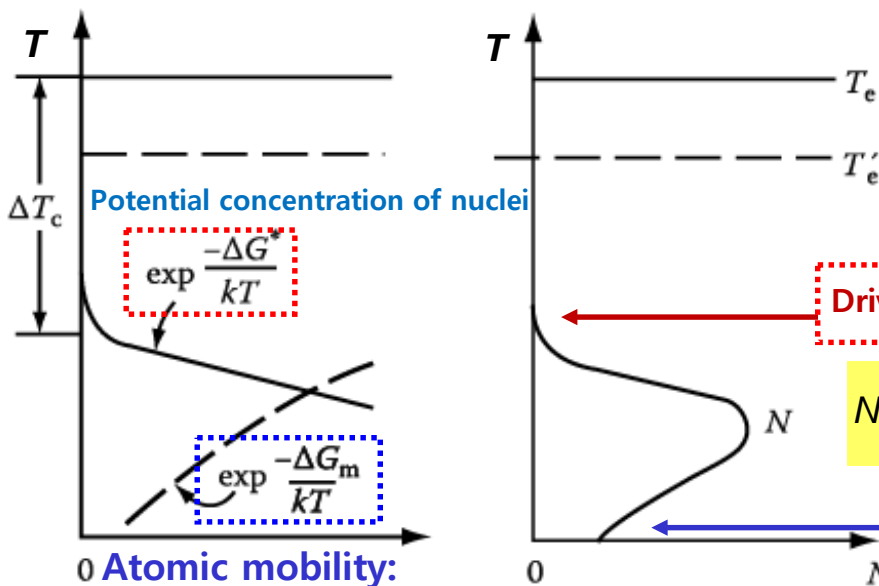
Critical undercooling ΔT_c

Potential concentration of nuclei

$\exp\dfrac{-\Delta G^*}{kT}$

$\exp\dfrac{-\Delta G_m}{kT}$

Driving force ΔGv~too small → N~negligible

$$N_{hom} = \omega\, C_0 \exp\left(-\frac{\Delta G_m}{kT}\right) \exp\left(-\frac{\Delta G^*}{kT}\right)$$

Diffusion~too slow → N~negligible

(c) **ΔGm=const, T↓ →AM↓** (d) ΔG_m : activation energy for atomic migration

Atomic mobility:

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The Effect of ΔT on ΔG*$_{het}$ & ΔG*$_{hom}$?_Critical undercooling, ΔT$_c$

Plot ΔG*$_{het}$ & ΔG*$_{hom}$ vs ΔT and N vs ΔT.

ΔG^*_{hom}

Critical value for detectable nucleation

ΔG^*_{het}

(a)

ΔT_c^{hom}
Critical undercooling

N_{het}
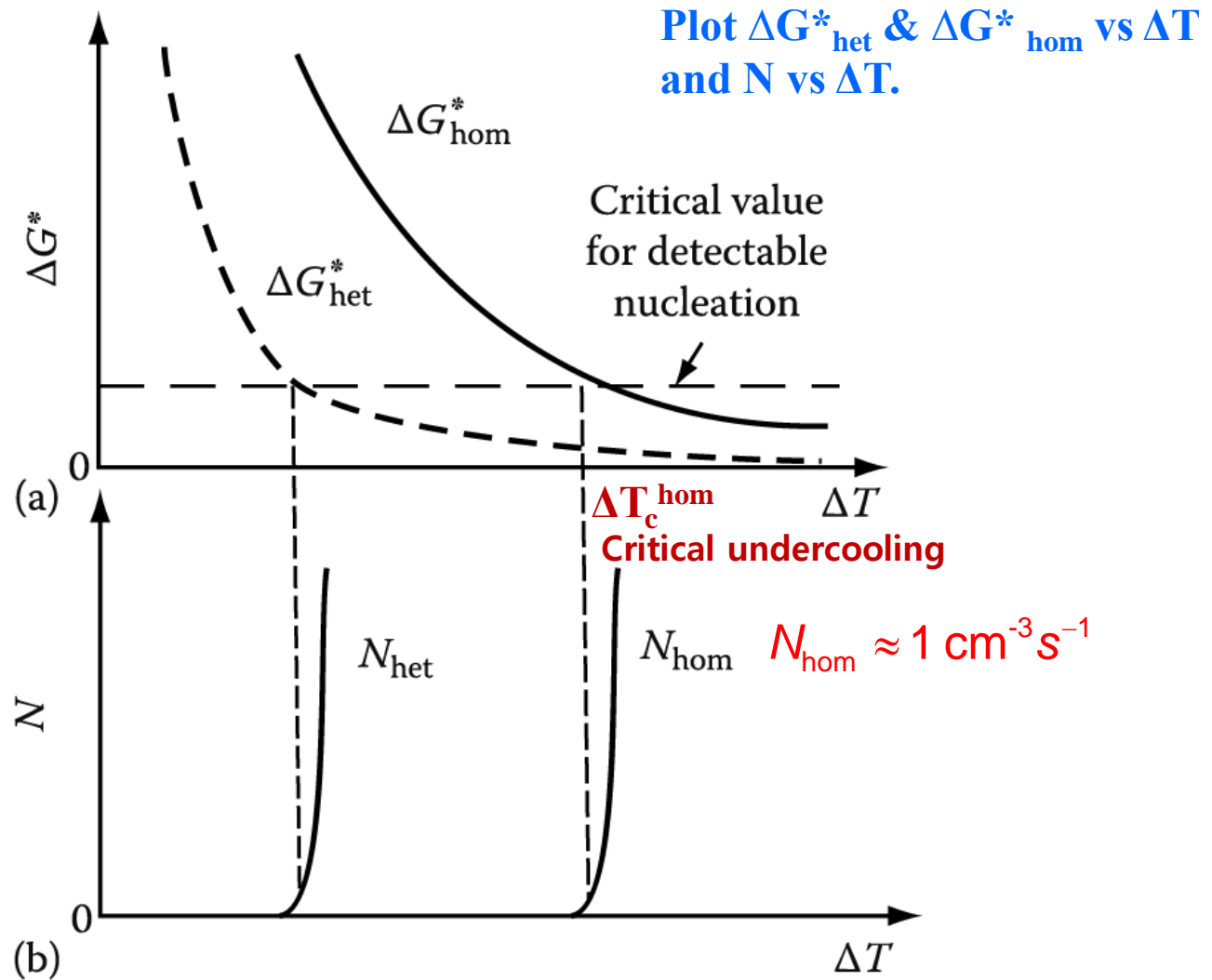
N_{hom}

$N_{hom} \approx 1\ cm^{-3}s^{-1}$

(b)

Fig. 4.9 (a) Variation of ΔG* with undercooling (ΔT) for homogeneous and heterogeneous nucleation. (b) The corresponding nucleation rates assuming the same critical value of ΔG*   14

The Effect of Alloy Composition on the Nucleation Rate
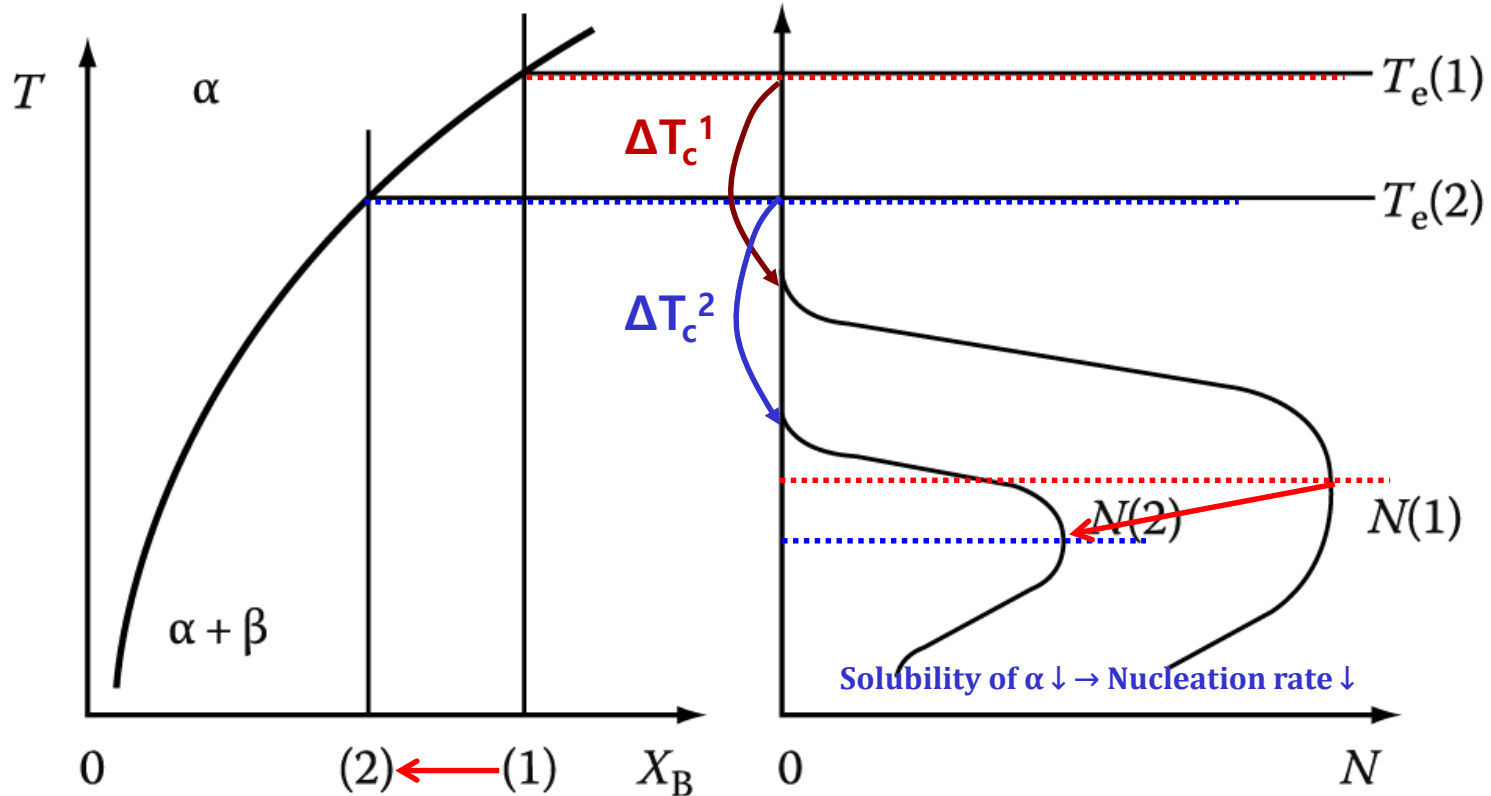### Compare the two plots of T vs N(1) and T vs N(2).

Fig. 5.5 The effect of alloy composition on the nucleation rate. The nucleation rate in alloy 2 is always less than in alloy 1.

지금까지의 논의는 생성되는 핵이 β 상과 같은 구조, 평형 조성을 갖고, 형상은 구 라고 가정

단, 실제 어떤 핵이 형성되느냐? →어느 경우 최소의 ΔG* 필요로 하나?→ <u>최소의 총 계면에너지를 갖는 핵 생성</u>

(a) 핵이 모상과 방위관계를 갖고 <u>정합계면</u> 형성하면 → ΔGs 증가 & Te′ 감소

　　그러나, Te′ 이하에서는 정합계면 생성에 의한 <u>γ 감소</u>가 ΔGs 증가 효과보다 더 커질 수 있음.

　　→ ΔG* 크게 감소 → 균일핵생성 발생 가능

(b) In most system, α, β phase~ <u>different crystal structure</u> → <u>부정합 핵은 γ가 커서 평형</u>

　　<u>β상의 균일 핵생성 불가능</u> → **metastable phase β′ 균일핵생성** (GP Zones, Section 5.5.1)

Q3: Heterogeneous nucleation in solid?

Heterogeneous Nucleation in Solids

➡️ **most cases, heterogeneous nucleation_suitable nucleation sites ~ nonequilibrium defects**
(creation of nucleus~destruction of a defect(-ΔG_d) & reducing the activation E barrier)

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

Nucleation on Grain Boundaries

Assumption: ΔG_S (misfit strain E)= 0,

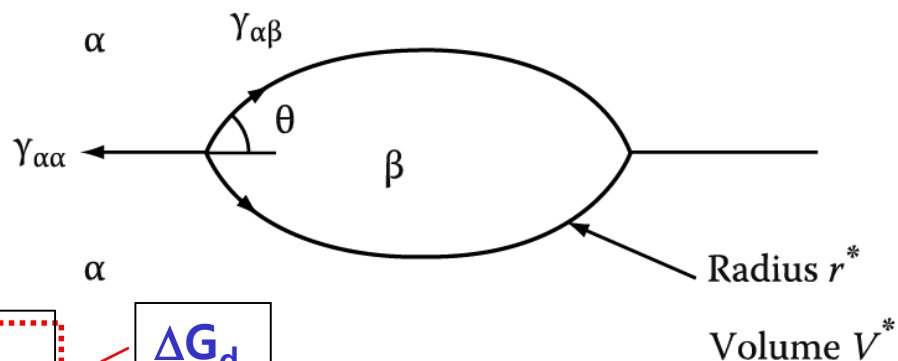**Optimum embryo shape should be that which minimizes the total interfacial free E.**

$$\cos \theta = \gamma_{\alpha\alpha} / 2\gamma_{\alpha\beta}$$

(by assuming $\gamma_{\alpha\beta}$ is isotropic and equal for both grains)

Critical nucleus size(V*) for grain-boundary nucleation

$$\Delta G = -V\Delta G_V + A_{\alpha\beta}\gamma_{\alpha\beta} - \boxed{A_{\alpha\alpha}\gamma_{\alpha\alpha}}$$

ΔG_d

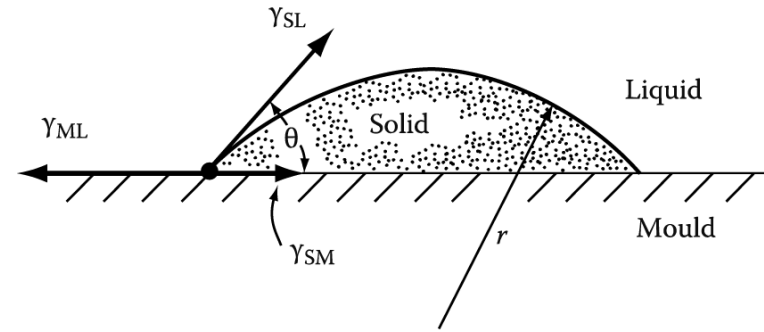➡️ **Excess free E associated with the embryo~analogous to solidification on a substrate (Section 4.1.3) (next page)**

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Barrier of Heterogeneous Nucleation in S→L transformation

$$\Delta G_{het} = -V_S \Delta G_v + A_{SL}\gamma_{SL} + A_{SM}\gamma_{SM} - A_{SM}\gamma_{ML}$$

$$\Delta G^* = \frac{16\pi\gamma_{SL}^3}{3\Delta G_V^2} \cdot S(\theta) = \frac{16\pi\gamma_{SL}^3}{3\Delta G_V^2} \cdot \frac{(2-3\cos\theta + \cos^3\theta)}{4}$$
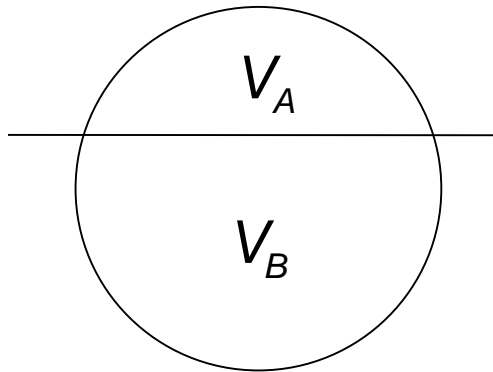

Shape factor

$S(\theta)$ has a numerical value ≤ 1 dependent only on θ (the shape of the nucleus)

$$\Delta G_{het}^* = S(\theta)\Delta G_{hom}^* \quad \Longrightarrow \quad r^* = \frac{2\gamma_{SL}}{\Delta G_V} \quad and \quad \Delta G^* = \frac{16\pi\gamma_{SL}^3}{3\Delta G_V^2} \cdot S(\theta)$$

$$\Delta G_{het}^* = \Delta G_{homo}^* \left(\frac{2-3\cos\theta + \cos^3\theta}{4} \right)$$

$$\frac{V_A}{V_A + V_B} = \frac{2-3\cos\theta + \cos^3\theta}{4} = S(\theta)$$

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Heterogeneous Nucleation in Solids

➡️ **most cases, heterogeneous nucleation_suitable nucleation sites ~ nonequilibrium defects**
(creation of nucleus~destruction of a defect($-\Delta G_d$) & reducing the activation E barrier)

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

Nucleation on Grain Boundaries

Assumption: ΔG_S **(misfit strain E)= 0,**

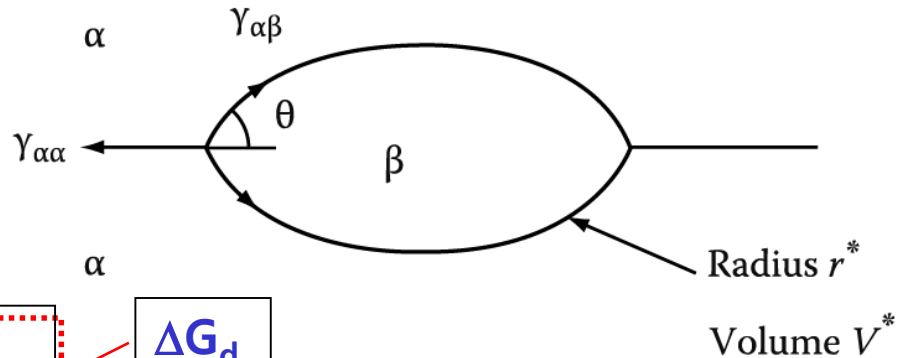**Optimum embryo shape should be that which minimizes the total interfacial free E.**

$$\cos \theta = \gamma_{\alpha\alpha} / 2\gamma_{\alpha\beta}$$

(by assuming $\gamma_{\alpha\beta}$ is isotropic and equal for both grains)

Critical nucleus size(V*) for grain-boundary nucleation

$$\Delta G = -V\Delta G_V + A_{\alpha\beta}\gamma_{\alpha\beta} - \boxed{A_{\alpha\alpha}\gamma_{\alpha\alpha}}$$

ΔG_d

⟹ **Excess free E associated with the embryo~analogous to solidification on a substrate (Section 4.1.3)**

Critical radius of the spherical caps

$$r^* = 2\gamma_{\alpha\beta} / \Delta G_V$$

r* is not related to $\gamma_{\alpha\alpha}$

Activation E barrier for heterogeneous nucleation

$$\frac{\Delta G^*_{het}}{\Delta G^*_{hom}} = \frac{V^*_{het}}{V^*_{hom}} = S(\theta)$$

$$S(\theta) = \frac{1}{2}(2 + \cos\theta)(1 - \cos\theta)^2$$

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Heterogeneous Nucleation in Solids

$$\boxed{\Delta G^*_{het} \sim \cos\theta \sim \gamma_{\alpha\alpha}/2\gamma_{\alpha\beta}}$$

Reduction by boundary effect

$\Rightarrow$ $\gamma_{\alpha\alpha} : \gamma_{\alpha\beta} \geq 2 \rightarrow \boldsymbol{\theta} = 0$
No energy barrier for nucleation

$$\Delta G^*_{het} = \Delta G^*_{homo}\left(\frac{2-3\cos\theta+\cos^3\theta}{4}\right)$$

How can V* and ΔG* be reduced even further?

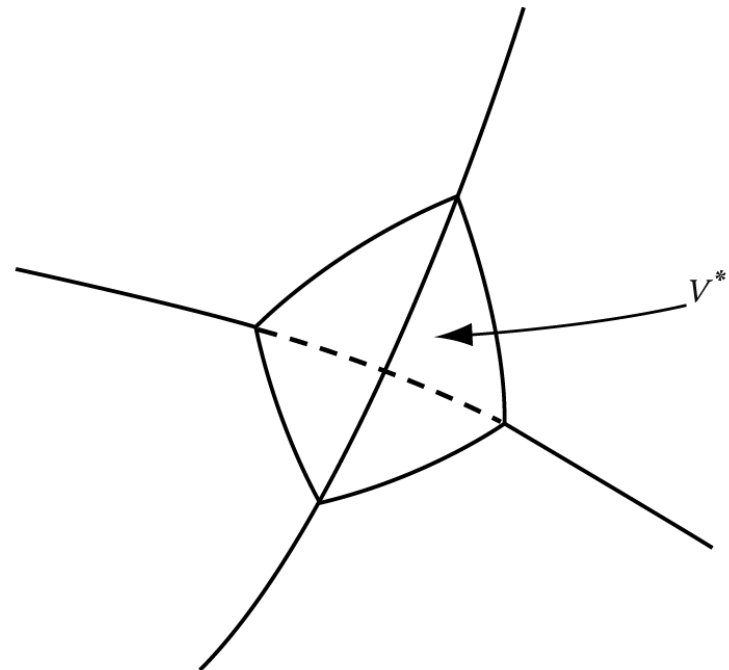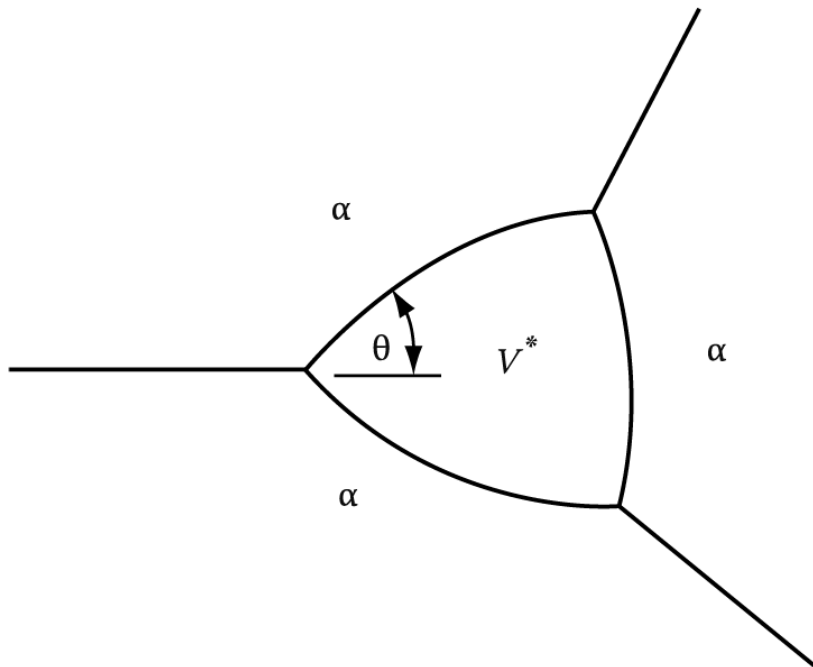→ **By nucleation on a grain edge or a grain corner.**

Fig. 5.7 Critical nucleus shape for nucleation on a **grain edge**. Fig. 5.8 Critical nucleus shape for nucleation on a **grain corner**.

