



# **Chapter 4. Solidification**

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- ✓ Homogeneous nucleation
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- ✓ Heterogeneous nucleation
- ✓ Nucleation of melting

## ❖ Growth of a pure solid

- ✓ Continuous growth
- ✓ Lateral growth
- ✓ Heat flow and interface stability

## ❖ Alloy solidification

- ✓ Solidification of single-phase alloys

# Solidification

Liquid



Solid



Solidification

- Casting
- Single crystal growth
- Directional solidification
- Rapid solidification
- ....

# 4.1 Nucleation in Pure Metals

$$T_m : G_L = G_S$$

Undercool ( supercool ) for nucleation : 250K ~ 1K

Homogeneous nucleation, Heterogeneous nucleation.

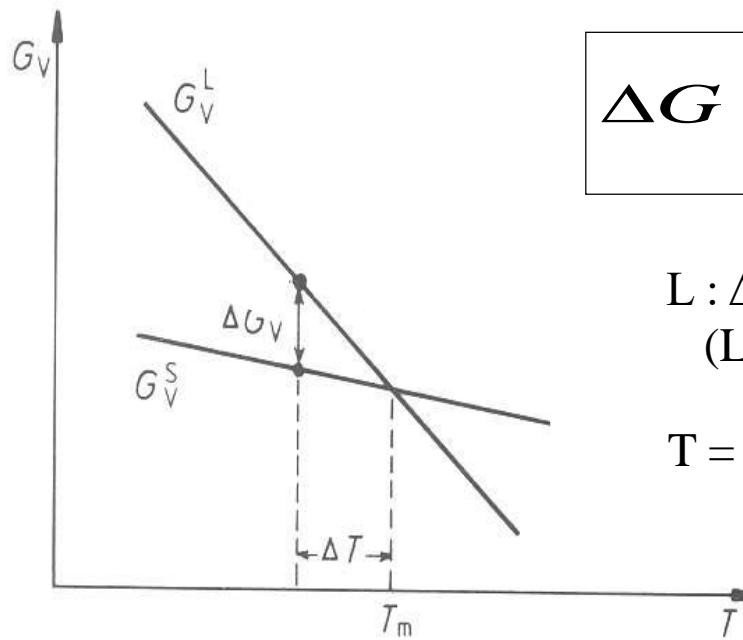
## 4.1.1 Homogeneous Nucleation

- ❖ Driving force for solidification

( Ch.1.2.3 )

$$G^L = H^L - TS^L$$

$$G^S = H^S - TS^S$$

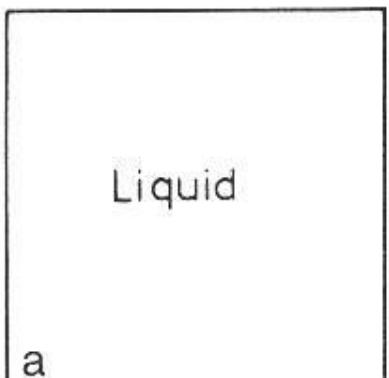


$$\Delta G = \frac{L\Delta T}{T_m}$$

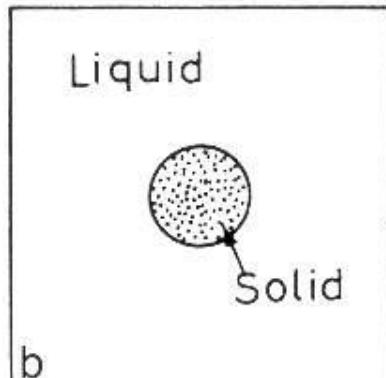
L :  $\Delta H = H^L - H^S$   
(Latent Heat)