Heterogeneous Nucleation in Solids

Compare the plots of $\Delta G^*_{het} / \Delta G^*_{hom}\ vs\ \cos\theta$
for grain boundaries, edges and corners

$$S(\theta) = \frac{\Delta G^*_{het}}{\Delta G^*_{hom}}$$

Activation E Barrier

If same **θ, 1) GB → 2) Grain edges → 3) Grain corners**
Activation E barrier ↓ → fast nucleation

Grain boundaries

Grain edges

Grain corners

$\frac{\Delta G^*_{het}}{\Delta G^*_{hom}}$

1.0
0.8
0.6
0.4
0.2

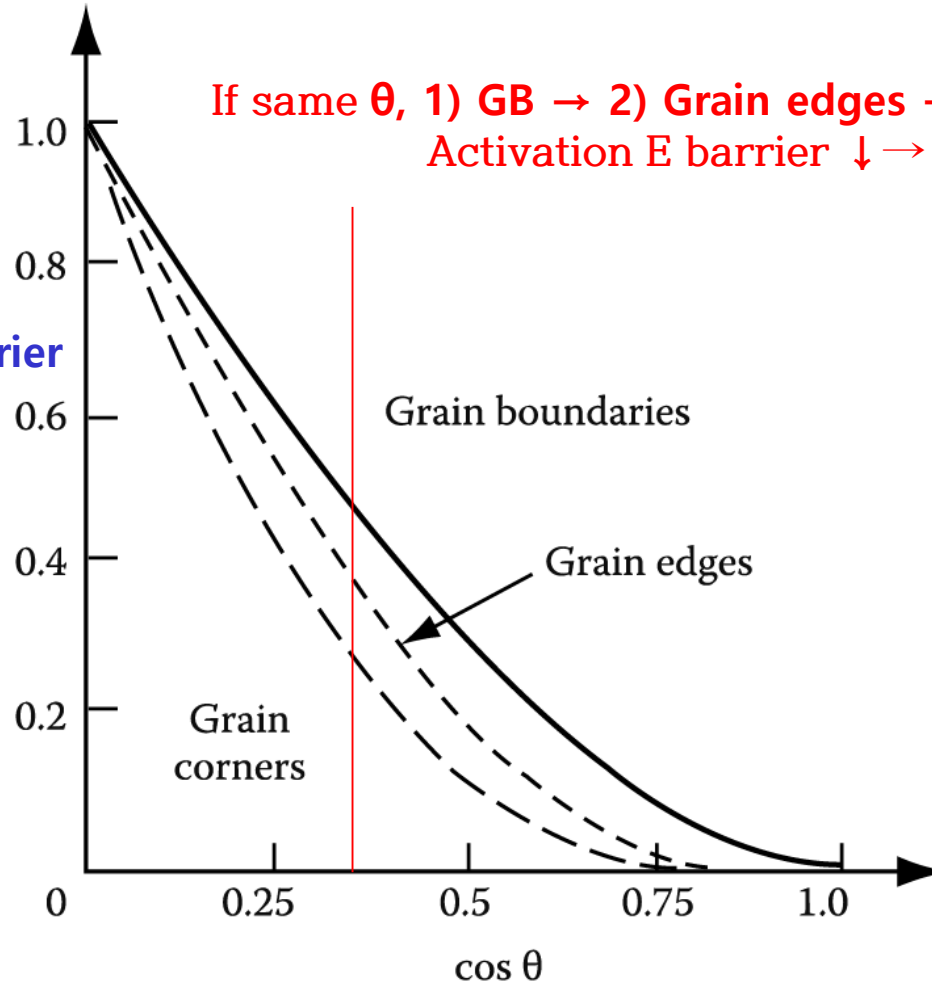0   0.25   0.5   0.75   1.0

$\cos\theta$

Fig. 5.9 The effect of θ on the activation energy for grain boundary nucleation relative to homogeneous nucleation.

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Heterogeneous Nucleation in Solids

High-angle grain boundaries (high interfacial E) are particularly effective nucleation sites for incoherent precipitates with high $\gamma_{\alpha\beta}$.

**If the matrix and precipitate make a <u>coherent interface</u>, <u>V* and ΔG* can be</u> further reduced as shown in Fig. 5.10.
The nuclei will then have an orientation relationship with one of the grains.**

< Nucleus with Coherent Interface >

Coherent   Make crystallographic orientation with one grain btw two grains
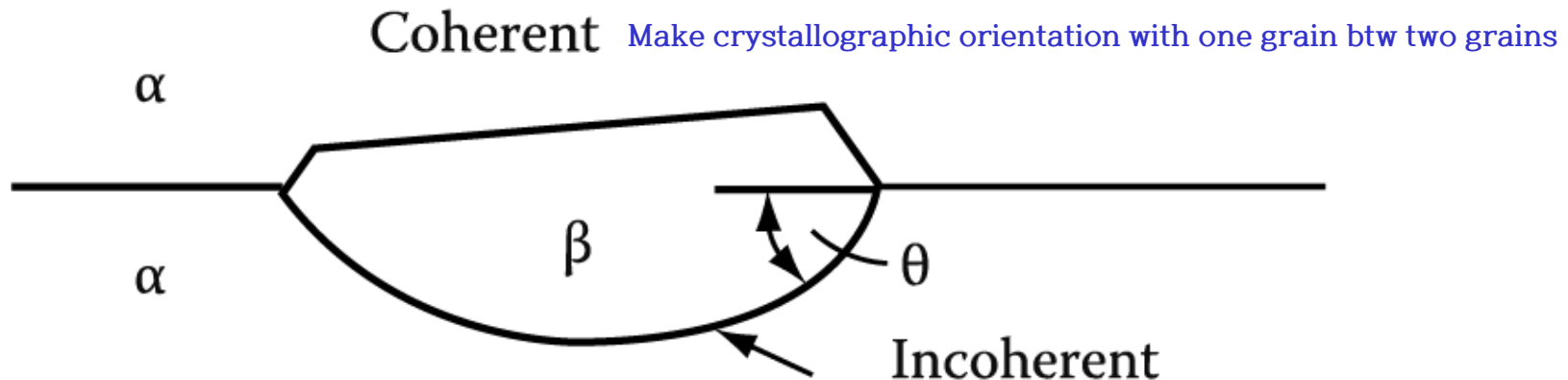
α

α   β   θ

Incoherent

Fig. 5. 10 <u>The critical nucleus size can be reduced even further</u> by forming a low-energy coherent interface with one grain.

* Other planar defects, such as inclusion/matrix interfaces, stacking faults (relatively low E), and free surfaces, dislocations and excess vacancies (?) can behave in a similar way to grain boundaries in reducing ΔG*.

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Heterogeneous Nucleation in Solids

Rate of Heterogeneous Nucleation

Decreasing order of ΔG^*, i.e., increasing ΔG_d

(Activation Energy Barrier for nucleation)

1) **homogeneous sites**

2) **vacancies** 단독으로 또는 작은 군집체 상태로 핵생성에 영향 / 확산속도 증가 & 불일치 변형에너지 감소

3) **dislocations** 전위주위의 격자비틀림→ 핵생성시 전체변형에너지 감소 / 용질원소 편석 / 손쉬운 확산경로

4) **stacking faults** 매우 낮은 에너지 / 총계면에너지 ↓ 효과적이지 못함 → 강력한 불균일 핵생성처는 아님

5) **grain boundaries and interphase boundaries**

6) **free surfaces**

: Nucleation should always occur most rapidly on sites near the bottom of the list.
However, the relative importance of these sites depends on the relative concentrations of the sites, C1.

$$N_{het} = \omega C_1 \exp\left(-\frac{\Delta G_m}{kT}\right)\exp\left(-\frac{\Delta G^*}{kT}\right) \ nuclei \ m^{-3}s^{-1}$$

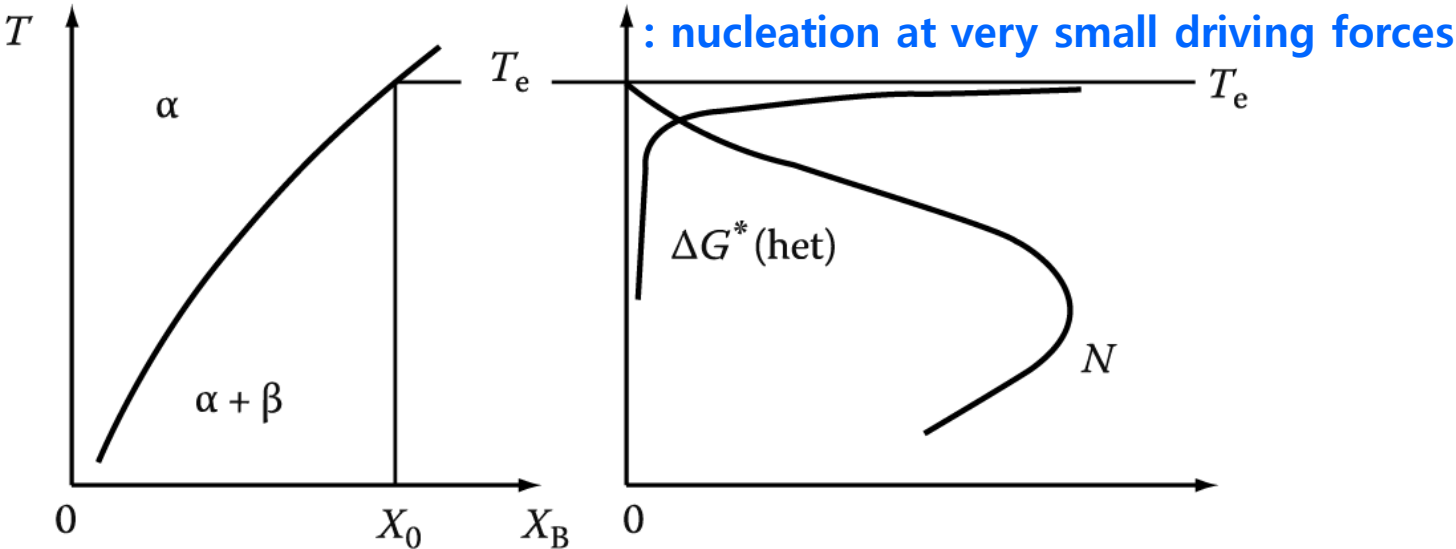C_1 **: concentration of heterogeneous nucleation sites per unit volume**

$$N_{hom} = \omega C_0 \exp\left(-\frac{\Delta G_m}{kT}\right)\exp\left(-\frac{\Delta G^*}{kT}\right)$$

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: number of atoms per unit volume in parent phase

Heterogeneous Nucleation in Solids

The Rate of Heterogeneous Nucleation during Precipitation

: nucleation at very small driving forces

* **Relative magnitudes of the heterogeneous and homogeneous volume nucleation rates**

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0}\exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

Ignore ω and ΔG_m
due to small deviation

ΔG^* ~ **always smallest**
for heterogeneous nucleation ⟹ **Exponential factor**
: very large quantity ⟹ $\dfrac{N_{het}}{N_{hom}} > 1$ **High heterogeneous nucleation rate**

But, The factor C_1/C_0 ?

Heterogeneous Nucleation in Solids

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

C_1/C_0 for GB nucleation?

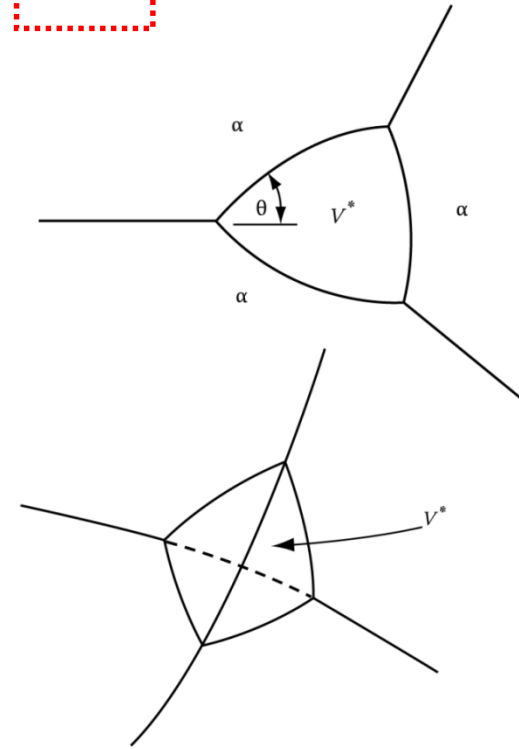$$\frac{C_1}{C_0} = \frac{\delta(GB\ thickness)}{D(grain\ size)}$$

: the number of atoms on heterogeneous sites relative to the number within the matrix

For D = 50 μm, δ = 0.5 nm

$$\frac{C_1}{C_0} = \frac{\delta}{D} \approx 10^{-5}$$

$$\frac{C_1}{C_0} = \left(\frac{\delta}{D}\right)^2 \rightarrow for\ nucleation\ on\ grain\ edge$$

$$\frac{C_1}{C_0} = \left(\frac{\delta}{D}\right)^3 \rightarrow for\ nucleation\ on\ grain\ corner$$

C_1/C_0 for Various Heterogeneous Nucleation Sites

Grain boundary	Grain edge	Grain corner	Dislocations		Excess vacancies
$D = 50\ \mu m$	$D = 50\ \mu m$	$D = 50\ \mu m$	$10^5\ mm^{-2}$	$10^8\ mm^{-2}$	$X_v = 10^{-6}$
10^{-5}	10^{-10}	10^{-15}	10^{-8}	10^{-5}	10^{-6}

Heterogeneous Nucleation in Solids

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

C_1/C_0 for Various Heterogeneous Nucleation Sites

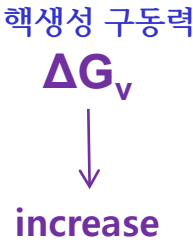각각의 핵생성처에서 경쟁적으로 핵생성 발생: 구동력 조건에 따라 전체 핵생성 속도에 dominant하게 영향을 미치는 site 변화

Grain boundary	Grain edge	Grain corner	Dislocations		Excess vacancies
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10^{-5}	10^{-10}	10^{-15}	10^{-8}	10^{-5}	10^{-6}

In order to make nucleation occur exclusively on the grain corner, how should the alloy be cooled?

1) At very small driving forces (ΔG_v), **when activation energy barriers for nucleation are high, the highest nucleation rates will be produced** **by grain-corner nucleation.**

핵생성 구동력
ΔG_v
$\downarrow$
increase

2) dominant nucleation sites: **grain edges → grain boundaries**

3) At very high driving forces **it may be possible for the (C_1/C_0) term to dominate and then** **homogeneous nucleation** **provides the highest nucleation rates.**
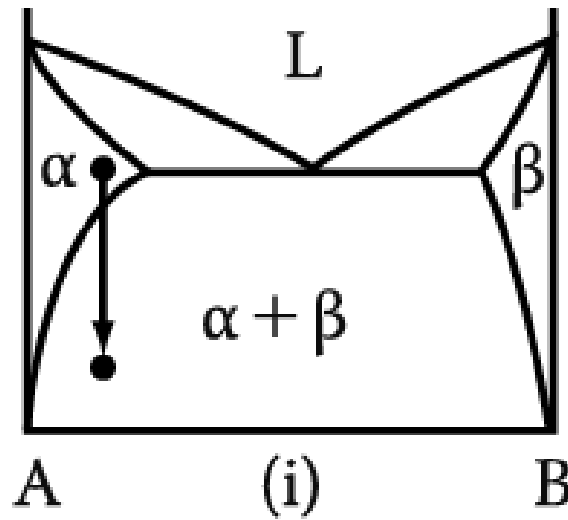
* The above comments concerned nucleation during isothermal transformations **(driving force for nucleation: [isothermal] constant ↔ [continuous cooling] increase with time)**

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Contents for today's class

< Phase Transformation in Solids >

1) **Diffusional Transformation**

(a) **Precipitation**

Homogeneous Nucleation

➡️ **Effect of misfit strain energy**

Heterogeneous Nucleation

➡️ **suitable nucleation sites ~ nonequilibrium defects**
(creation of nucleus~destruction of a defect($-\Delta G_d$))

$$\Delta G = -V\Delta G_V + A\gamma + V\Delta G_S$$

$$\Delta G_{het} = -V(\Delta G_V - \Delta G_S) + A\gamma - \Delta G_d$$

$$r^* = \frac{2\gamma}{(\Delta G_V - \Delta G_S)} \quad \Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$

$$\frac{\Delta G^*_{het}}{\Delta G^*_{hom}} = \frac{V^*_{het}}{V^*_{hom}} = S(\theta)$$

$$N_{hom} = \omega\, C_0 \exp\left(-\frac{\Delta G_m}{kT}\right)\exp\left(-\frac{\Delta G^*}{kT}\right)$$

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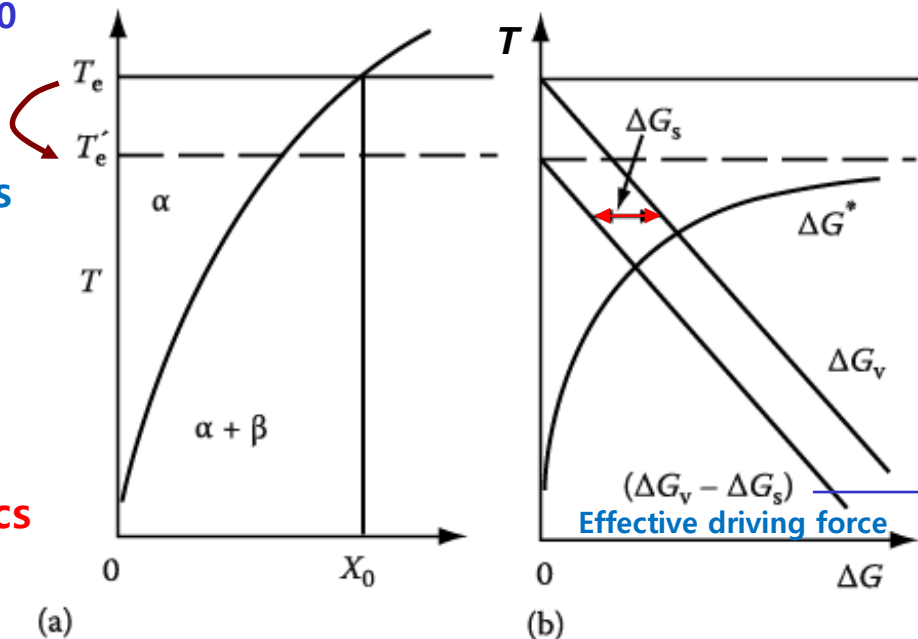
27

Rate of Homogeneous Nucleation Varies with undercooling below T_e for alloy X_0

Effective equilibrium temperature is reduced by misfit strain E term, ΔGs.

Thermodynamics

vs

Kinetics

Critical undercooling ΔT_c
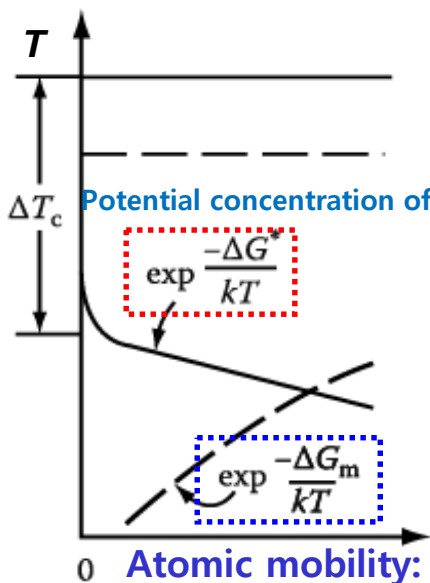

$$\Delta G_V \propto \Delta X \propto \Delta T$$

Composition dependent

$$\Delta G^* = \frac{16\pi\gamma^3}{3(\Delta G_V - \Delta G_S)^2}$$
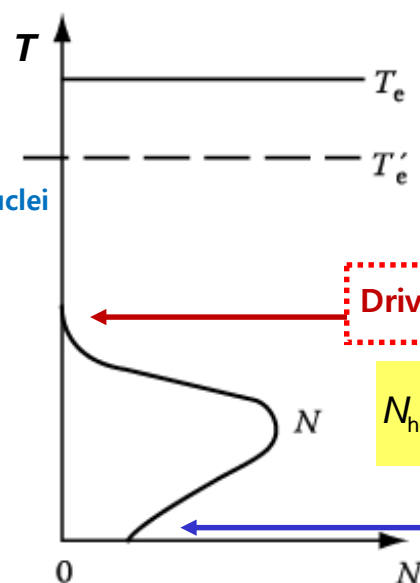
Resultant energy barrier for nucleation

Effective driving force $(\Delta G_v - \Delta G_s)$

Potential concentration of nuclei $\exp\frac{-\Delta G^*}{kT}$

$\exp\frac{-\Delta G_m}{kT}$

Atomic mobility: ΔG_m=const, $T\downarrow \rightarrow \downarrow$

Driving force ΔGv~too small → N~negligible

$$N_{hom} = \omega\, C_0 \exp\left(-\frac{\Delta G_m}{kT}\right)\exp\left(-\frac{\Delta G^*}{kT}\right)$$

Diffusion~too slow → N~negligible

(a) (b) (c) (d) ΔG_m : *activation energy for atomic migration*

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Heterogeneous Nucleation in Solids

The Rate of Heterogeneous Nucleation during Precipitation

: nucleation at very small driving forces

* **Relative magnitudes of the heterogeneous and homogeneous volume nucleation rates**

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

ω 와 ΔG_m의 차이는 미비하여 무시

ΔG^* ~ **always smallest**
for heterogeneous nucleation ⇒ **Exponential factor**
: very large quantity ⇒ $\frac{N_{het}}{N_{hom}} > 1$ **High heterogeneous nucleation rate**

But, The factor C_1/C_0 ?

Heterogeneous Nucleation in Solids

$$\frac{N_{het}}{N_{hom}} = \frac{C_1}{C_0} \exp\left(\frac{\Delta G^*_{hom} - \Delta G^*_{het}}{kT}\right)$$

C_1/C_0 for Various Heterogeneous Nucleation Sites

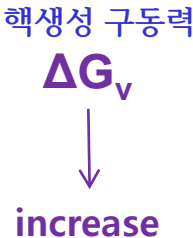각각의 핵생성처에서 경쟁적으로 핵생성 발생: 구동력 조건에 따라 전체 핵생성 속도에 dominant하게 영향을 미치는 site 변화

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In order to make nucleation occur exclusively on the grain corner, how should the alloy be cooled?

1) At very small driving forces (ΔG_v), when activation energy barriers for nucleation are high, the highest nucleation rates will be produced by grain-corner nucleation.

핵생성 구동력

ΔG_v

↓

increase

**2) dominant nucleation sites:
grain edges → grain boundaries**

3) At very high driving forces it may be possible for the (C_1/C_0) term to dominate and then homogeneous nucleation provides the highest nucleation rates.

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(driving force for nucleation: [isothermal] constant ↔ [continuous cooling] increase with time)**

2021 Fall

"Phase Transformation *in* Materials"

11.22.2021
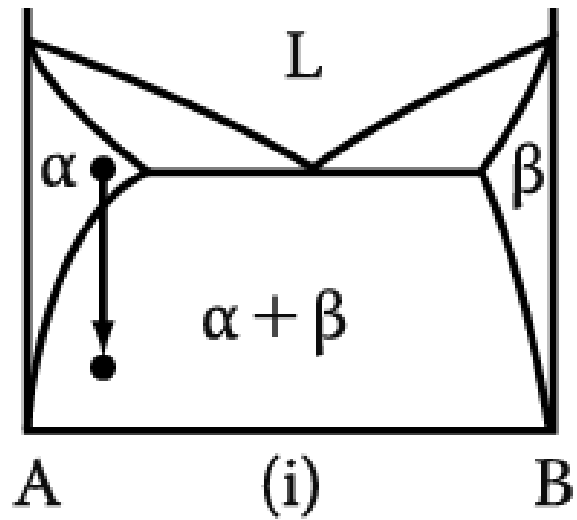
Eun Soo Park

Office: 33-313
Telephone: 880-7221
Email: espark@snu.ac.kr
Office hours: by an appointment

Contents for previous class

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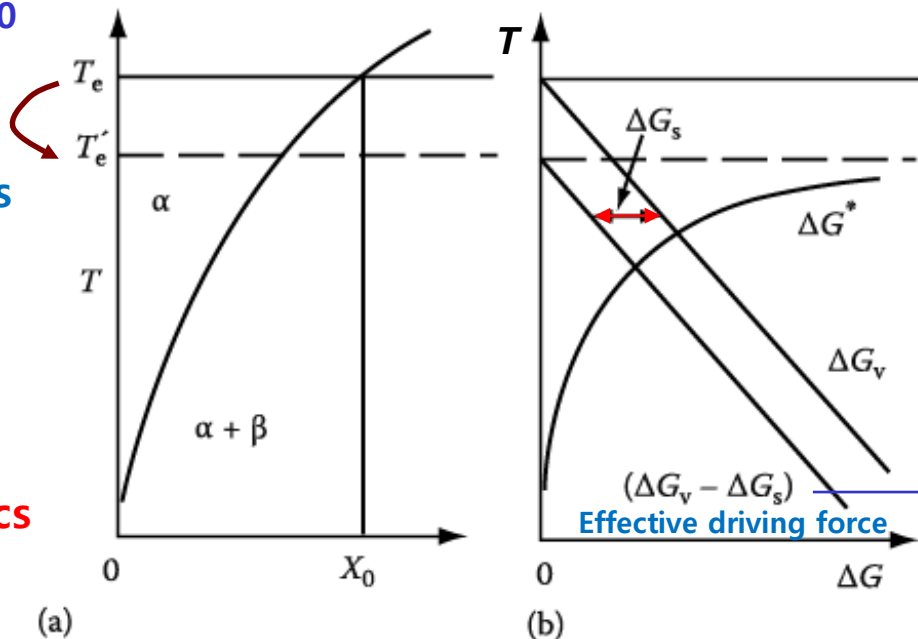
2

Effective equilibrium temperature is reduced by misfit strain E term, ΔGs.

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Critical undercooling ΔT_c

$$\Delta G_V \propto \Delta X \propto \Delta T$$

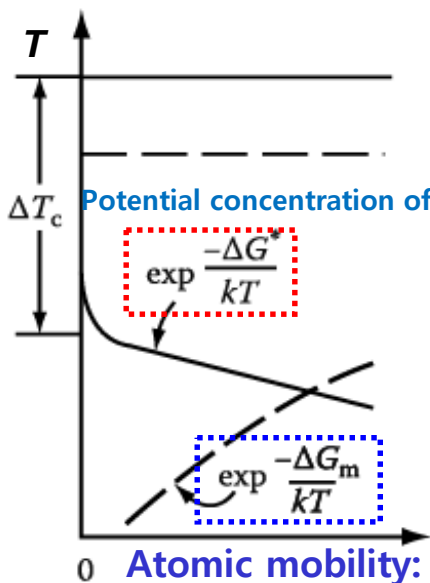**Composition dependent**

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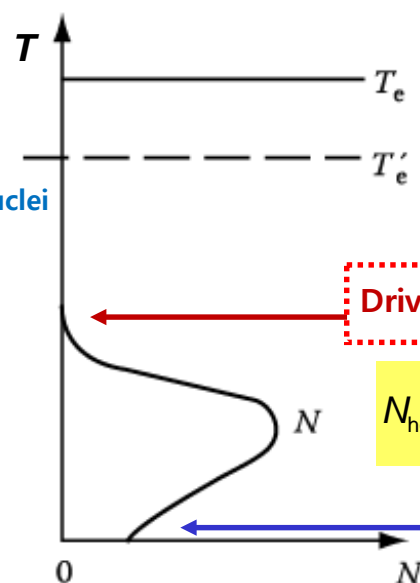
Resultant energy barrier for nucleation

(a) T_e, T_e', α, T, $\alpha + \beta$, X_0

(b) ΔG_s, ΔG^*, ΔG_v, $(\Delta G_v - \Delta G_s)$ **Effective driving force**, ΔG

Potential concentration of nuclei

$\exp \frac{-\Delta G^*}{kT}$

$\exp \frac{-\Delta G_m}{kT}$

(c) **Atomic mobility:** ΔG_m=const, T↓ → ↓

(d) ΔG_m : *activation energy for atomic migration*

Driving force ΔGᵥ~too small → N~negligible

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T_e, T_e', N

3

Heterogeneous Nucleation in Solids

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: **nucleation at very small driving forces**

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Heterogeneous Nucleation in Solids

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C_1/C_0 for Various Heterogeneous Nucleation Sites

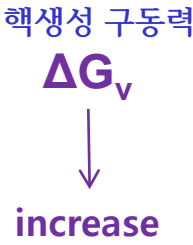각각의 핵생성처에서 경쟁적으로 핵생성 발생: 구동력 조건에 따라 전체 핵생성 속도에 dominant하게 영향을 미치는 site 변화

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핵생성 구동력

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* The above comments concerned nucleation during isothermal transformations (driving force for nucleation: [isothermal] constant ↔ [continuous cooling] increase with time)

Q4: Precipitate growth:

1) Growth behind Planar Incoherent Interfaces

2) Diffusion Controlled lengthening of Plates or Needles

3) Thickening of Plate-like Precipitates by Ledge Mechanism

5.3 Precipitate Growth

Initial precipitate shape
~**minimizes the total interfacial free E**

Coherent or semicoherent facets

Smoothly curved incoherent interfaces

Precipitate growth → interface migration

: shape~determined by the relative migration rates

If the nucleus consists of semi-coherent and incoherent interfaces, what would be the growth shape?

Low mobility → Slow

Different crystal structures

A

B

high mobility →

Fast

V^*
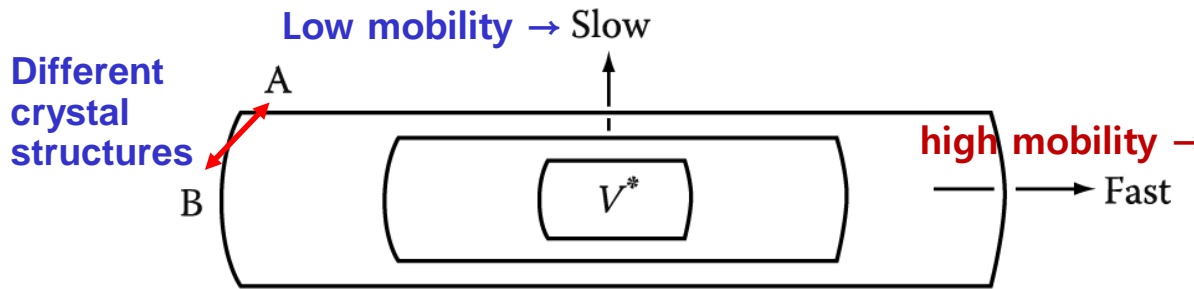
⟹ **Ledge mechanism**
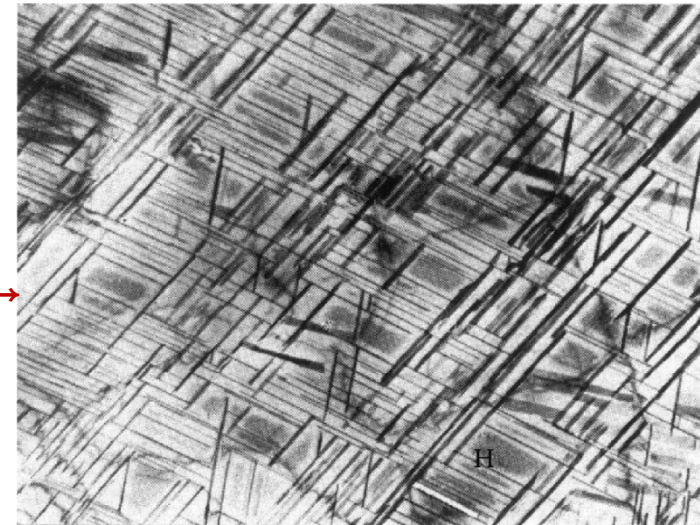
Thin disk or plate

→ **Origin of the Widmanstätten morphology**

1) Growth behind Planar Incoherent Interfaces

Incoherent interface → similar to rough interface

→ local equilibrium → diffusion-controlled

Diffusion-Controlled Thickening: precipitate growth rate
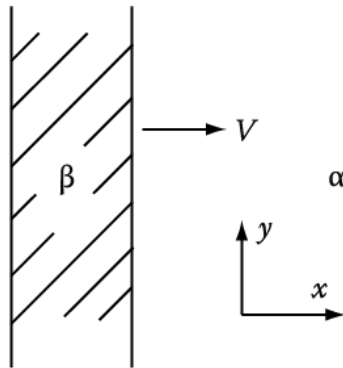
$$\rightarrow v = f\,(\Delta\mathbf{T}\ \text{or}\ \Delta\mathbf{X},\ \mathbf{t})$$

From mass conservation,

$$\left(C_\beta - C_e\right) dx\ mole\ of\ B$$
$$= J_B = D\left(dC/dx\right)dt$$

D: interdiffusion coefficient
or interstitial diffusion coeff.

$$v = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

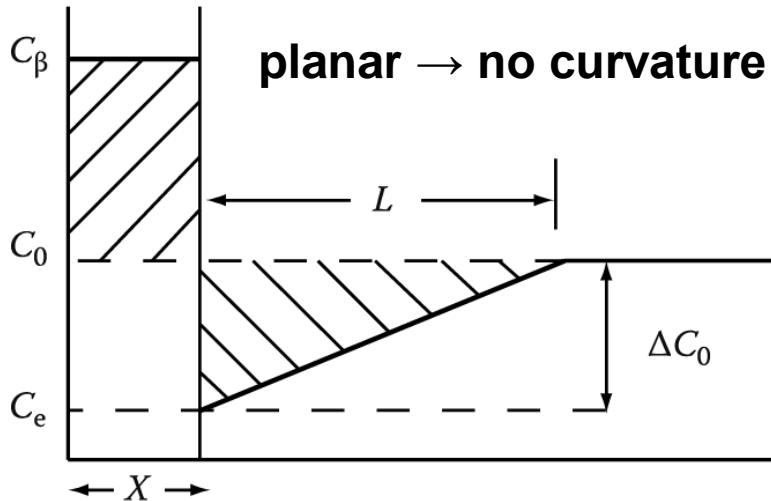**Depends on the concentration gradient at the interface dC/dx**

(a)

(b)

C_β

C_B

C_0

C_e

Equilibrium values

Depleted to solute by concentration gradient
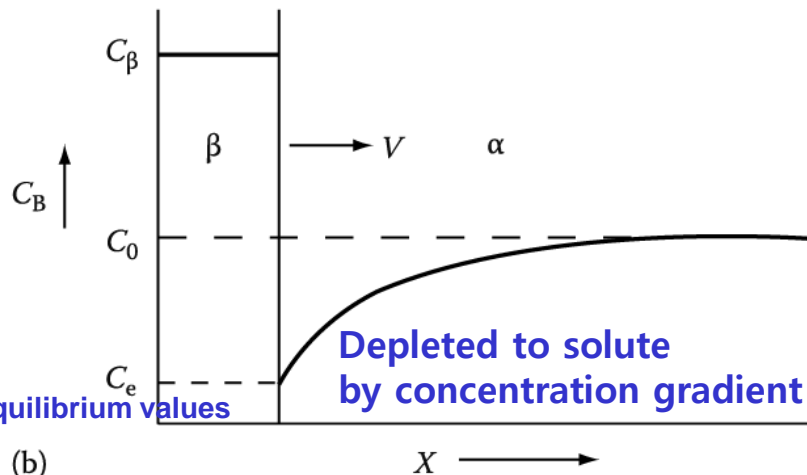
Fig. 5.14 Diffusion-controlled thickening of a precipitate plate.

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1) Growth behind Planar Incoherent Interfaces

Simplification of concentration profile (Zener)

planar → no curvature

$$v = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

$$\boxed{dC/dx = \Delta C_0 / L} \leftarrow L = 2(C_\beta - C_0)x / \Delta C_0$$

$$\because (C_\beta - C_0)x = L\Delta C_0 / 2$$
(same area)

L

ΔC_0

Thickness of the slab

X

$$v = \frac{D(\Delta C_0)^2}{2(C_\beta - C_e)(C_\beta - C_0)x}$$

if $C_\beta - C_0 \cong C_\beta - C_e$ **and** $X = CV_m$, $\Delta C_0 \rightarrow \Delta X_0 = X_0 - X_e$
(simplification)   **Mole fractions**

$$xdx = \frac{D(\Delta X_0)^2}{2(X_\beta - X_e)^2} dt$$

integral $\Rightarrow$

$$x = \frac{\Delta X_0}{X_\beta - X_e} \sqrt{(Dt)}$$

Thickness of the slab

$$x \propto \sqrt{(Dt)}$$

Parabolic growth

$$v = \frac{\Delta X_0}{2(X_\beta - X_e)} \sqrt{\frac{D}{t}}$$

$$v \propto \Delta X_0 , \quad v \propto \sqrt{(D/t)}$$

Growth rate $\propto$ supersaturation

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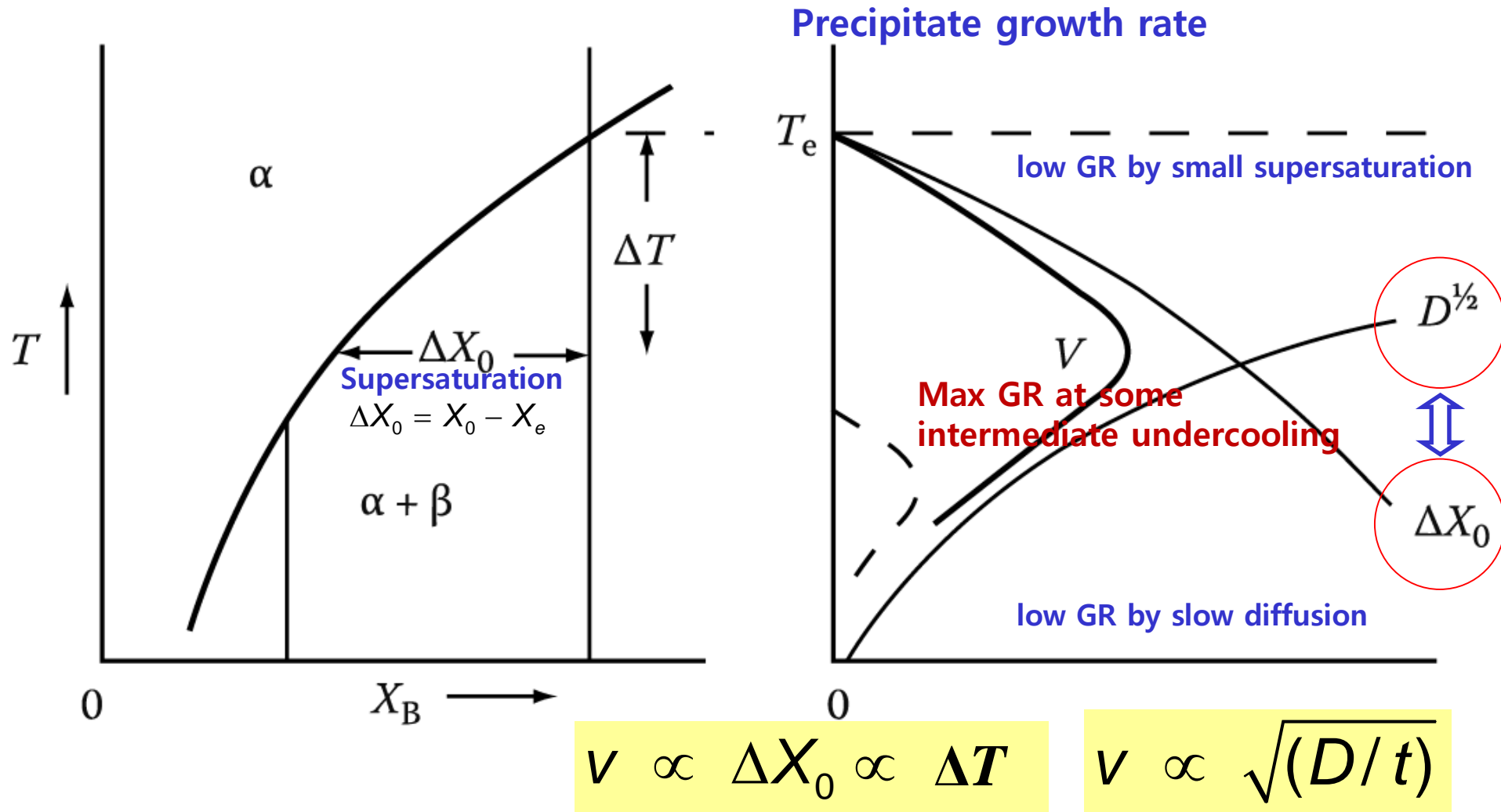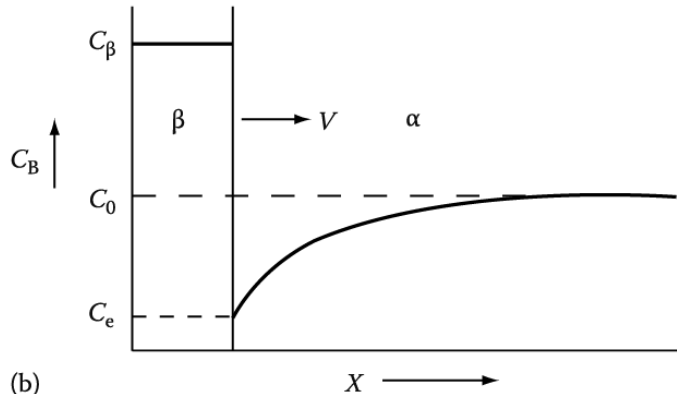# 1) Growth behind Planar Incoherent Interfaces

Fig. 5.16 The effect of temperature and position on growth rate, v.

1) Growth behind Planar Incoherent Interfaces

Effect of "Overlap" of Separate Precipitates

Due to overlapping diffusion fields at later stage of growth, growth will decelerate more rapidly and finally cease when the matrix concentration is X_e everywhere.
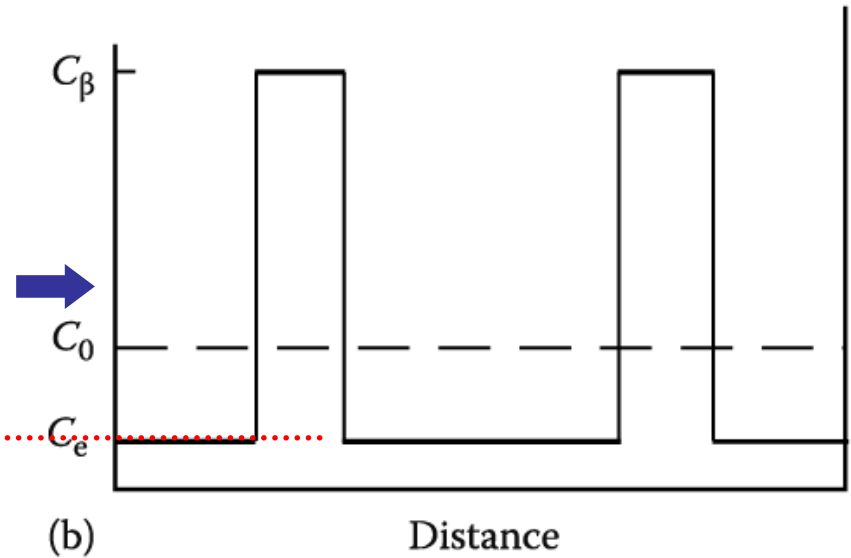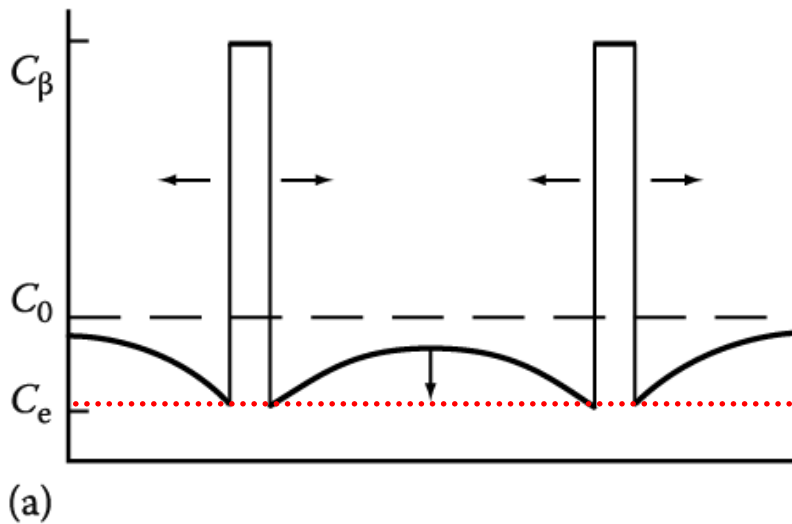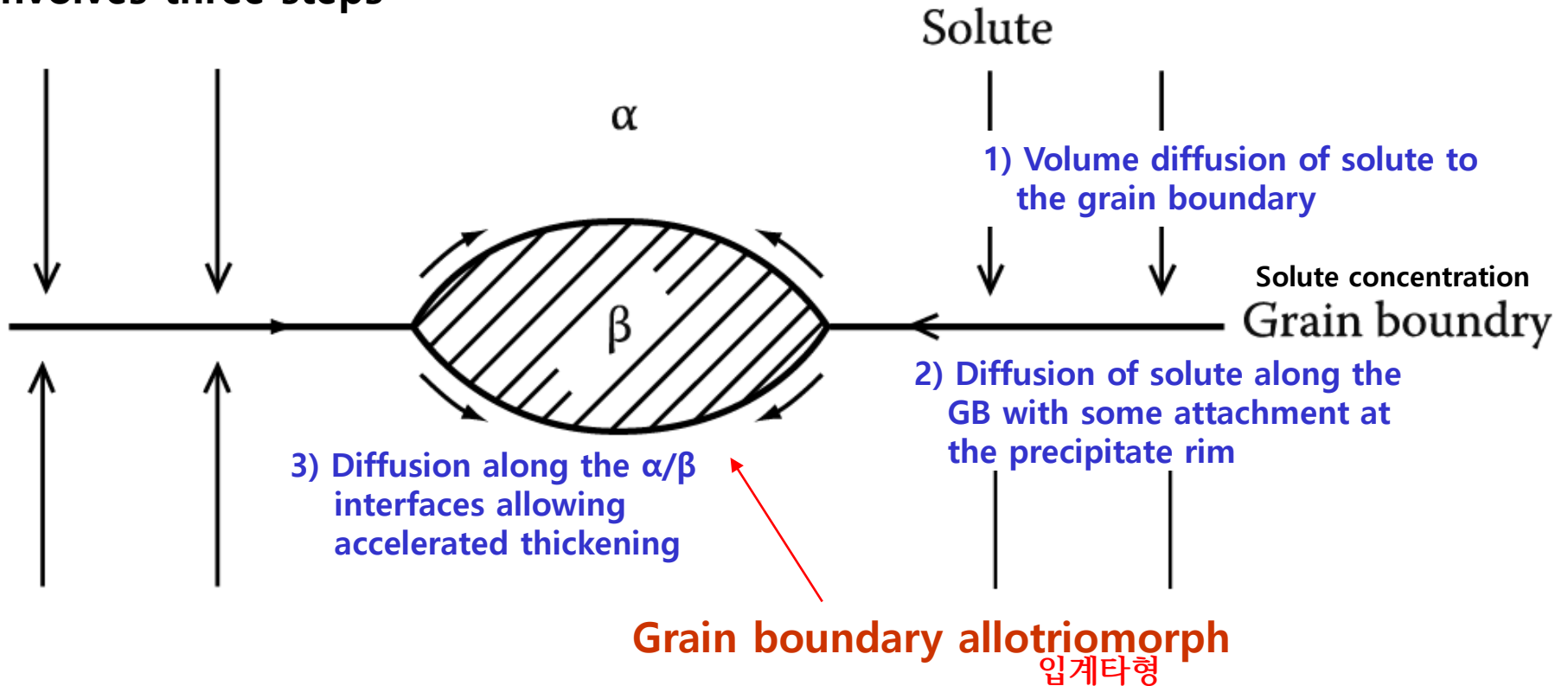
Fig. 5.17 (a) Interference of growing precipitates <u>due to overlapping diffusion fields</u> at later stage of growth. (b) Precipitate has stopped growing.

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1) Growth behind Planar Incoherent Interfaces

Grain boundary precipitation ➡ **Faster than allowed by volume diffusion**
involves three steps

Solute

α

1) Volume diffusion of solute to the grain boundary

Solute concentration
Grain boundry

β

2) Diffusion of solute along the GB with some attachment at the precipitate rim

3) Diffusion along the α/β interfaces allowing accelerated thickening

Grain boundary allotriomorph
입계타형

치환형 확산이 일어나는 경우 매우 중요/ 침입형 고용체에서는 체적 확산 속도가 크기 때문에 입계나 전위를 통한 단거리 확산은 상대적으로 중요하지 않음.

Fig. 5.18 Grain-boundary diffusion can lead to rapid lengthening and thickening of grain boundary precipitates, especially by substitutional diffusion.