$$T = T_m - \Delta T$$

# 4.1 Nucleation in Pure Metals



$G_1$



$G_2 = G_1 + \Delta G$

$$G_1 = (V_S + V_L)G_V^L$$

$$G_2 = V_S G_V^S + V_L G_V^L + A_{SL} \gamma_{SL}$$

$G_V^S, G_V^L$  : free energies per unit volume

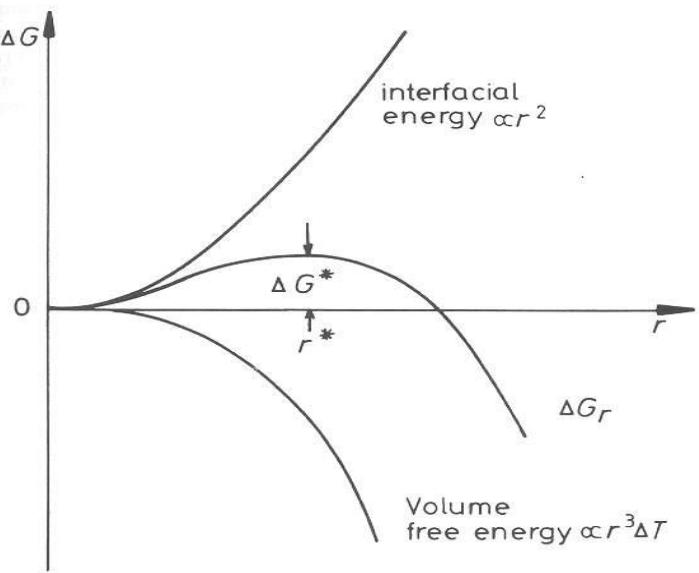
$$\Delta G = G_2 - G_1 = -V_S (G_V^L - G_V^S) + A_{SL} \gamma_{SL}$$

for spherical nuclei (isotropic) of radius : r

$$\Delta G = \frac{L \Delta T}{T_m} > 0$$

$$\Delta G_r = -\frac{4}{3} \pi r^3 \Delta G_V + 4\pi r^2 \gamma_{SL}$$

# 4.1 Nucleation in Pure Metals



$$\Delta G_r = -\frac{4}{3} \pi r^3 \Delta G_V + 4\pi r^2 \gamma_{SL}$$

$r < r^*$  : unstable  
(lower free E by reduce size )  
 $r > r^*$  : stable  
(lower free E by increase size )  
 $r^*$  : critical nucleus size

$$r^* = \frac{2\gamma_{SL}}{\Delta G_V} = \left( \frac{2\gamma_{SL} T_m}{L_v} \right) \frac{1}{\Delta T}$$

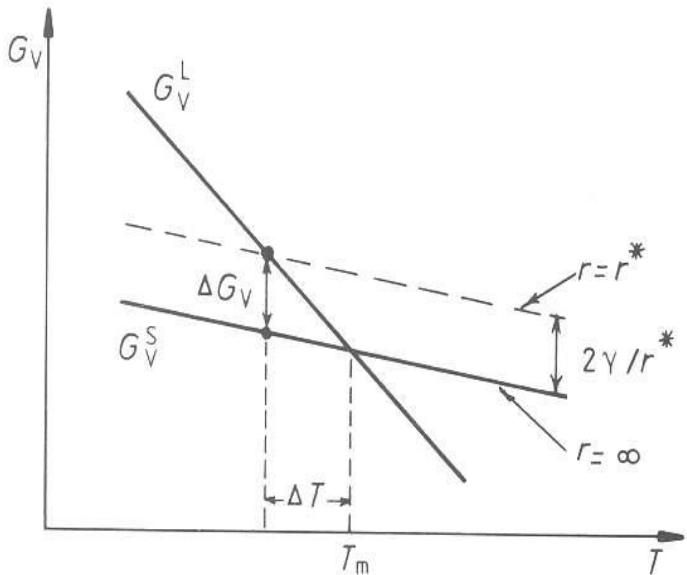
$r^*, \Delta G \downarrow$  as  $\Delta T \uparrow$

$$\Delta G^* = \frac{16\pi\gamma_{SL}^3}{3(\Delta G_V)^2} = \left( \frac{16\pi\gamma_{SL}^3 T_m^2}{3L_v^2} \right) \frac{1}{(\Delta T)^2}$$