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2) Diffusion Controlled lengthening of Plates or Needles

Plate Precipitate of constant thickness
Volume diffusion-controlled continuous growth process

(a) Edge of a platelike precipitate.

(b) Concentration profile along AA' in (a).

Gibbs-Thomson effect: equil. concentration $C_e \rightarrow C_r \uparrow$
Cylindrically curved incoherent edge

From mass conservation,

$$V = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

$$\frac{dC}{dx} = \frac{\Delta C}{L} = \frac{C_0 - C_r}{kr}$$

Radial diffusion
k (const)~1

$$V = \frac{D}{C_\beta - C_r} \cdot \frac{\boxed{\Delta C}}{\boxed{kr}}$$

ΔX for diffusion $\propto$ edge radius of precipitate
(next page)

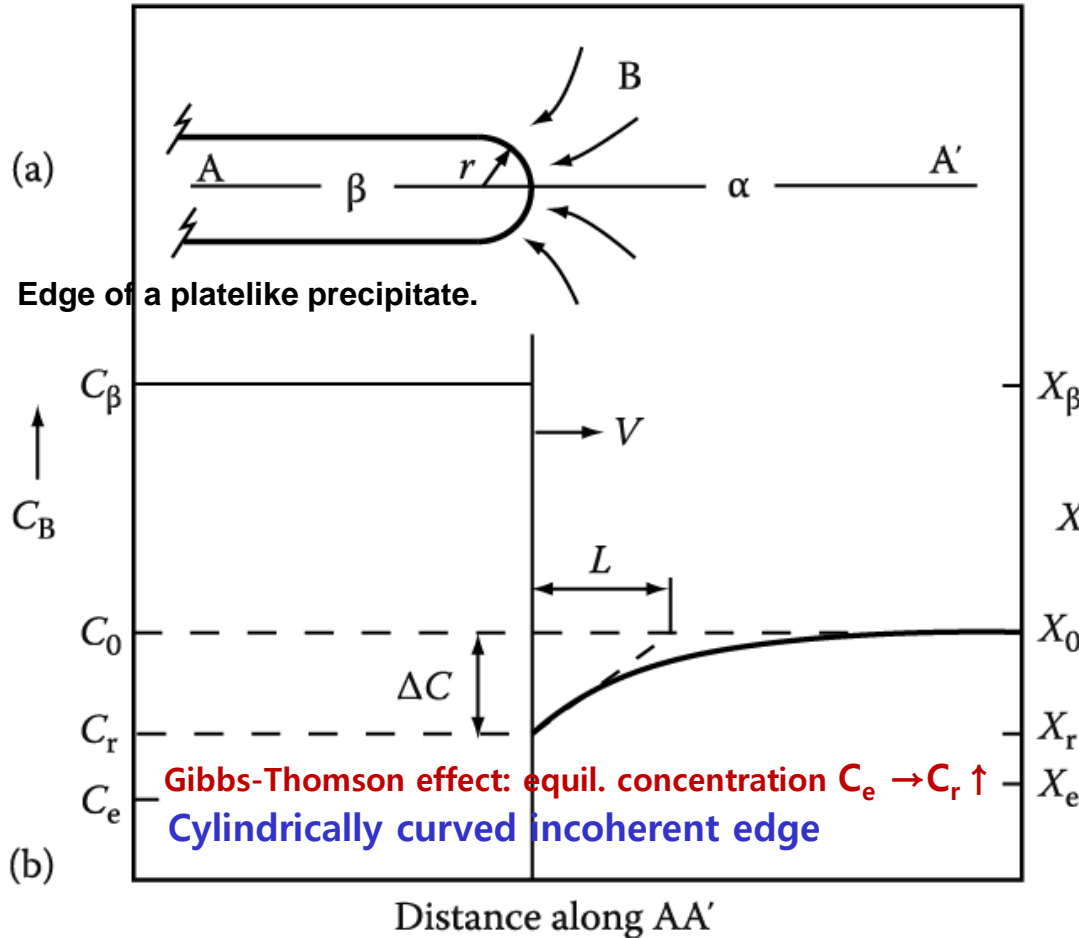$$X = CV_m \quad \Delta X = \Delta X_0 \left(1 - \frac{r^*}{r}\right)$$

r*=critical radius (if r=r*, $\Delta X \rightarrow 0$)

$$V = \frac{D\Delta X_0}{k(X_\beta - X_r)} \cdot \frac{1}{r}\left(1 - \frac{r^*}{r}\right)$$

$V \rightarrow$ constant $\longrightarrow$ $\boxed{X \propto t}$
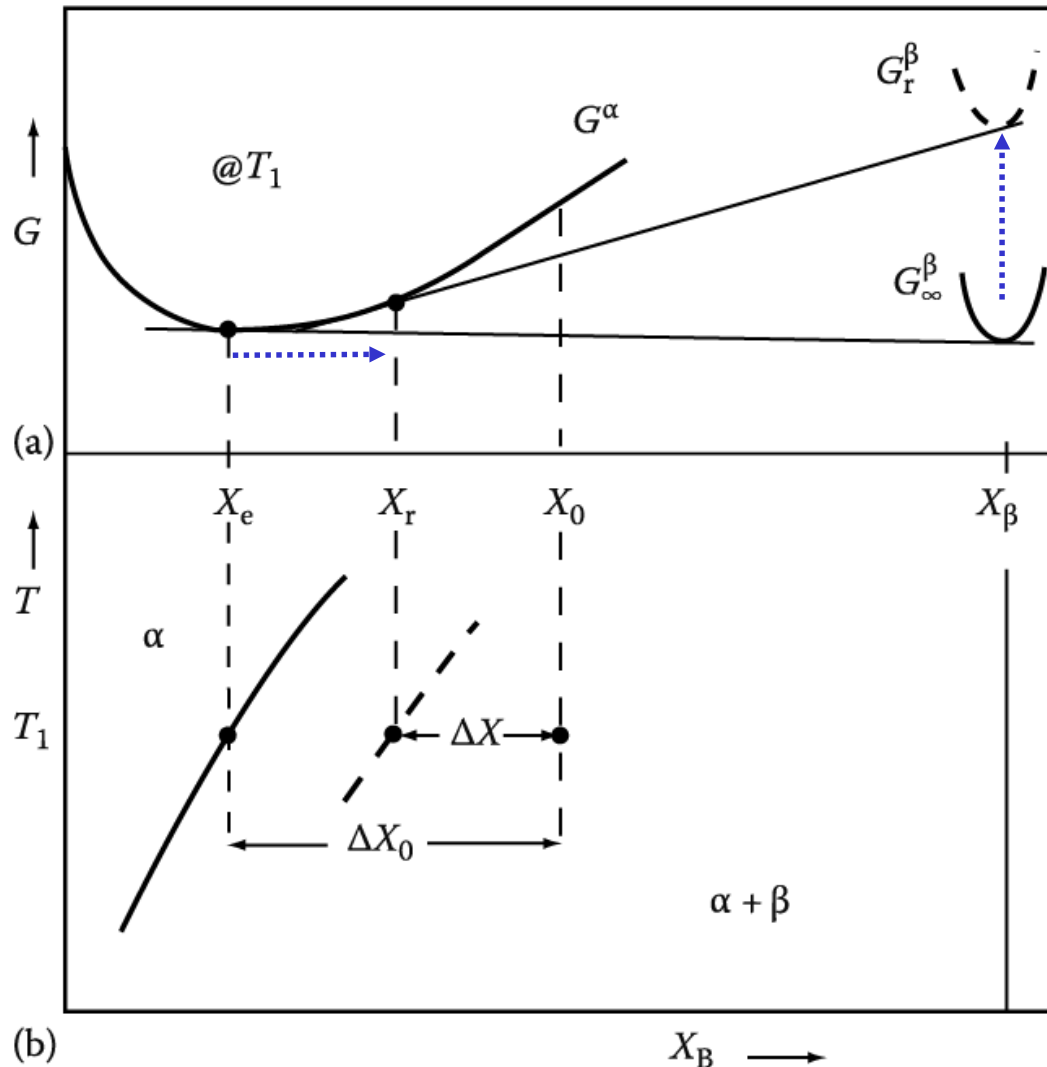
(If t=2r, v= constant)   **Linear growth**

Needle $\rightarrow$ Gibbs-Thomson increase in G = $2\gamma V_m/r$ instead of $\gamma V_m/r$
$\rightarrow$ the same equation but the different value of r*

2) Diffusion Controlled lengthening of Plates or Needles

Volume diffusion-controlled continuous growth process/ curved ends

The Gibbs-Thomson Effect : curvature of α/β interface~ extra pressure $\Delta P = 2\gamma/r$

$$\Delta G = \Delta P \cdot V \sim 2\gamma V_m/r \; \uparrow$$

Interfacial E → total free E ↑

$$\Delta X = \Delta X_0 \left(1 - \frac{r^*}{r} \right)$$

r^*: critical nucleus, radius

$$\Delta X = X_0 - X_r$$
$$\Delta X_0 = X_0 - X_e$$

$$r \uparrow \longrightarrow \Delta X \uparrow$$

* **In platelike precipitates, the edges are often faceted and observed to migrate by a ledge mechanism.**

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Fig. 5.20 Gibbs-Thomson effect.(a) Free E curves at T1. (b) corresponding phase diagram.

2) Diffusion Controlled lengthening of Plates or Needles

Plate Precipitate of constant thickness
Volume diffusion-controlled continuous growth process

From mass conservation,

$$V = \frac{dx}{dt} = \frac{D}{C_\beta - C_e} \cdot \frac{dC}{dx}$$

(a)

Edge of a platelike precipitate.

$$\frac{dC}{dx} = \frac{\Delta C}{L} = \frac{C_0 - C_r}{kr}$$

Radial diffusion
k (const)~1

$$V = \frac{D}{C_\beta - C_r} \cdot \frac{\boxed{\Delta C}}{\boxed{kr}}$$

ΔX for diffusion ∝ edge radius of precipitate

$$X = CV_m \quad \Delta X = \Delta X_0 \left(1 - \frac{r^*}{r}\right)$$

r*=critical radius (if r=r*, ΔX→0)

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Gibbs-Thomson effect: equil. concentration $C_e \to C_r \uparrow$
Cylindrically curved incoherent edge

(b)

Concentration profile along AA' in (a).

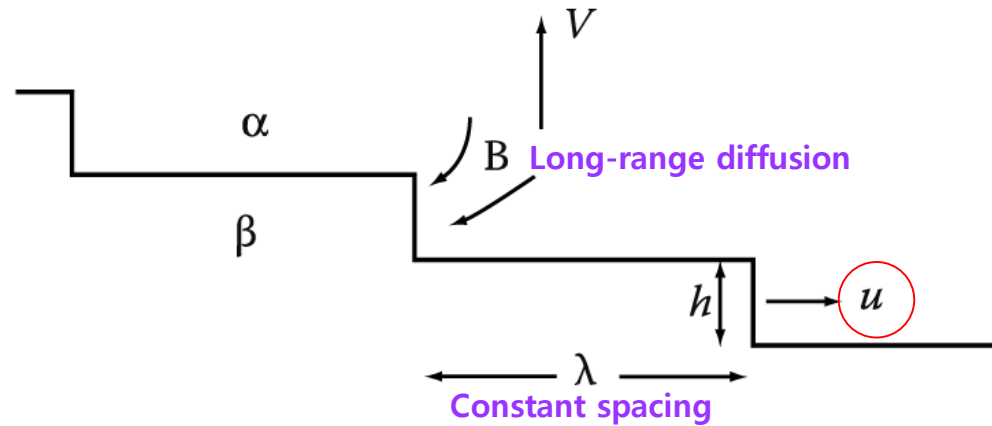$V \to$ **constant** $\longrightarrow$ $x \propto t$

(If t=2r, *v*= constant)

Linear growth

Needle → Gibbs-Thomson increase in G = $2\gamma V_m/r$ instead of $\gamma V_m/r$
→ the same equation but the different value of r*

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3) Thickening of Plate-like Precipitates

Thickening of Plate-like Precipitates by Ledge Mechanism
↔ planar incoherent interface with high accommodation factors

- **For the diffusion-controlled growth, a monoatomic-height ledge should be supplied constantly.**

- **sources of monatomic-height ledge → spiral growth, 2-D nucleation, nucleation at the precipitate edges, or from intersections with other precipitates (heterogeneous 2-D)**

Long-range diffusion

Constant spacing

Half Thickness Increase

$$v = \frac{uh}{\lambda}$$

u : rate of lateral migration

If the edges of the ledges are incoherent,

Assuming the diffusion-controlled growth,

$$u = \frac{D\Delta X_0}{k(X_\beta - X_e)h}$$

$$v = \frac{uh}{\lambda}$$

$$v = \frac{D}{C_\beta - C_r} \cdot \frac{\Delta C}{kr}$$

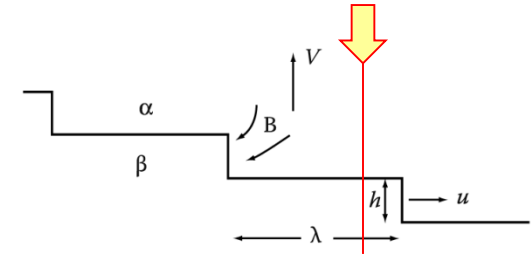**very similar to that of plate lengthening**

$$v = \frac{D\Delta X_0}{k(X_\beta - X_e)\lambda}$$

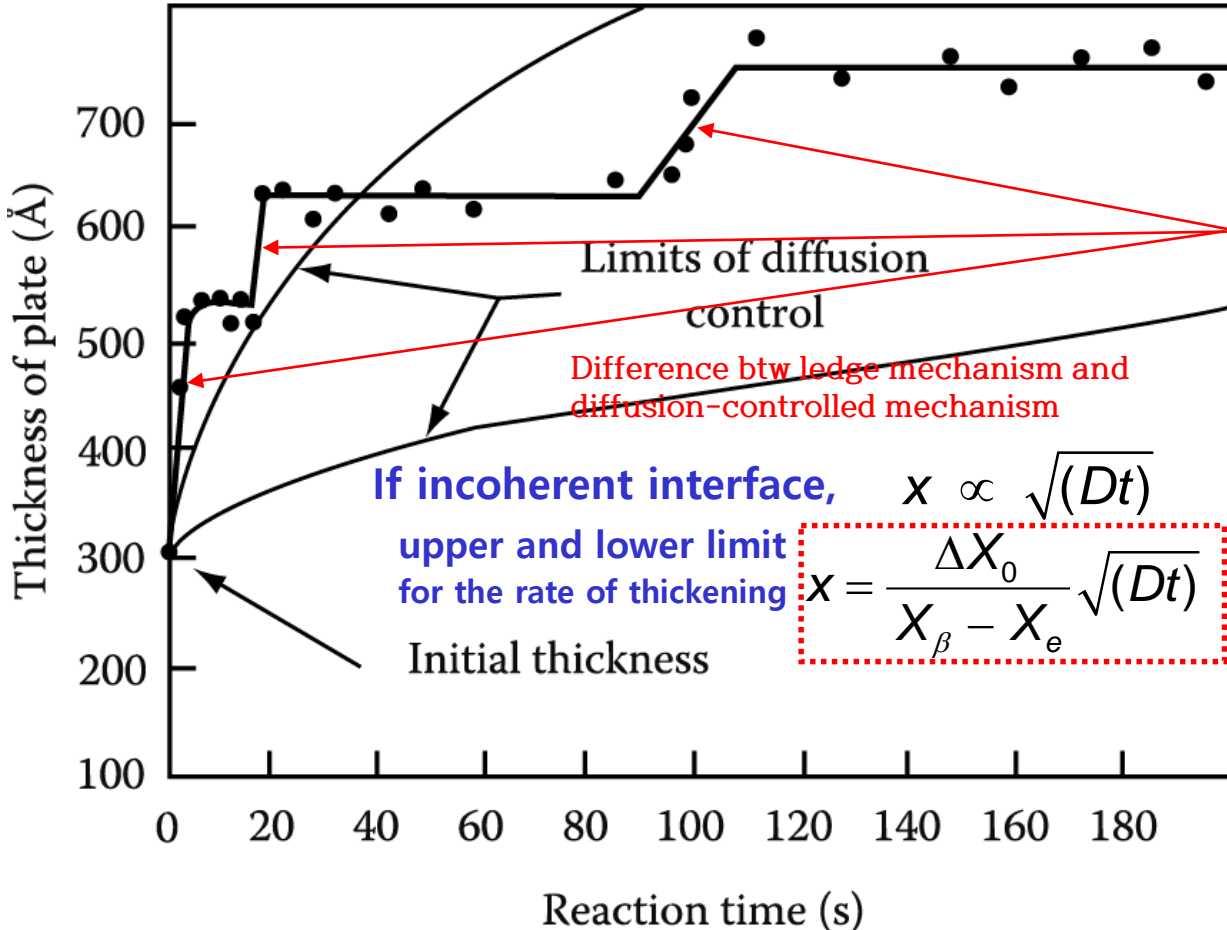**(Here, h= r and $X_r = X_e$, no Gibbs-Thomson effect)**

Distance btw ledges

16

3) Thickening of Plate-like Precipitates

Except spiral growth, supplement of ledge with constant λ is difficult.

Thickening of γ Plate in the Al-Ag system

What does this data mean?

appreciable intervals of time (no perceptible increase in plate thickness)
& **thickness increases rapidly as an interfacial ledge passes.**

Limits of diffusion control

Difference btw ledge mechanism and diffusion-controlled mechanism

If incoherent interface, $x \propto \sqrt{(Dt)}$

upper and lower limit for the rate of thickening

$$x = \frac{\Delta X_0}{X_\beta - X_e} \sqrt{(Dt)}$$

Initial thickness

Evidence for the low mobility of semi-coherent interfaces

Thickening rate is not constant

"Ledge nucleation" is rate controlling.

Fig. 5. 22 The thickening of a γ plate in an Al-15 wt% Ag alloy at 400 ℃ measure the thickening rates of individual precipitate plates by using hot-stage TEM.

- **Precipitate growth**

1) Growth behind Planar Incoherent Interfaces

Diffusion-Controlled Thickening:

$$x \propto \sqrt{(Dt)}$$ **Parabolic growth**

$$v = \frac{D(\Delta C_0)^2}{2(C_\beta - C_e)(C_\beta - C_0)x}$$

$$v \propto \Delta X_0 \propto \sqrt{(D/t)}$$

Supersaturation

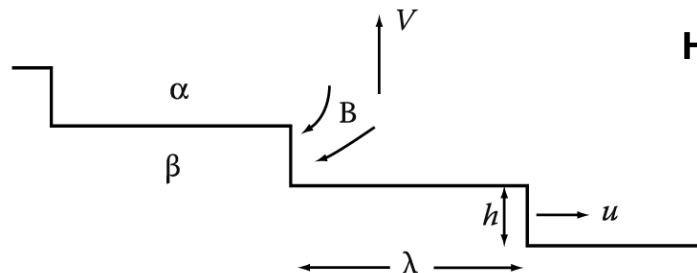## 2) Diffusion Controlled lengthening of Plates or Needles

Diffusion Controlled lengthening:

$$v = \frac{D\Delta X_0}{k(X_\beta - X_r)} \cdot \frac{1}{r}\left(1 - \frac{r*}{r}\right)$$

$$v \rightarrow \text{constant} \quad \longrightarrow \quad x \propto t$$

Linear growth

3) Thickening of Plate-like Precipitates

Thickening of Plate-like Precipitates by Ledge Mechanism

Half Thickness Increase

$$v = \frac{uh}{\lambda} \quad \longrightarrow \quad v = \frac{D\Delta X_0}{k(X_\beta - X_e)\lambda}$$

u : rate of lateral migration

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Contents for today's class

Q1: Overall Transformation Kinetics–TTT diagram

"Johnson-Mehl-Avrami Equation"

5.4 Overall Transformation Kinetics – TTT Diagram

If isothermal transformation,

The fraction of Transformation as a function of Time and Temperature

$$\rightarrow \quad f\,(t,T)$$

Plot f vs log t.

- **isothermal transformation**

- *$f \sim$ volume fraction of β at any time; 0~1*
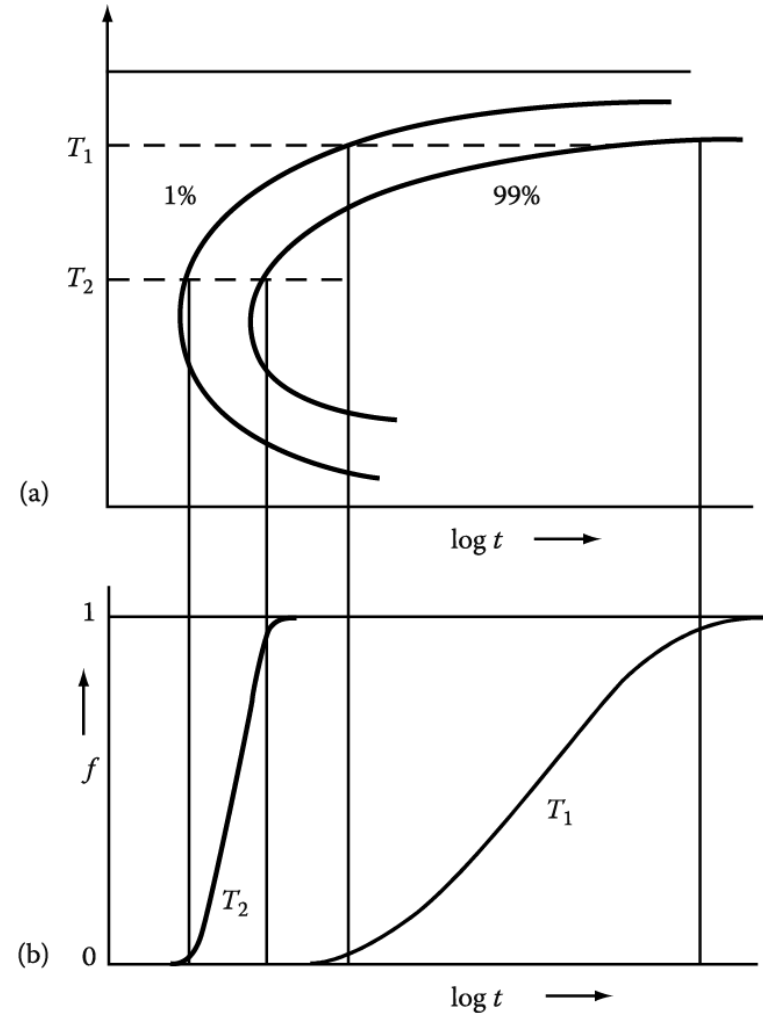
Plot the fraction of transformation (1%, 99%) in T-log t coordinate.

Fig. 5.23 The percentage transformation versus time for different transformation temperatures.

21

Time–Temperature–Transformation Curves (TTT)

- How much time does it take at any one temperature for a given fraction of the liquid to transform (nucleate and grow) into a crystal?

- $f(t,T) \sim \pi I(T)\mu(T)^3 t^4/3$

  where f is the fractional volume of crystals formed, typically taken to be 10^{-6}, a barely observable crystal volume.
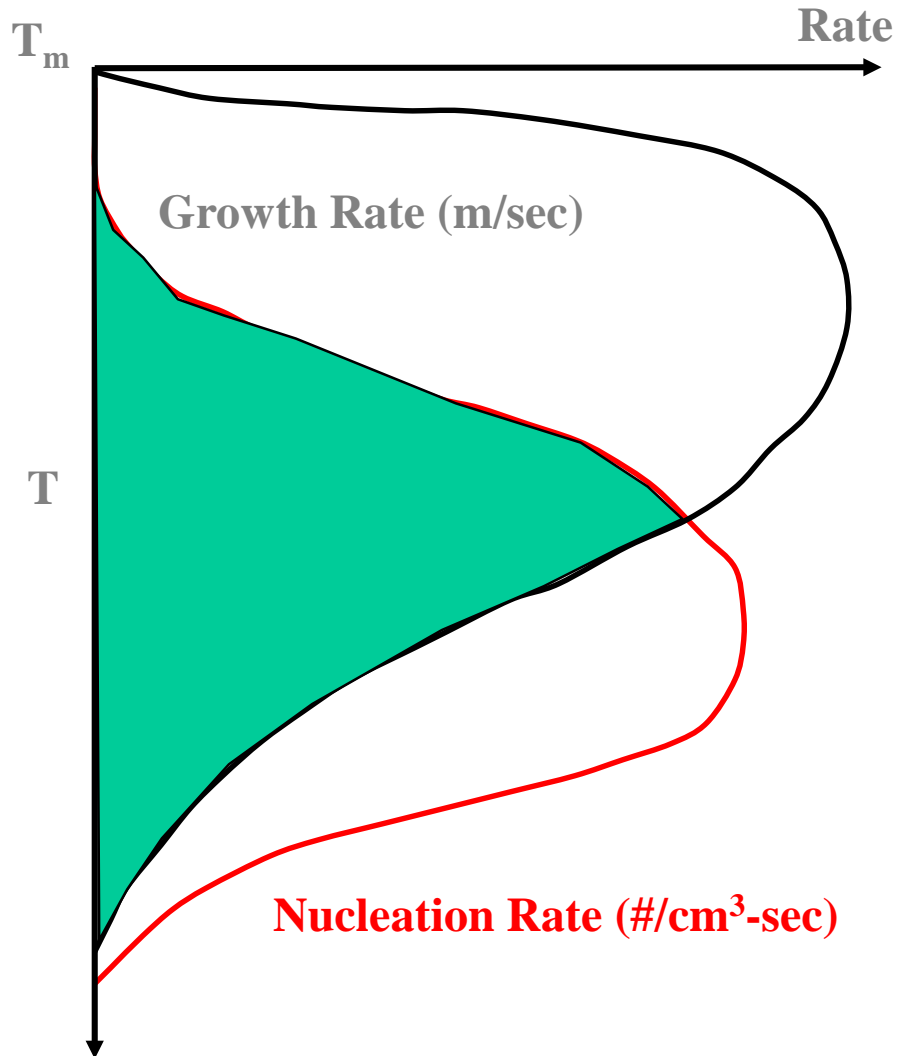
Nucleation rates

$$I = n\nu \exp\left\{\left(\frac{16\pi\Delta H_{cryst}}{81RT}\right)\left(\frac{T_m}{\Delta T}\right)^2\right\}\exp\left\{\frac{-\Delta E_D}{RT}\right\}$$
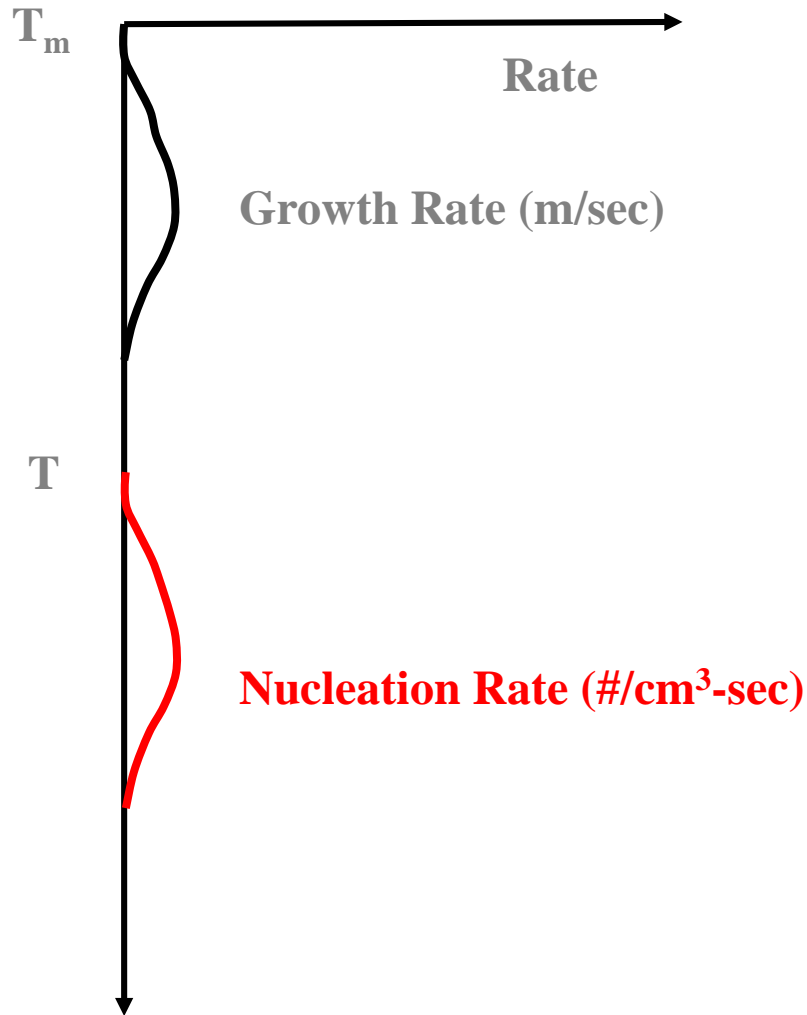
Growth rates

$$\mu(T) = \left(\frac{fRT}{3N\pi a^2\eta(T)}\right)\left(1-\exp\left[\left(\frac{\Delta H_m}{RT}\right)\left(\frac{\Delta T}{T_m}\right)\right]\right)$$

Nucleation and Growth Rates – Poor Glass Formers

Rate

T_m

Growth Rate (m/sec)

T

Nucleation Rate (#/cm³-sec)

- **Strong overlap of growth and nucleation rates**

- **Nucleation rate is high**

- **Growth rate is high**

- **Both are high at the same temperature**

Nucleation and Growth Rates – Good Glass Formers

T_m

Rate

Growth Rate (m/sec)

T

Nucleation Rate (#/cm³-sec)

- **No overlap of growth and nucleation rates**

- Nucleation rate is small

- Growth rate is small

- At any one temperature one of the two is zero

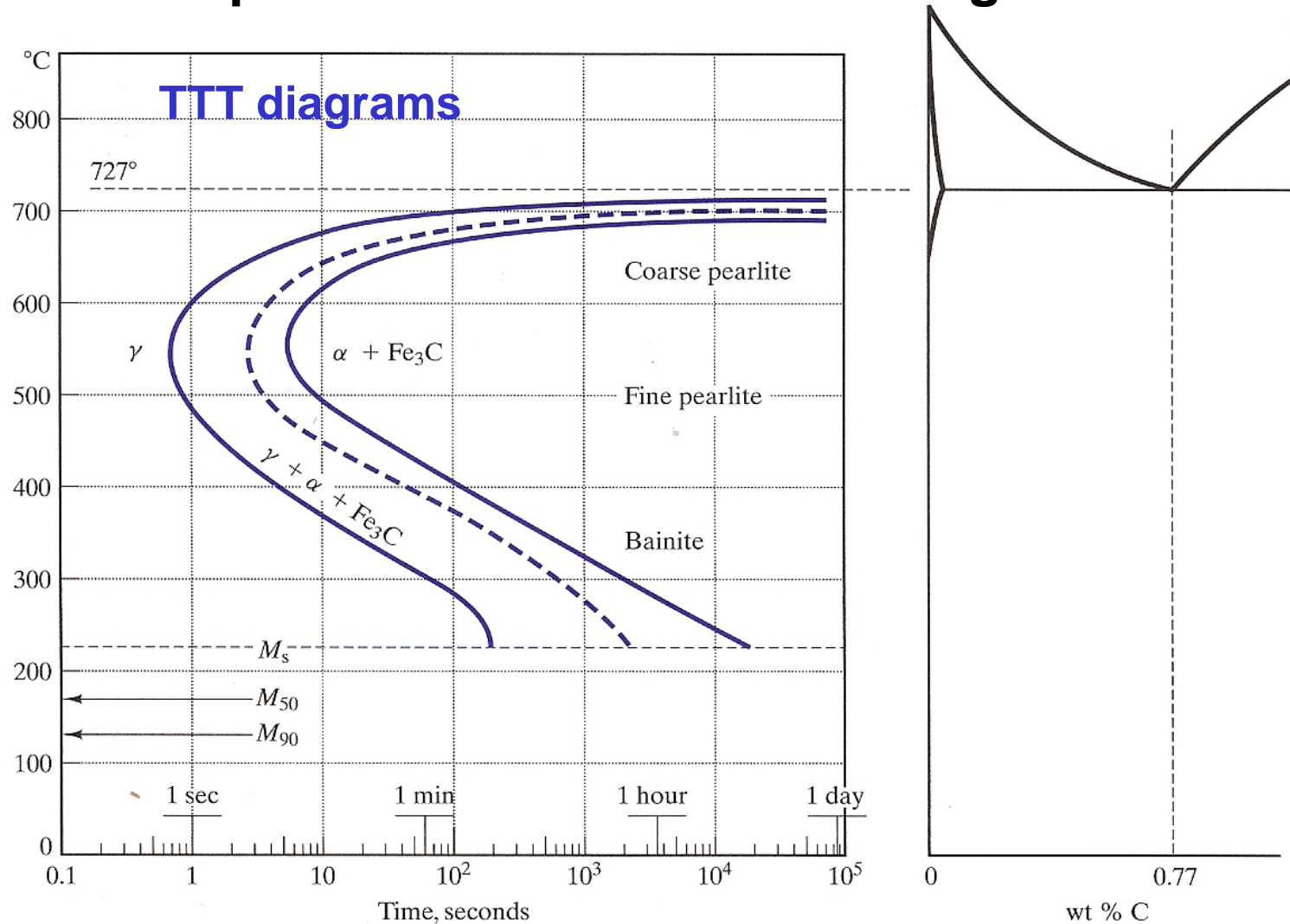# * Time-Temperature-Transformation diagrams

FIGURE 10.11 *A more complete TTT diagram for eutectoid steel than was given in Figure 10.7. The various stages of the time-independent (or diffusionless) martensitic transformation are shown as horizontal lines. M_s represents the start, M_{50} represents 50% transformation, and M_{90} represents 90% transformation. One hundred percent transformation to martensite is not complete until a final temperature (M_f) of $-46°C$.*

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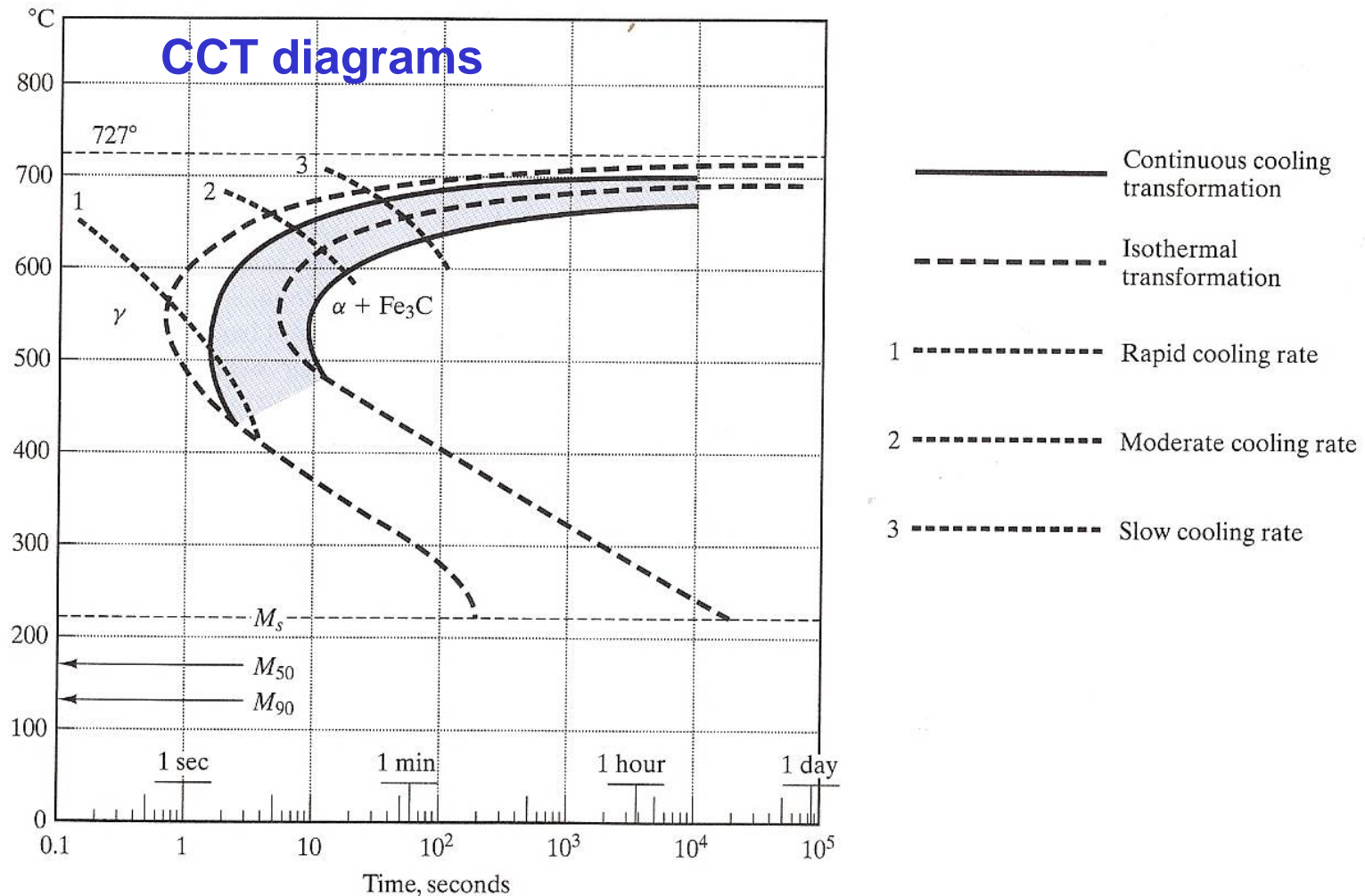# * **Continuous Cooling Transformation diagrams**

FIGURE 10.14 *A continuous cooling transformation (CCT) diagram is shown superimposed on the isothermal transformation diagram of Figure 10.11. The general effect of continuous cooling is to shift the transformation curves downward and toward the right. (After* Atlas of Isothermal Transformation and Cooling Transformation Diagrams, *American Society for Metals, Metals Park, OH, 1977.)*

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Influence factors for $f(t, T)$: nucleation rate, growth rate, density and distribution of nucleation sites, impingement of adjacent cells
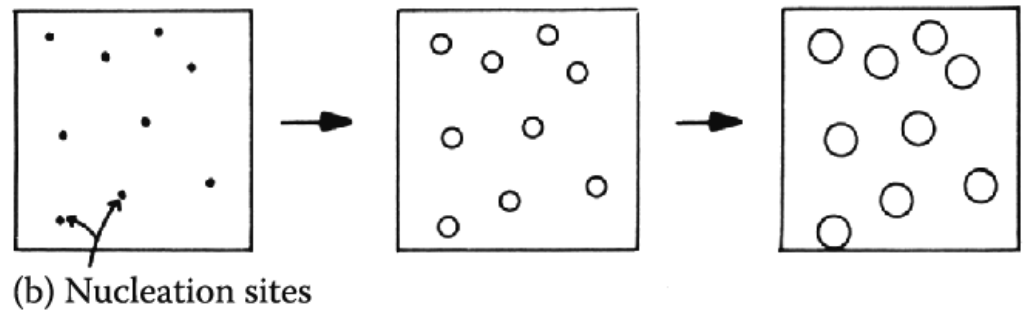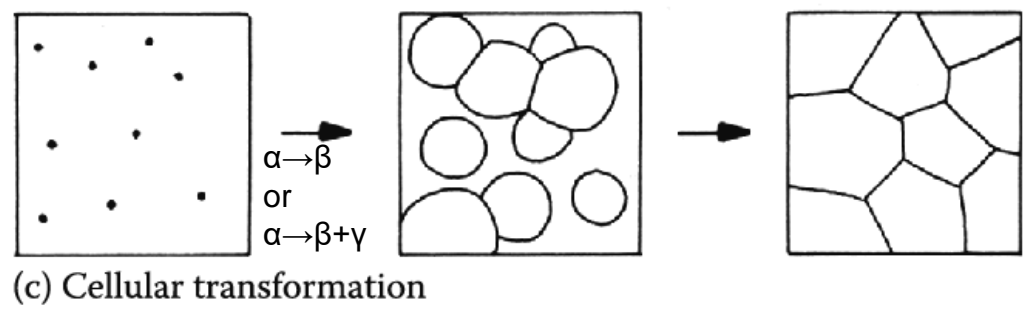
Example,
Three Transformation Types

Wide range of particle sizes

(a) Beginning → → End

(b) Nucleation sites

(c) Cellular transformation
$\alpha \rightarrow \beta$
or
$\alpha \rightarrow \beta + \gamma$

(a) continuous nucleation
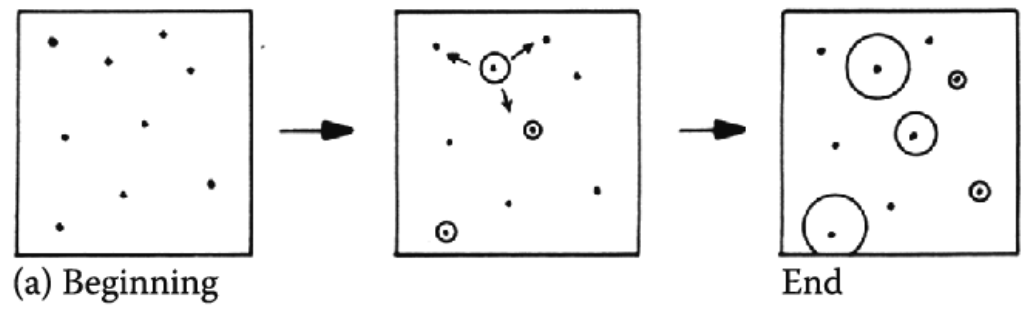
Metastable α phase with many nucleation sites by quenching to T_t

→ f **depends on the *nucleation rate and the growth rate.***

(b) all nuclei present at t = 0
→ f **depends on the *number of nucleation sites and the growth rate.***

(c) All of the parent phase is consumed by the transformation product.

Transformation terminate by the impingement of adjacent cells growing with a constant velocity.

→ **pearlite, cellular ppt, massive transformation, recrystallization**
$$\alpha \longrightarrow \beta$$

Fig. 5.24 (a) Nucleation at a constant rate during the whole transformation.
(b) Site saturation – all nucleation occurs at the beginning of transformation.
(c) A cellular transformation.

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Transformation Kinetics

➢ **Avrami proposed that for a three-dimensional nucleation and growth process kinetic law**

$$f = 1 - \exp\left(-kt^n\right)$$

Johnson–Mehl–Avrami equation

f : **volume fraction transformed** $= \dfrac{\text{Volume of new phase}}{\text{Volume of specimen}}$

➢ **Assumption :**

　√ **reaction produces by nucleation and growth**

　√ **nucleation occurs randomly throughout specimen**

　√ **reaction product grows rapidly until impingement**

Constant Nucleation Rate Conditions

- **Nucleation rate (/) is constant.**

- **Growth rate (v) is constant.**

- **No compositional change**
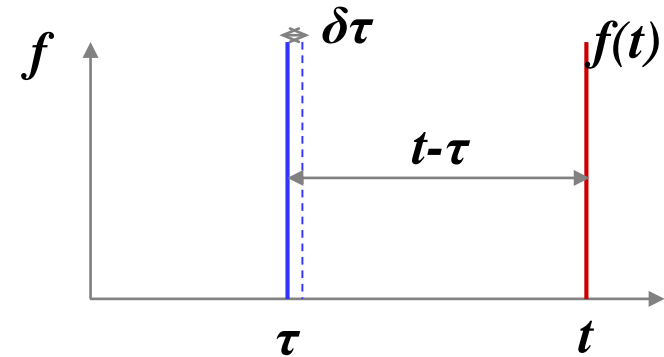
$$df_e = \frac{\left(\begin{array}{c}\text{Vol. of one particle nucleated} \\ \text{during } d\tau \text{ measured at time t}\end{array}\right) \times \left(\begin{array}{c}\text{number of nuclei} \\ \text{formed during } d\tau\end{array}\right)}{\text{Volume of specimen}}$$

$$df_e = \frac{\frac{4}{3}\pi[v(t-\tau)]^3 \times (IV_0 d\tau)}{V_0}$$

$$f_e(t) = \int_o^t I \cdot \frac{4}{3}\pi[v(t-\tau)]^3 d\tau$$

$$= I \cdot \frac{4}{3}\pi v^3\left[-\frac{1}{4}(t-\tau)^4\right]_0^t = \frac{1}{3}\pi I v^3 t^4$$

$$V = \frac{4}{3}\pi r^3 = \frac{4}{3}\pi (vt)^3$$

$$V' = \frac{4}{3}\pi v^3 (t-\tau)^3$$

- do not consider impingement & repeated nucleation
- only true for $f \ll 1$

As time passes the β cells will eventually impinge on one another and the rate of transformation will decrease again.

Constant Nucleation Rate Conditions

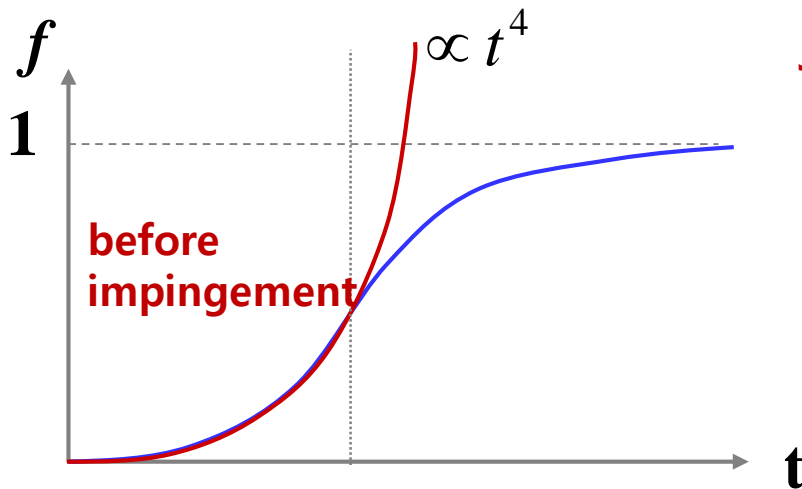- **consider impingement + repeated nucleation effects**

$$df = (1-f)df_e \qquad\longrightarrow\qquad df_e = \frac{df}{1-f}$$

$$f_e = -\ln(1-f)$$

$$f(t) = 1 - \exp(-f_e(t)) = 1 - \exp\left(-\frac{\pi}{3}Iv^3t^4\right)$$

* Short time:
 1-exp(z)~Z (z ≪ 1)

* Long time:
 t→∞, f →1

Johnson-Mehl-Avrami Equation

before impingement

$\propto t^4$

f

1

t

$$f = 1 - \exp(-kt^n)$$

k: T sensitive $f(I, v)$ $\qquad -\frac{\pi}{3}Iv^3$

n: 1 ~ 4 (depend on nucleation mecnanism)

<mark>Growth controlled.</mark> <mark>Nucleation-controlled.</mark>

If no change of nucleation mechanism during phase transformation, n is not related to T.

i.e. 50% transform
Exp (-0.7) = 0.5

$$kt_{0.5}^n = 0.7 \qquad t_{0.5} = \frac{0.7}{k^{1/n}} \qquad \frac{\pi}{3}Iv^3 \Longrightarrow t_{0.5} = \frac{0.9}{I^{1/4}v^{3/4}}$$

Rapid transformations are associated with (large values of k), or (rapid nucleation, I and growth rates, v) → C curve

5.4 Overall Transformation Kinetics

If isothermal transformation,

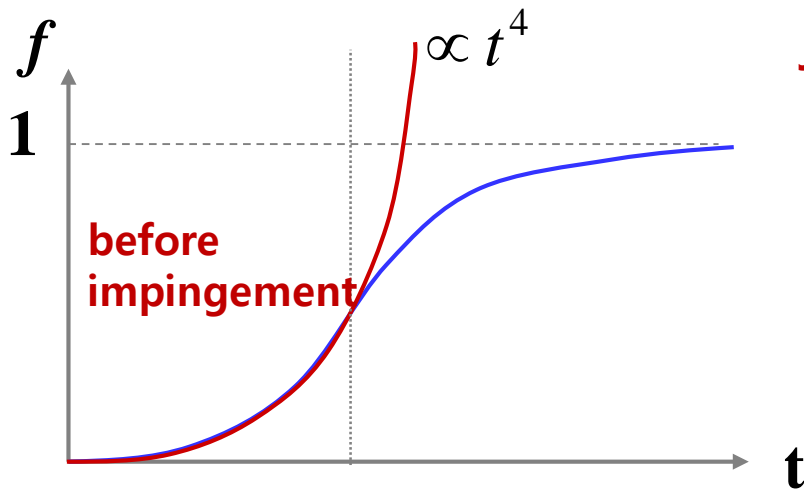**The fraction of Transformation as a function of Time and Temp.** $\rightarrow$ f **(t,T)**

TTT Diagram $\Longleftrightarrow$ **CCT Diagram**

* Constant Nucleation Rate Conditions

$$f(t) = 1 - \exp(-f_e(t)) = 1 - \exp\left(-\frac{\pi}{3}Iv^3t^4\right)$$

* Short time:
1-exp(z)~Z (z ≪1)

* Long time:
t→∞, f →1

Johnson-Mehl-Avrami Equation

$\propto t^4$

f

1

before impingement

t

$$f = 1 - \exp(-kt^n)$$

k: T sensitive $f(I, v)$ $\qquad -\frac{\pi}{3}Iv^3$

n: **1 ~ 4 (depend on nucleation mecnanism)**

Growth controlled. **Nucleation-controlled.**

If no change of nucleation mechanism during phase transformation, n is not related to T.

i.e. 50% transform

Exp (-0.7) = 0.5

$$kt_{0.5}^n = 0.7 \qquad t_{0.5} = \frac{0.7}{k^{1/n}}$$

$\frac{\pi}{3}Iv^3$

$$t_{0.5} = \frac{0.9}{I^{1/4}v^{3/4}}$$

Rapid transformations are associated with (large values of k), or (rapid nucleation, / and growth rates, v) → C curve

Q2: Precipitation in Age-Hardening Alloys

The theory of nucleation and growth can provide general guidelines for understanding civilian transformation.

5.5 Precipitation in Age-Hardening Alloys

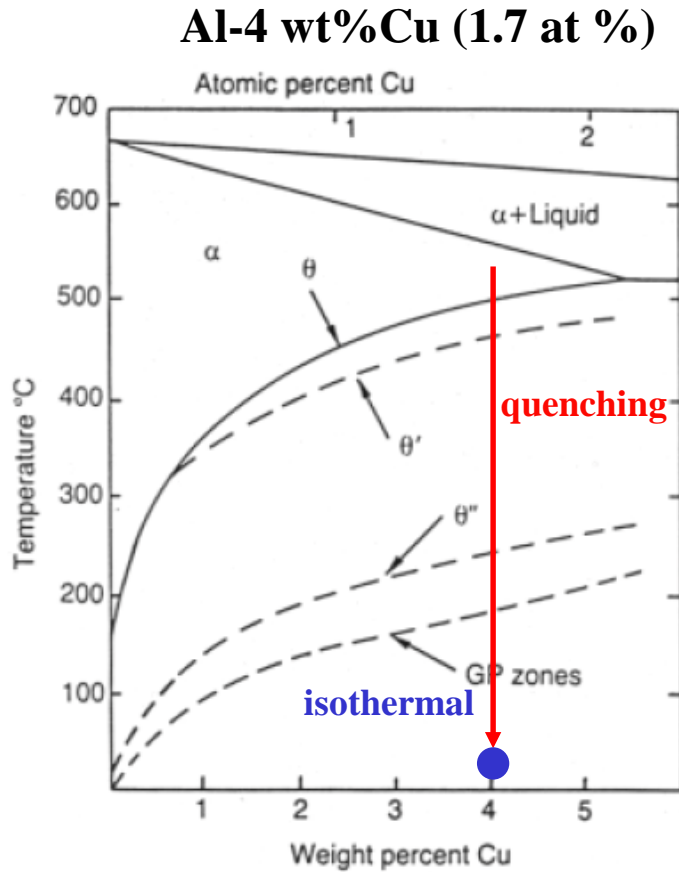**Table 5.2 Some precipitation-Hardening Sequences**

Base Metal	Alloy	Precipitation Sequence
Aluminum	Al–Ag	GPZ (spheres) $\rightarrow \gamma'$ (plates) $\rightarrow \gamma$ (Ag_2Al)
	Al–Cu	GPZ (disks) $\rightarrow \theta''$ (disks) $\rightarrow \theta'$ (plates) $\rightarrow \theta$ ($CuAl_2$)
	Al–Cu–Mg	GPZ (rods) $\rightarrow S'$ (laths) $\rightarrow S$ ($CuMgAl_2$) (laths)
	Al–Zn–Mg	GPZ (spheres) $\rightarrow \eta'$ (plates) $\rightarrow \eta$ ($MgZn_2$) (plates or rods)
	Al–Mg–Si	GPZ (rods) $\rightarrow \beta'$ (rods) $\rightarrow \beta$ (Mg_2Si) (plates)
Copper	Cu–Be	GPZ (disks) $\rightarrow \gamma' \rightarrow \gamma$ (CuBe)
	Cu–Co	GPZ (spheres) $\rightarrow \beta$ (Co) (plates)
Iron	Fe–C	ε-carbide (disks) $\rightarrow Fe_3C$ (plates)
	Fe–N	α'' (disks) $\rightarrow Fe_4N$
Nickel	Ni–Cr–Ti–Al	γ' (cubes or spheres)

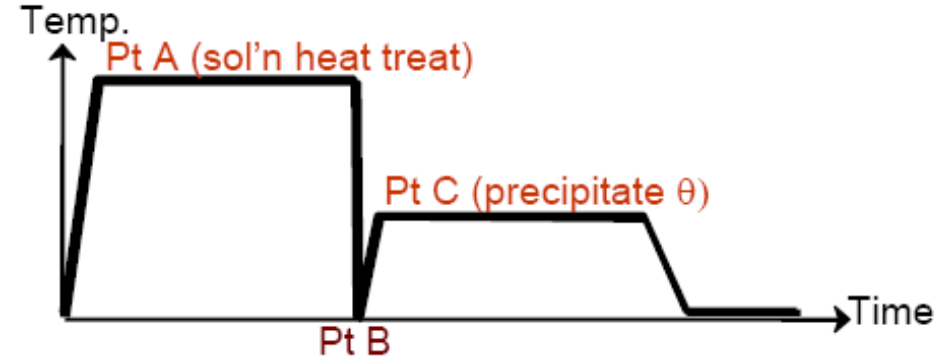*Source:* Mainly from Martin, J.W., in *Precipitation Hardening*, Pergamon Press, Oxford, 1968.

Let us now turn to a consideration of some examples of the great variety of civilian transformations in solid.

5.5 Precipitation in Age-Hardening Alloys

Precipitation in Aluminum-Copper Alloys

Al-4 wt%Cu (1.7 at %)

Fig. 5.25 Al-Cu phase diagram showing the metastable GP zone, θ'' and θ' solvuses. (Reproduced from G. Lorimer, *Precipitation Processes in Solids*, K.C. Russell and H.I. Aaronson (Eds.), The Metallurgical Society of AMIE, 1978, p. 87.)

$\boxed{\alpha_0}$ **540°C heat treatment →**
Quenching + Isothermal (below 180°C)
Supersaturated solid solution

$\rightarrow \boxed{\alpha_1 \textbf{+GP zones}}$

$\rightarrow \alpha_2 + \theta'' \rightarrow \alpha_3 + \theta' \rightarrow \alpha_4 + \theta$

$(\textbf{CuAl}_2)$

In most system, α, β phase~ different crystal structure → incoherent nuclei with large γ ~ impossible to homogeneous nucleation of β → Homogeneous nucleation of metastable phase β' (GP Zones, Section 5.5.1)
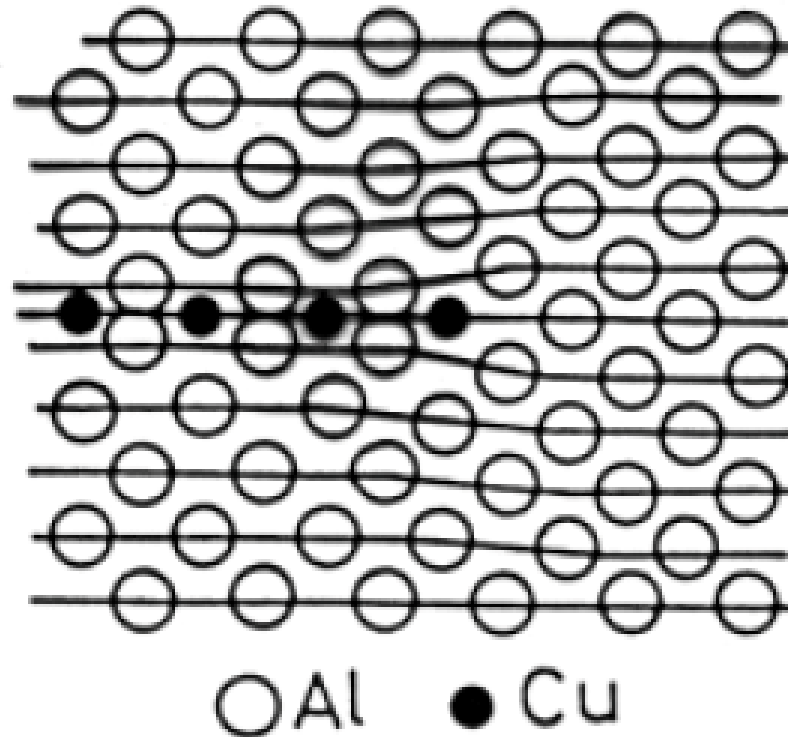
5.5.1 GP Zones

$$\Delta G_\theta^* > (\Delta G_V - \Delta G_s) >> \Delta G_{zone}^*$$

The zones minimize their strain energy by choosing a disc-shape perpendicular to the elastically soft <100> directions in the fcc matrix (as shown in Fig. 5.26).

2 atomic layers thick and 10 nm in diameter with a spacing of ~10 nm

**Fully coherent Cu-rich area with very low interfacial E**

○ Al   ● Cu

Fig. 5.26 Section through a GP zone parallel to the (200) plane. (Based on the work of V. Gerold: *Zeitschrift für Metallkunde* **45** (1954) 599.)

: 이러한 응집체는 완전한 석출 입자로 볼 수 없으며, 때때로 석출대 (zone)로 명명함.

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The zone appear to be homogeneously nucleated, however, excess vacancies are thought to play an important role in their formation (be returned to later)

GP zones of Al-Cu alloys (x 720,000, TEM)
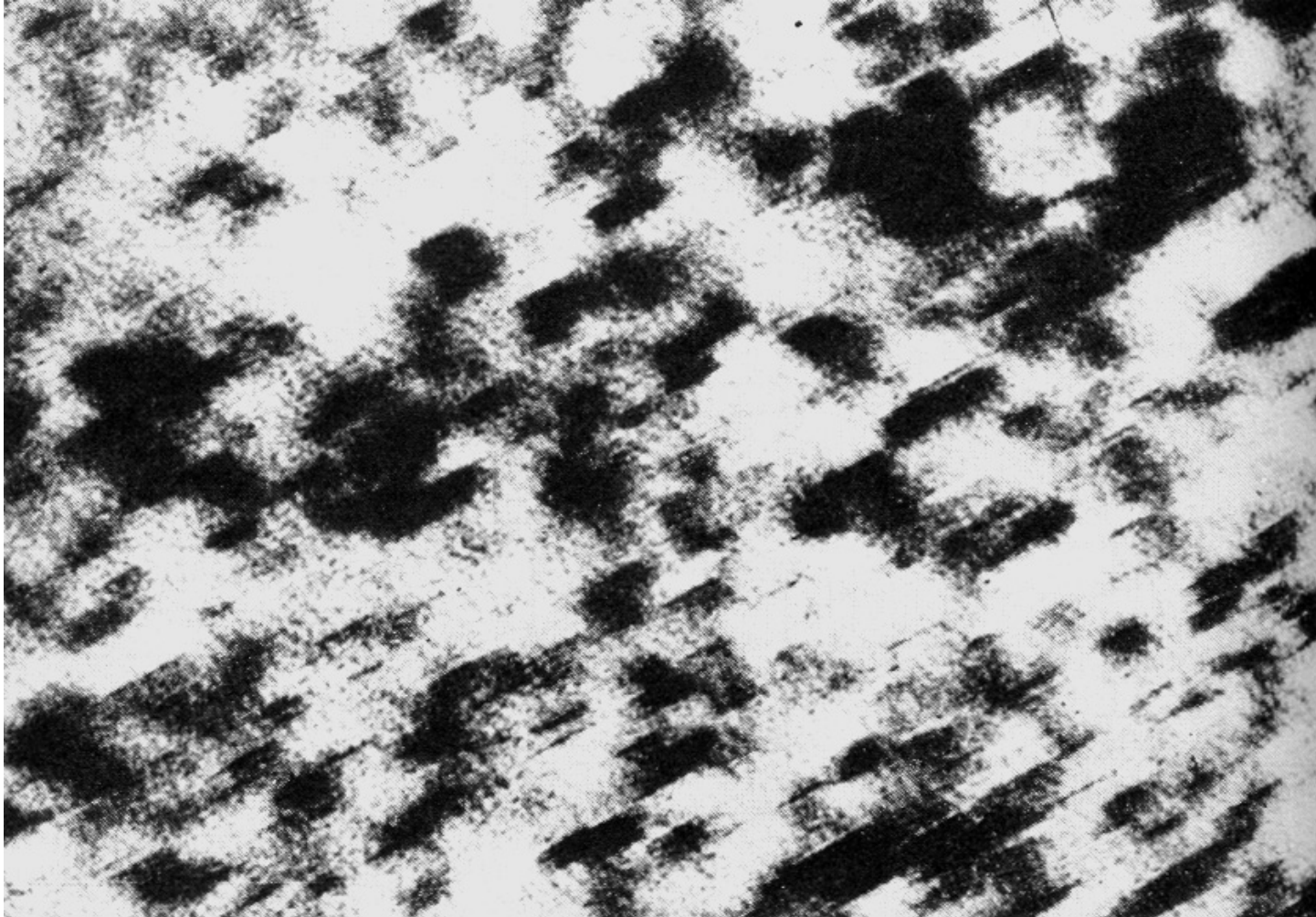
**Fully coherent, about 2 atomic layers thick and
10 nm in diameter with a spacing of ~ 10 nm**

**: The contrast in the image is due to the coherency misfit strain perpendicular to the zones.
(Coherency misfit strain→local variations in the intensity of electron diffraction→image contrast change)**
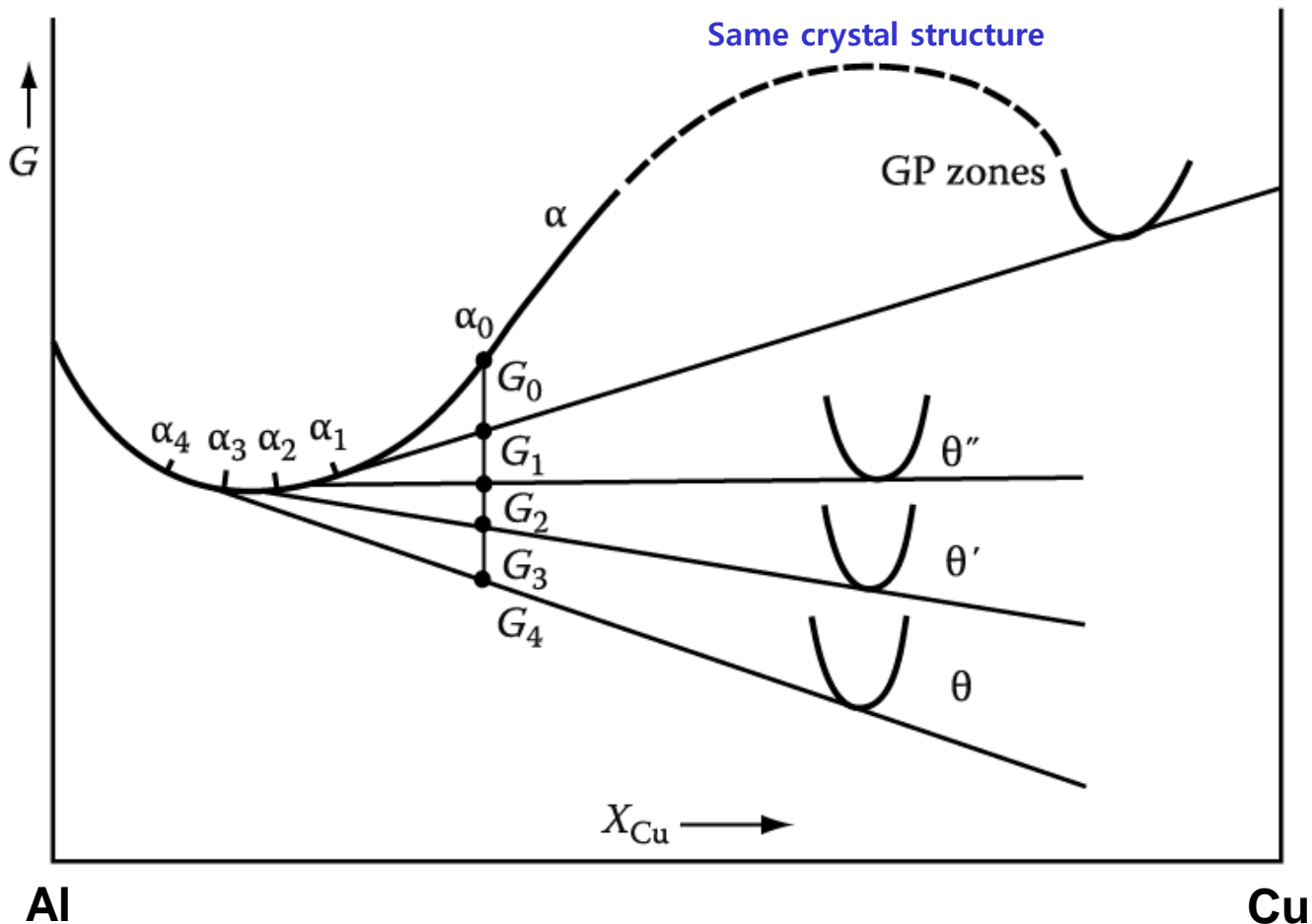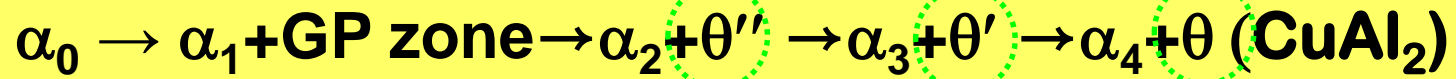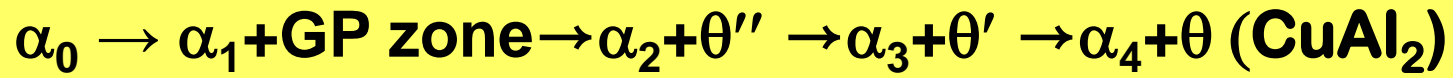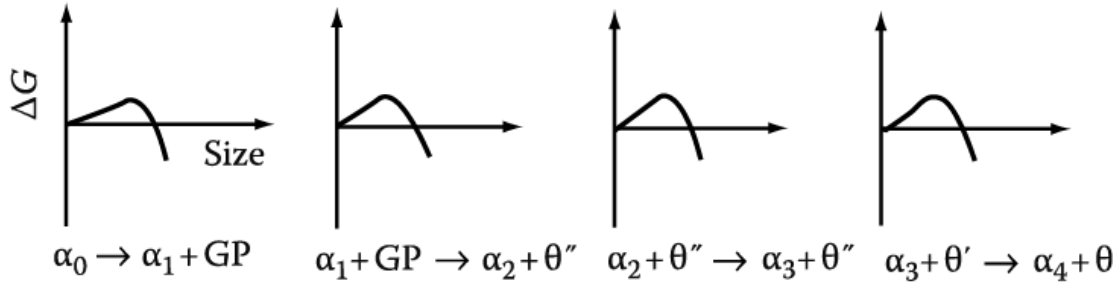
Transition phases

$$\alpha_0 \rightarrow \alpha_1 + \text{GP zone} \rightarrow \alpha_2 + \theta'' \rightarrow \alpha_3 + \theta' \rightarrow \alpha_4 + \theta \ (\text{CuAl}_2)$$

Fig. 5.27 A schematic molar free energy diagram for the Al-Cu system.

$\alpha_0 \rightarrow \alpha_1 +$ GP zone $\rightarrow \alpha_2 + \theta'' \rightarrow \alpha_3 + \theta' \rightarrow \alpha_4 + \theta$ (CuAl$_2$)
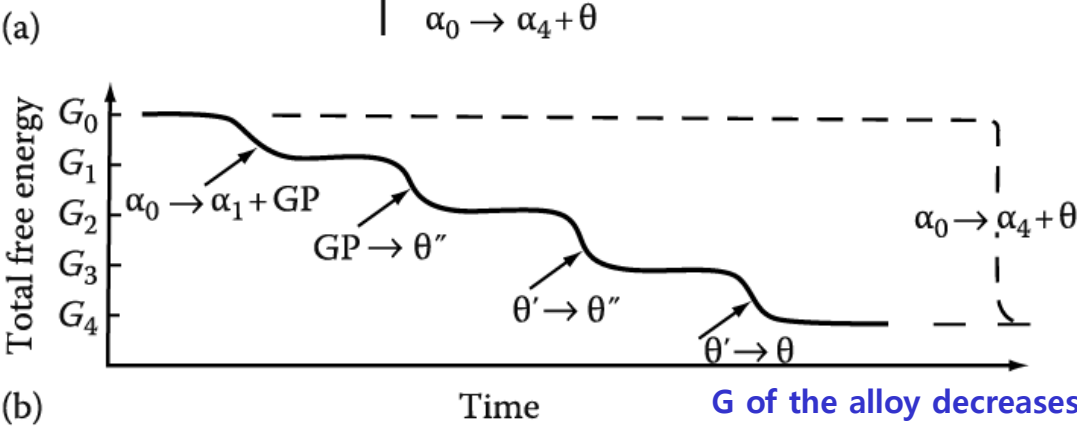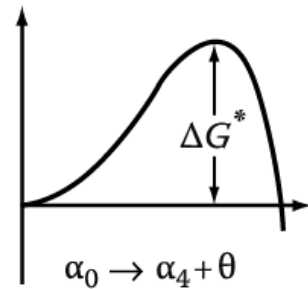
Low Activation Energy of Transition Phases

➡ ∵ **the crystal structures of the transition phases are intermediate between those of the matrix and the equilibrium phase.**

Transition phases (중간상, θ″ & θ'): a high degree of coherence, low interfacial E contribution to min ΔG*.

Equilibrium phase (평형상, θ): complex crystal structure that is incompatible with the matrix → high E interfaces and high ΔG*.
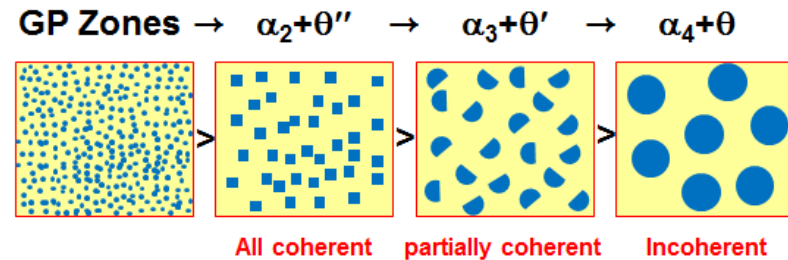
G of the alloy decreases more rapidly via the transition phases than by direct transformation to the equilibrium phase.

GP Zones → $\alpha_2 + \theta''$ → $\alpha_3 + \theta'$ → $\alpha_4 + \theta$

All coherent   partially coherent   Incoherent

(a) The activation E barrier to the formation of each transition phase is very small in comparison to the barrier against the direct precipitation of the equilibrium phase. (b) Schematic diagram showing the total free E of the alloy versus time.
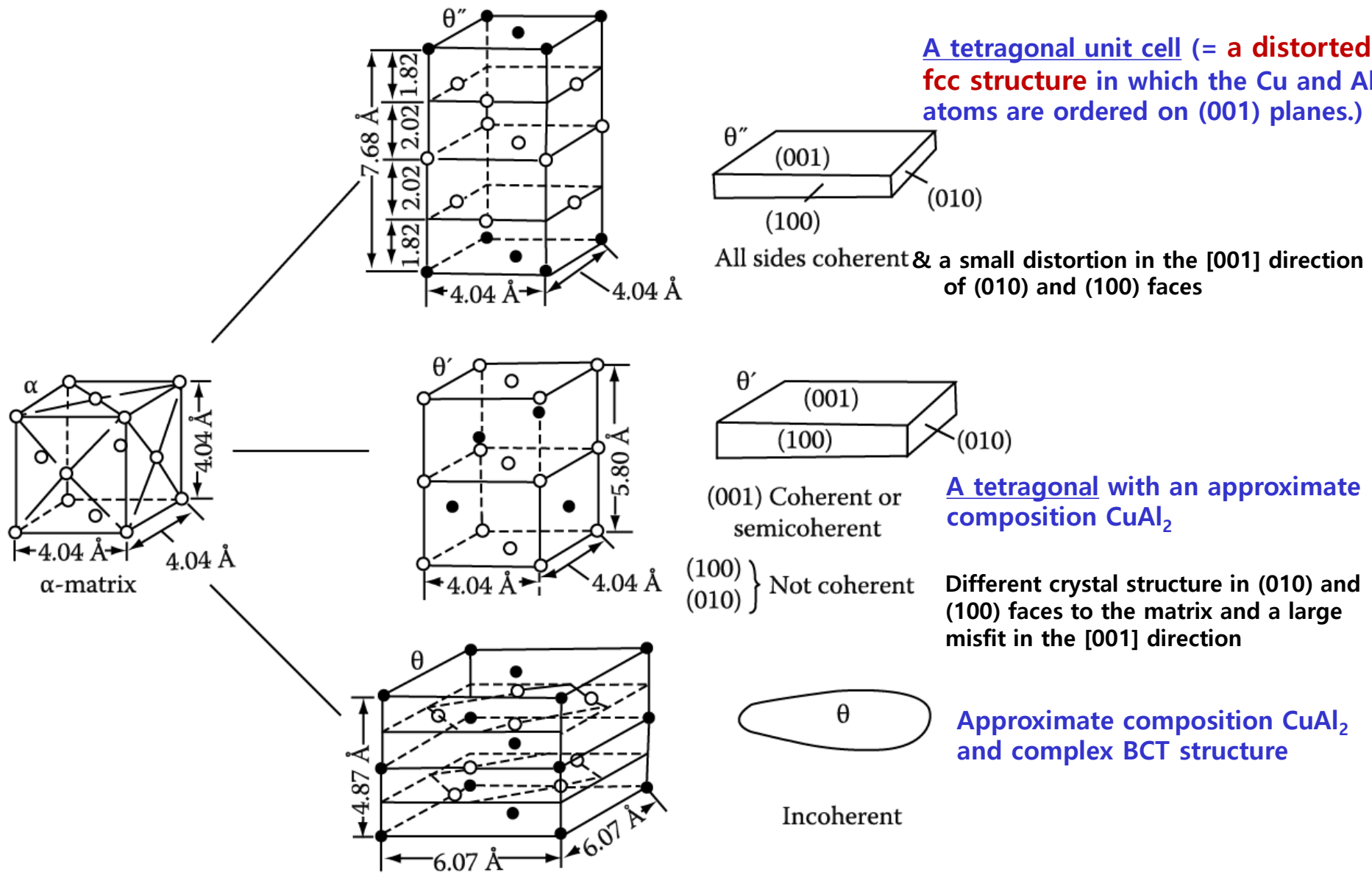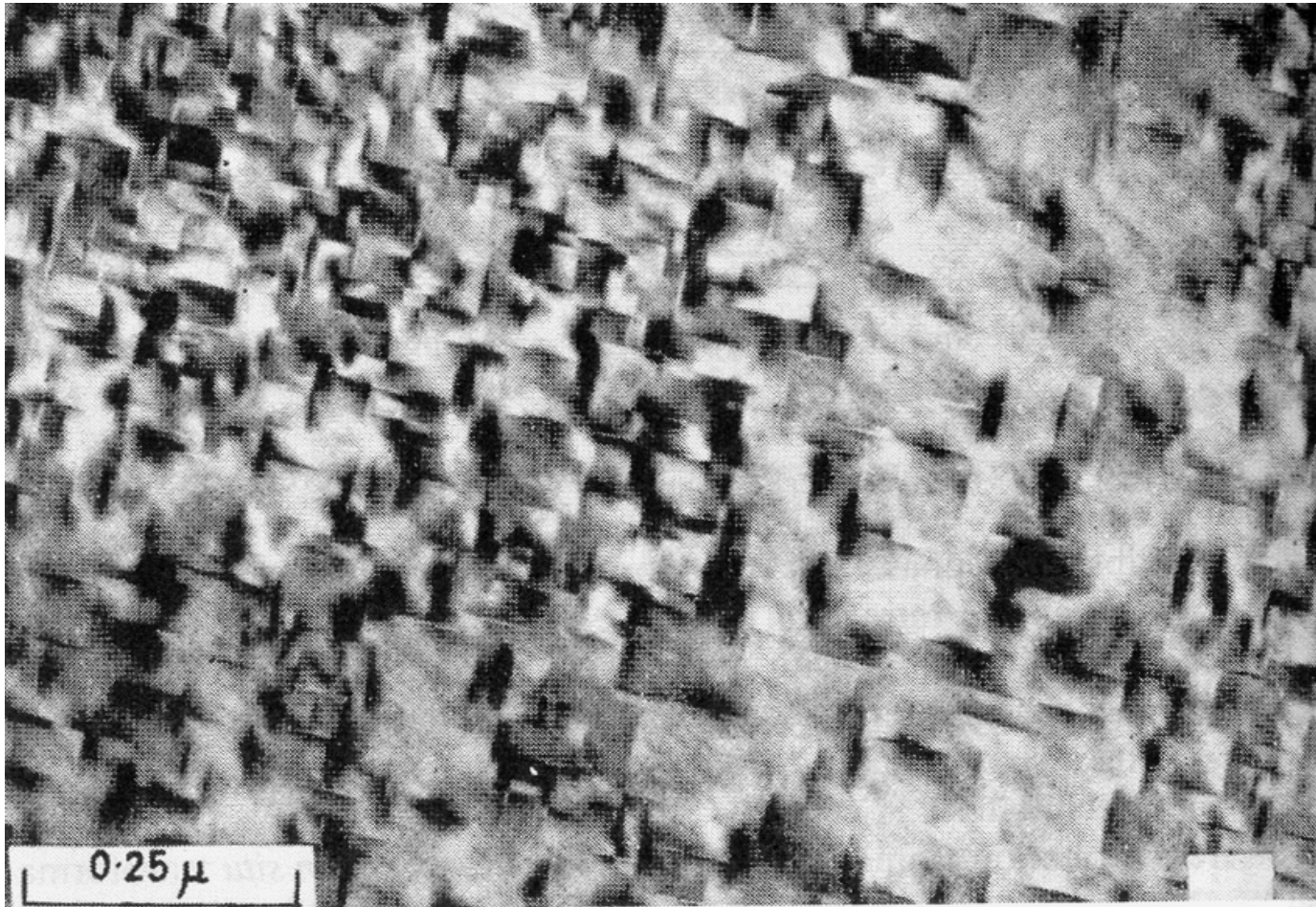
The Crystal Structures of θ″, θ′ and θ

A tetragonal unit cell (= **a distorted fcc structure in which the Cu and Al atoms are ordered on (001) planes.**)

All sides coherent & **a small distortion in the [001] direction of (010) and (100) faces**

(001) Coherent or semicoherent

(100) } Not coherent
(010) }

A tetragonal with an approximate composition CuAl$_2$

Different crystal structure in (010) and (100) faces to the matrix and a large misfit in the [001] direction

Incoherent

Approximate composition CuAl$_2$ and complex BCT structure

Fig. 5.29 Structure and morphology of θ″, θ' and θ in Al-Cu (○ Al. ● Cu).

θ″ of Al-Cu alloys (x 63,000, TEM)

Tetragonal unit cell, essentially a distorted fcc in which Cu and Al atoms are ordered on (001) planes, **fully-coherent plate-like ppt with {001}$_\alpha$ habit plane**. ~ <u>10 nm thick and 100 nm in diameter (larger than GP zones).</u>

: Like the GP zones, the θ″ precipitates are visible by virtue of the coherency-strain fields caused by the misfit perpendicular to the plates.
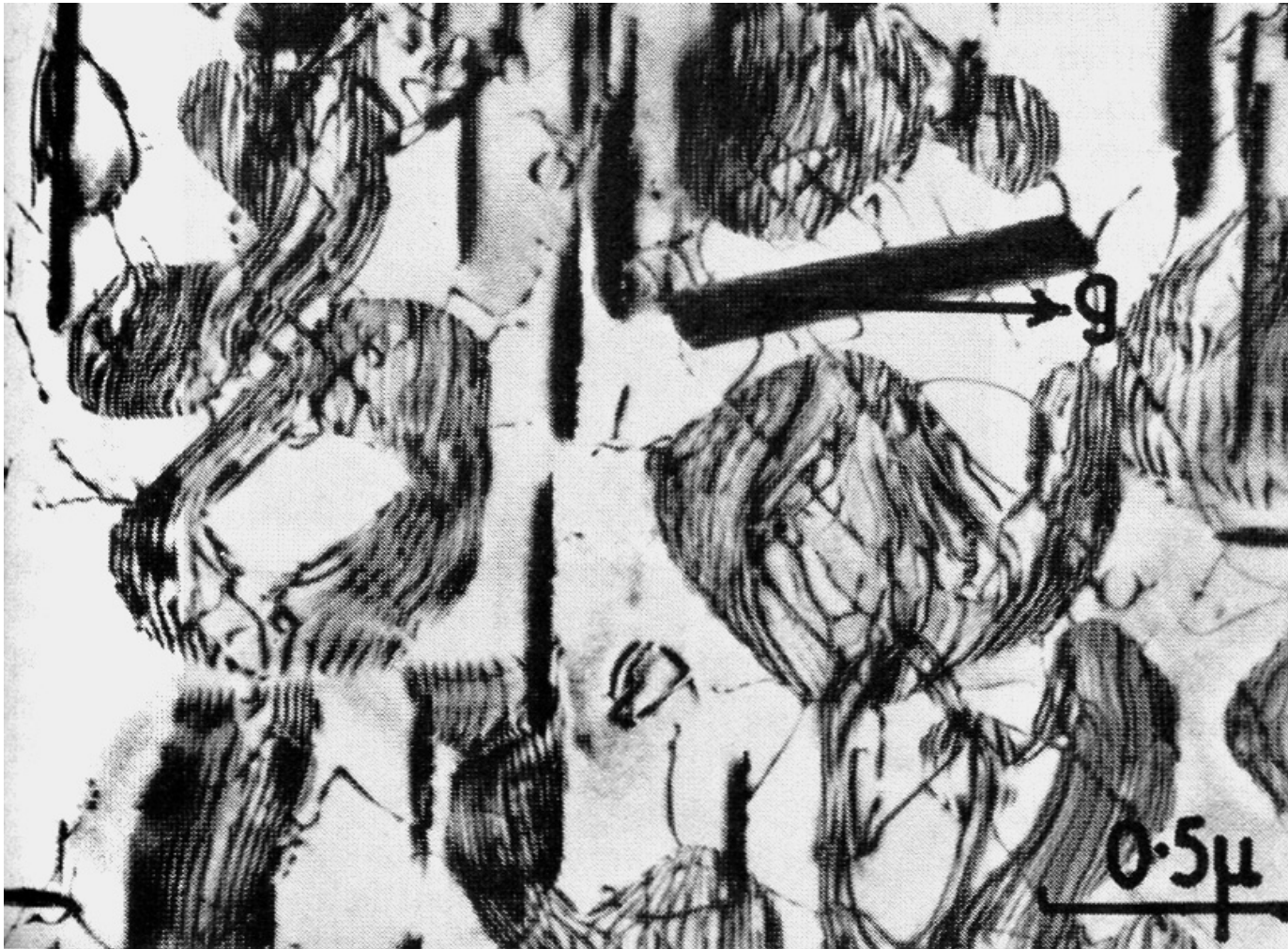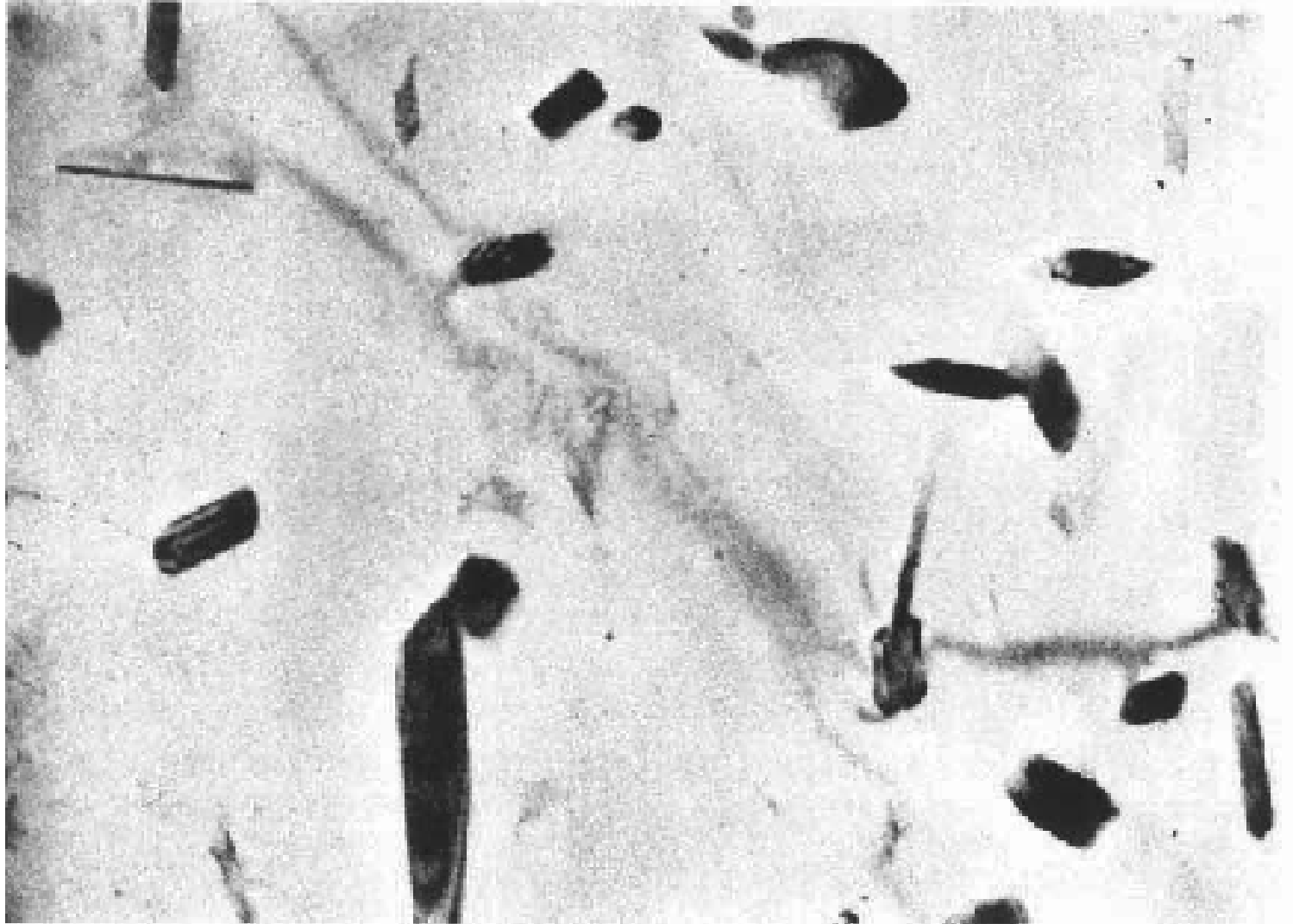
θ′ has (001) planes that are identical with $\{001\}_\alpha$ and forms
as plates on $\{001\}_\alpha$ with the same orientation relationship as θ″.
But, (100), (010) planes → incoherent, ~ 1 μm in diameter.

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: The broad faces of the plates are initially fully coherent but lose coherency as the plates grow, while the edges of the plates are either incoherent or have a complex semicoherent structure.

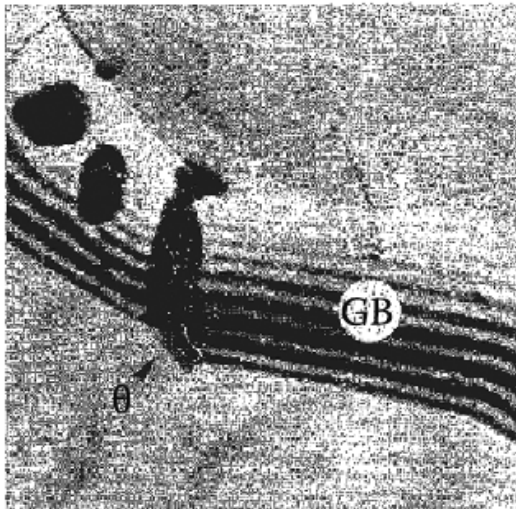CuAl$_2$: complex body centered tetragonal, incoherent or complex semicoherent

: large size and coarse distribution of the precipitates
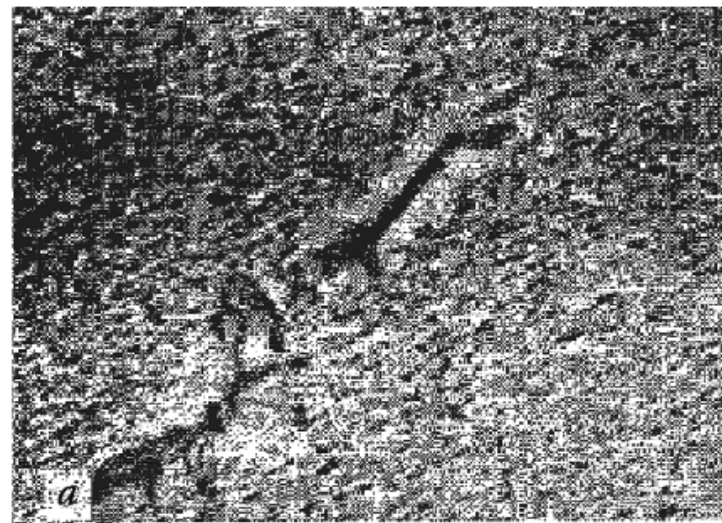
Nucleation sites
in Al-Cu alloys

(0) GP zones → θ":

  GP zones
 ~ very potent nucleation sites for θ"

(a) **θ" → θ'. θ' nucleates at dislocation (x 70,000).**
   : Dislocation can reduce the misfit in two <100> matrix directions.
   As the θ' grows the surrounding, less-stable θ" phase can be seen to dissolve.

(b) **θ nucleation on grain boundary (GB)(x 56,000)** (c) **θ' → θ. θ nucleates at θ'/matrix interface (x 70,000).**
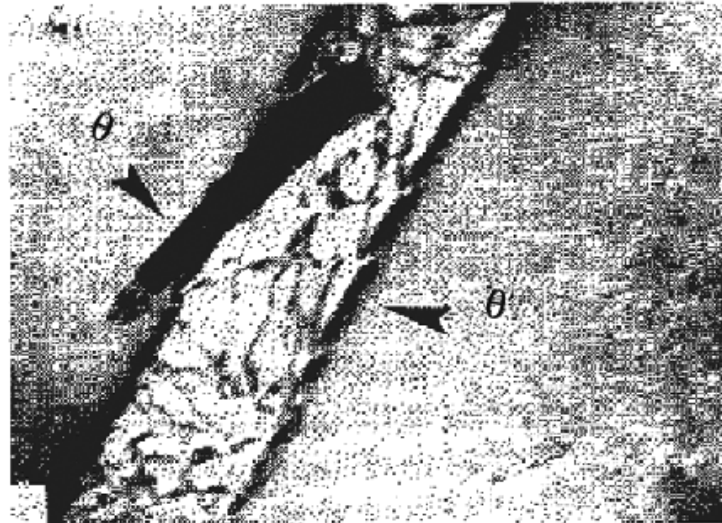 **: governed by the need to reduce the large interfacial energy contribution to ΔG* for this phase**

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Fig. 5.31 Electron micrographs showing nucleation sites in Al-Cu alloys.

* Effect of Aging Temperature on the Sequence of Precipitates

Increasing coarseness of microstructure through the sequence of precipitates

Cu diffuses as shown causing θ″ to shrink and θ′ to grow.

"reversion"
If an alloy containing GP zones is heated to above the GP zones solvus the zone will dissolve.

dissolution

growth

A more stable precipitate grows at the expense of a less stable precipitate
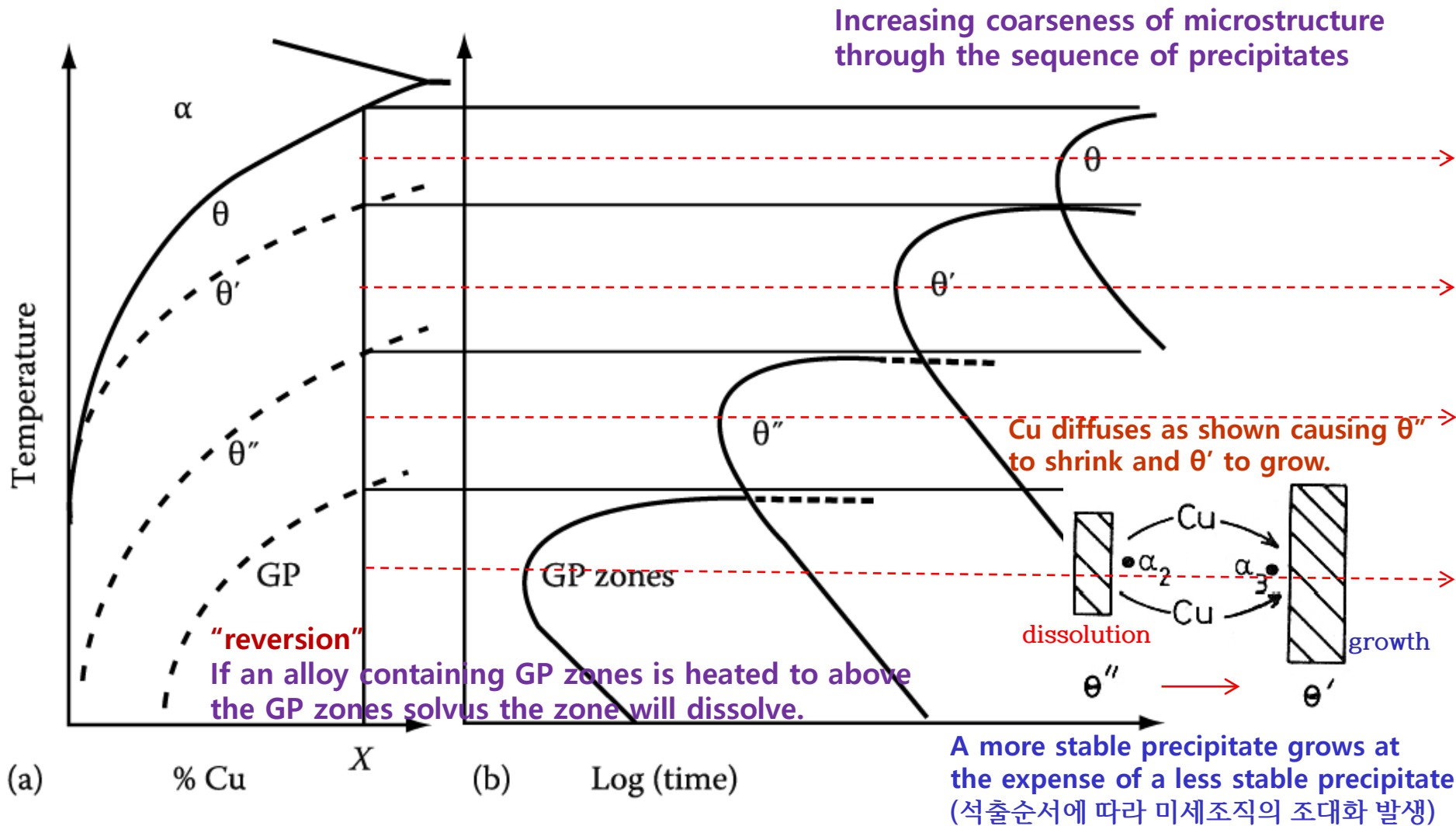**(석출순서에 따라 미세조직의 조대화 발생)**

Fig. 5.32 (a) Metastable solvus lines in Al-Cu (schematic).
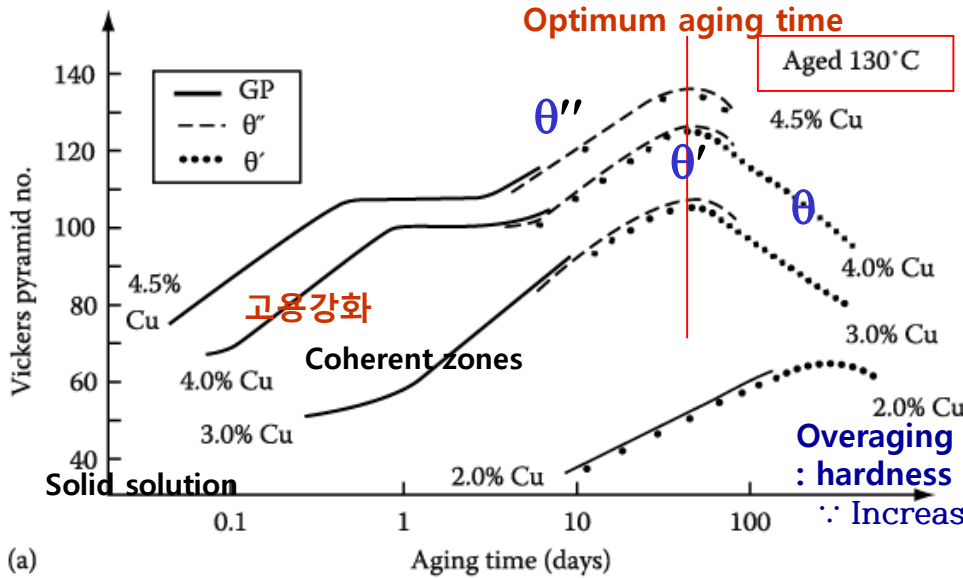(b) Time for start of precipitation at different temperatures for alloy X in (a).

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Q3: Age Hardening

5.5.4. Age Hardening

Transition phase precipitation → great improvement in the mechanical properties
Coherent precipitates→highly strained matrix→the main resistance to the Ⓓ movement: solid solution hardening

Hardness vs. Time by Ageing

Maximum hardness~ largest fraction of θ″
(coherent precipitates)

Optimum aging time
θ″
θ′
θ
고용강화
Coherent zones
Overaging
: hardness begins to decrease
∵ Increases the distance btw precipitates making Ⓓ bowing easier
Solid solution

Ageing at 130°C produces higher maximum hardness than ageing at 190°C.

At 130°C, however, it takes **too a long time (several tens of days).**

GP zones~unstable
1st precipitate = θ″
Coarser & max vol. fraction ↓

shorter aging time
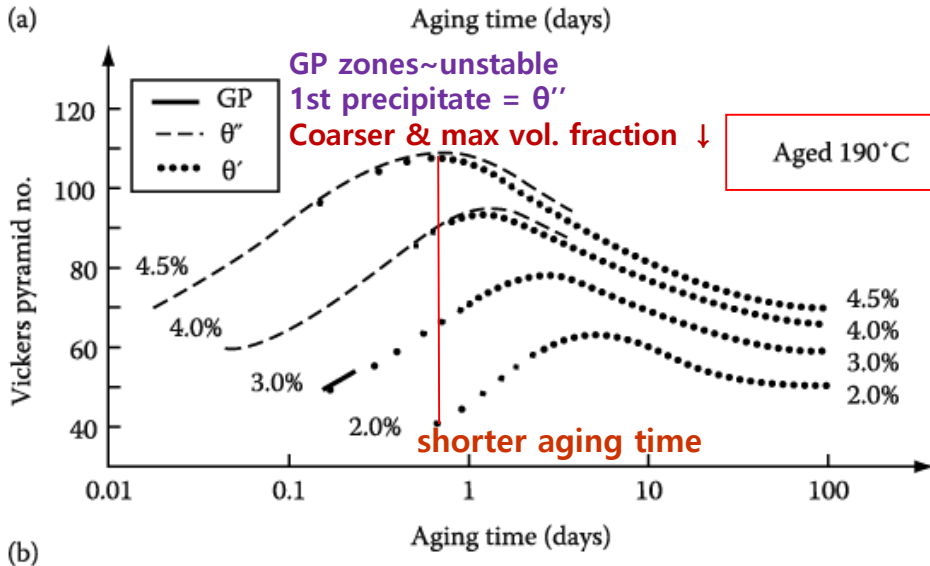
How can you get the high hardness for the relatively short ageing time (up to 24h)?

Double ageing treatment
first below the GP zone solvus → fine dispersion of GP zones then ageing at higher T.

: Engineering alloys are not heat treated for max. strength alone. → **to optimize other properties best heat treatment in practice**

Fig. 5. 37 Hardness vs. time for various Al-Cu alloys at (a) 130 ℃ (b) 190 ℃

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Q4: How can you design an alloy with high strength at high T?

Microstructure of a two phase alloy is always unstable if the total interfacial free E is not a minimum. →

5.5.6. Particle Coarsening (smaller total interfacial area→loss of strength or disappear-ance of GB pining effect→ particular concern in the design of materials for high temp. applications)

Two Adjacent Spherical Precipitates with Different Diameters

(Gibbs-Thomson effect: radius of curvature↓ → X_B ↑) Assumption: volume diffusion is the rate controlling factor
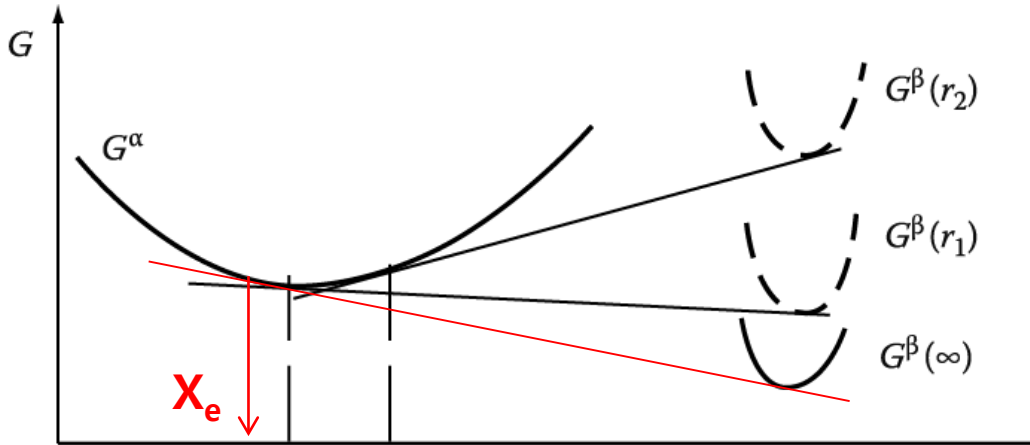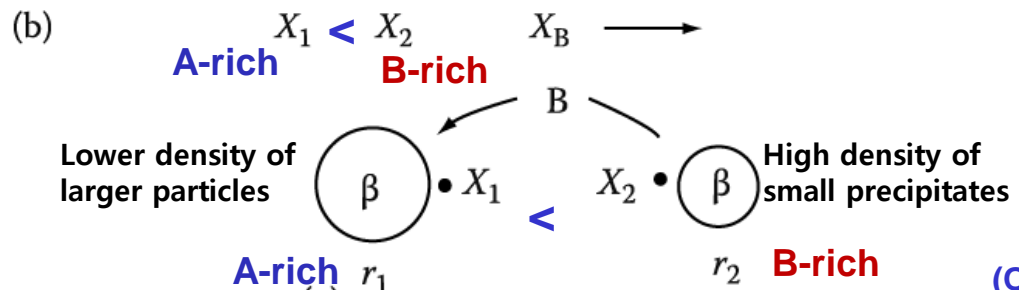

$$\left(\overline{r}\right)^3 - r_0^{\,3} = kt$$

Average radius

where $k \propto D\gamma X_e$

(X_e: Equil. solubility of very large particles)

Coarsening rate

$$\frac{d\overline{r}}{dt} \propto \frac{k}{\overline{r}^2}$$

X_e

(b) $X_1 < X_2$ X_B ⟶

A-rich   **B-rich**

Lower density of larger particles

High density of small precipitates

β • X_1 X_2 • β

<

A-rich r_1 r_2 **B-rich**

$\left(\dfrac{D \text{ and } X_e \sim \textbf{exp (-Q/R}T\textbf{)}}{\overline{r}}\right.$ Rapidly increase with Increasing temp. ⟹ **CR ↑**
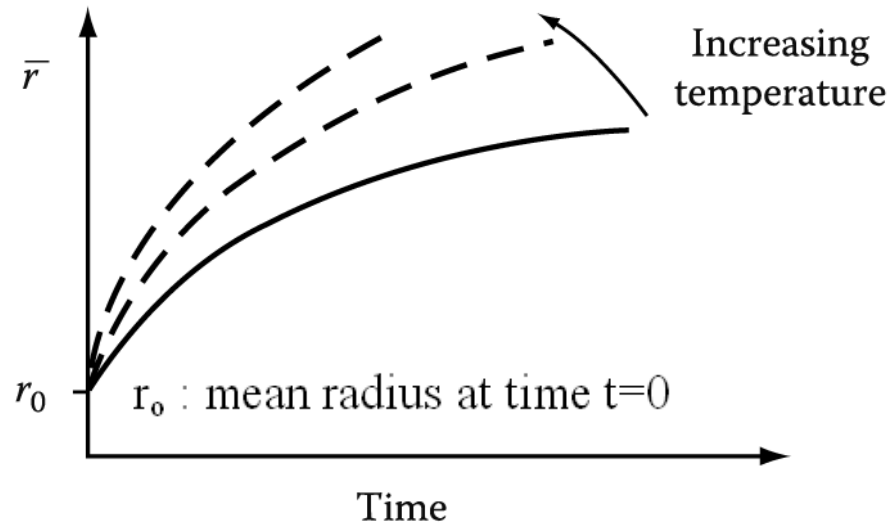
(Ostwald Ripening)

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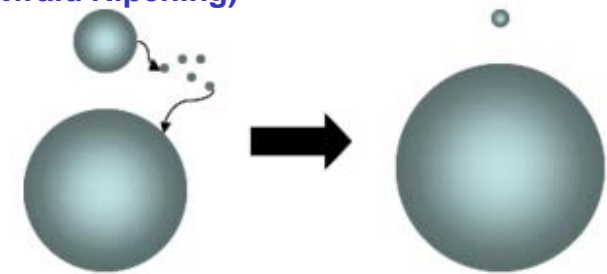
: Concentration gradient in matrix→diffusion→small particle_shrink/ large particle_grow

5.5.6. Particle Coarsening

The Rate of Coarsening with Increasing Time and Temp.

Increasing temperature

r_0 : mean radius at time t=0

$\bar{r}$ ~ **Particular concern in the design of materials for high temperature applications**

Undesirable degradation of properties:
less strength/ disappearance of GB pinning effects

How can you design an alloy with high strength at high T?

→ **fine precipitate dispersion**

hint) $\dfrac{d\bar{r}}{dt} \propto \dfrac{k}{\bar{r}^2}$ $k \propto D\gamma X_e$

1) low γ

heat-resistant Nimonic alloys
based on Ni-rich Ni-Cr → ordered fcc
Ni$_3$(Ti,Al) in Ni-rich matrix → high strength
Ni/γ' interface~ "fully coherent" (10~30 mJ m^{-2})

Maintain a fine structure at high temperature
→ improve creep-rupture life

2) low X_e (Oxide~ very insoluble in metals)
: fine oxide dispersion in a metal matrix
Ex) dispersed fine ThO$_2$ (thoria) in W and Ni

→ strengthened for high temperature

3) low D
Cementite dispersions in tempered steel
→ high D of carbon → very quickly coarsening
a. substitutional alloying element
→ segregates to carbide → slow coarsening
b. strong carbide-forming elements
→ more stable carbides → lower X_e

Q5: Quenched-in vacancies vs Precipitate-free zone

5.5.3. Quenched-in Vacancies

If $X_v < X_v^c$ critical vacancy supersaturation,
Precipitate nucleation X → formation of PFZ

In the vicinity of grain boundaries on subsequent aging,

a) Excess Ⓥ→ Ⓓ nucleation and moving ↑:
   Heterogeneous nucleation sites ↑
b) Excess Ⓥ → atomic mobility ↑ at ageing temp:
   speeds up the process of nucleation and growth
ex) rapid formation of GP zones at the relatively low
aging temperature. (possible to RT aging in Al–Cu alloy)

a) Precipitate-Free Zone(PFZ) due to Vacancy Diffusion during quenching

Solute concentration within the zone ~ largely unchanged, but no precipitate at GB
∵ a critical vacancy supersaturation must be exceeded for nucleation to occur.
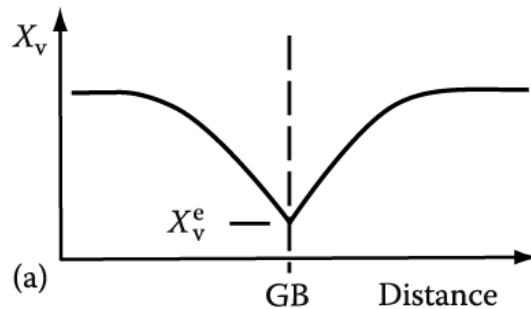
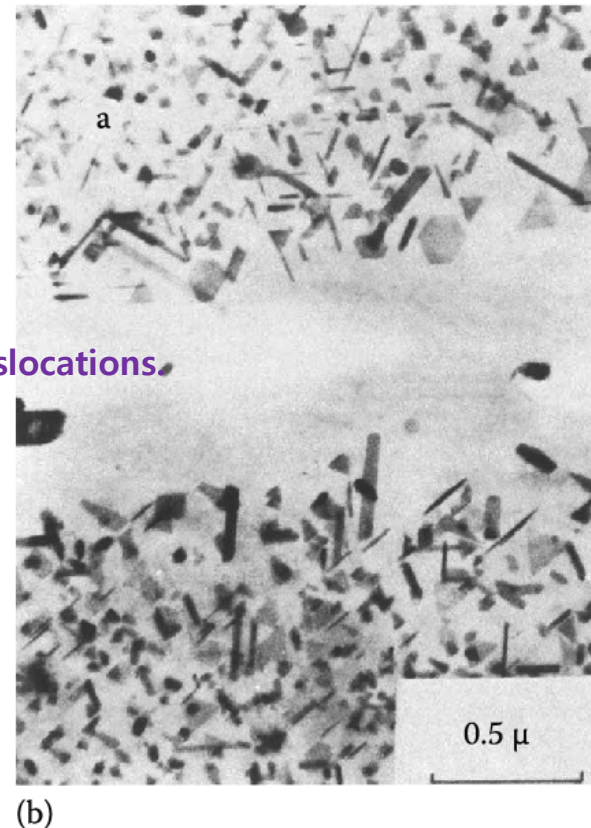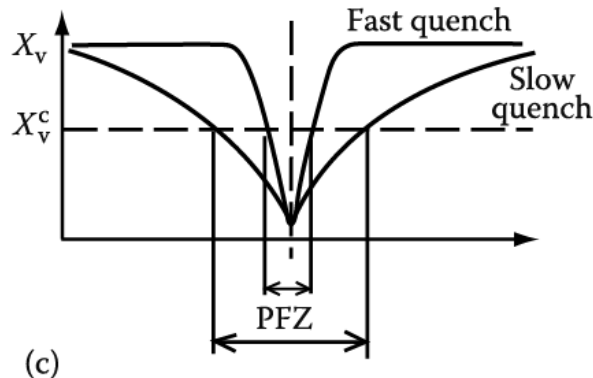**Similar PFZs can also form at inclusions and dislocations.**

Fig. 5.35 A PFZ due to vacancy diffusion to a grain boundary during quenching.
(a) Vacancy concentration profile. (b) A PFZ in an Al-Ge alloy (x 20,000)
(c) Dependence of PFZ width on critical vacancy concentration X_v^c and rate of quenching.

* Equilibrium Vacancy Concentration

at equilibrium $\left(\dfrac{dG}{dX_V}\right)_{X_V = X_V^e} = 0$

$$\Delta H_V - T\Delta S_V + RT \ln X_V^e = 0$$

A constant ~3, independent of T　　　**Rapidly increases with increasing T**

$$X_V^e = \exp\dfrac{\Delta S_V}{R} \cdot \exp\dfrac{-\Delta H_V}{RT}$$

putting $\Delta G_V = \Delta H_V - T\Delta S_V$

$$X_V^e = \exp\dfrac{-\Delta G_V}{RT}$$

increases exponentially with increasing T

- **In practice, ΔH_V is of the order of 1 eV per atom and X_V^e reaches a value of about $10^{-4} \sim 10^{-3}$ at the melting point of the solid**
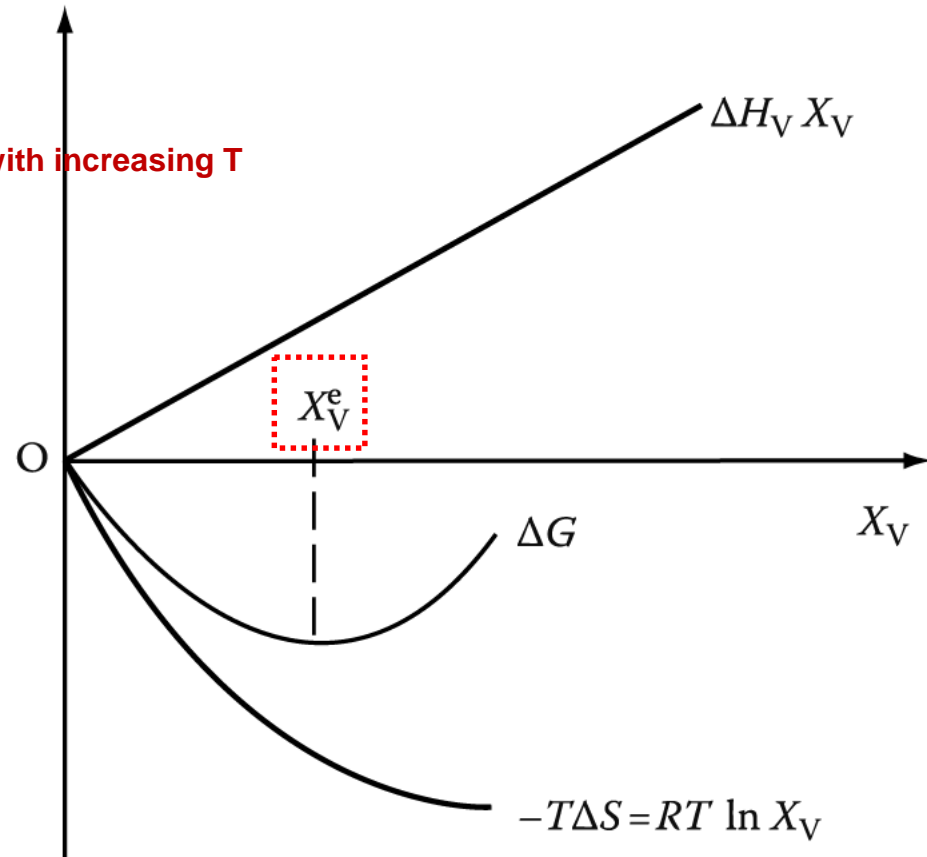

Fig. 1.37 Equilibrium vacancy concentration.

: adjust so as to reduce G to a minimum

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b) Another cause of PFZs can be the nucleation and growth of GB precipitates during cooling from the solution treatment temperature.

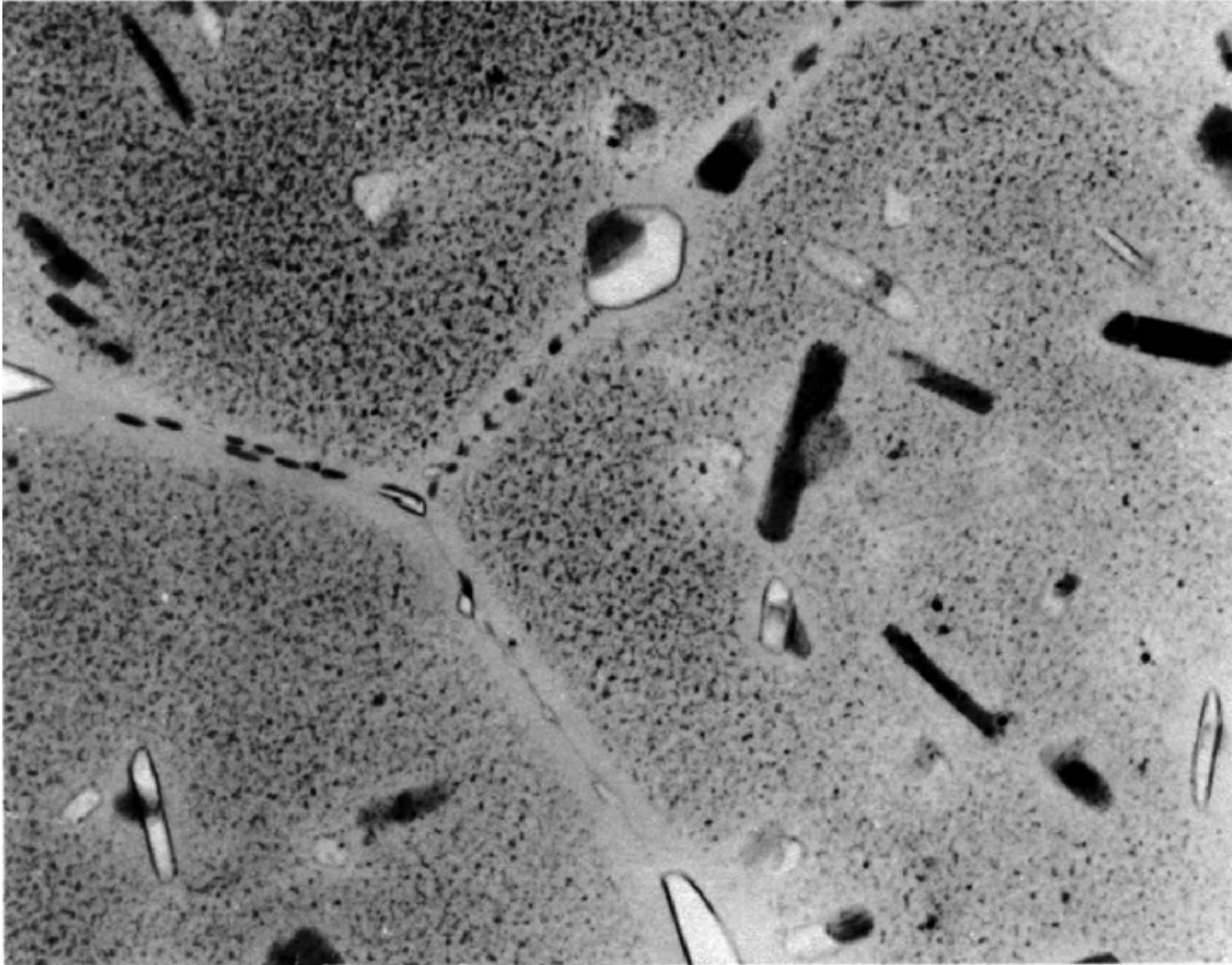Fig. 5.36 PFZs around grain boundaries in a high-strength commercial Al-Zn-Mg-Cu alloy. Precipitates on grain boundaries have extracted solute from surrounding matrix. (x 59,200)