# 4.1 Nucleation in Pure Metals

## ❖ Also from Gibbs-Thompson Eq. (Eq1.58)

- ✓  $r^*$  : the radius of the solid sphere that is in (unstable) equilibrium with surrounding liquid.
- ✓ Solid sphere and liquid have the same energy.



$$\frac{2\gamma V_m}{r} / \text{mol} \quad \text{or} \quad \frac{2\gamma}{r} / \text{unit volume}$$
$$\Delta G_V = \frac{2\gamma_{SL}}{r^*} \quad \rightarrow \quad r^* = \frac{2\gamma_{SL}}{\Delta G_V}$$

# 4.1 Nucleation (Atomistic View)

## ❖ Formation of atomic cluster

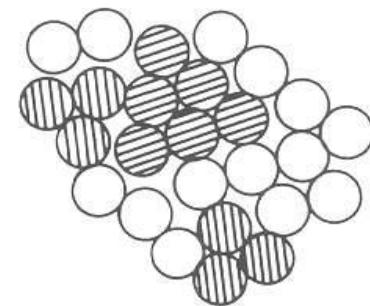
- ✓ Liquid 2~4% larger volume than solid.
- ✓ Instantaneously form many close-packed cluster of atoms (same crystalline array of solid).

## ❖ The average # of clusters of radius r

$$n_r = n_0 \exp\left(-\frac{\Delta G_r}{RT}\right)$$

$n_0$  : total # of atoms.

$\Delta G_r$  : excess free energy associated w/ the cluster (Eq. 4.4)



- ✓  $T > T_m$  : holds for all value of r
- $T < T_m$  :  $r \leq r^*$
- ✓  $n_r$  exponentially decrease with  $\Delta G_r$  → Increase rapidly with r
- ✓  $r \rightarrow 0$  | 커지면 cluster를 발견할 확률은 급속히 감소

# 4.1 Nucleation (Atomistic View)

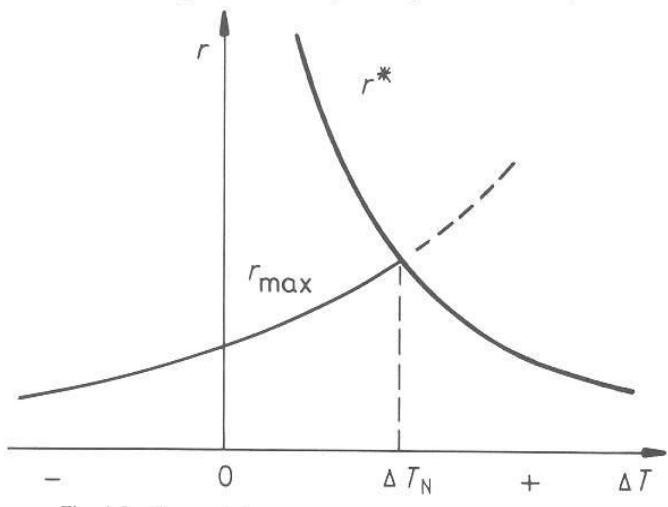
❖ Example     $1\text{mm}^3$  of Cu       $n_o : \sim 10^{20}$  atoms

at  $T_m$     0.3nm clusters ( $\sim 10$  atoms)     $\sim 10^{14}$  clusters.

                  0.6nm clusters ( $\sim 60$  atoms)     $\sim 10$  clusters

(cluster의 수는 size에 매우 sensitive)

practically, maximum cluster size  $r_{max}$  ( $\sim 100$  atoms)



Under cooling

$$\Delta T = T_m - T$$

$$r^* = \frac{2\gamma_{SL}}{\Delta G_V} = \left( \frac{2\gamma_{SL} T_m}{L_v} \right) \frac{1}{\Delta T}$$

$\Delta T$ 가 증가하면 감소

$\Delta T_N$ : homogeneous nucleation이 현실적으로 가능한 supercooling temperature

## 4.1.2 Homogeneous Nucleation Rate

$$C^* = C_0 \exp\left(-\frac{\Delta G_{hom}^*}{kT}\right)$$

clusters/m<sup>3</sup>

$C_o$  : atoms/unit volume

$C^*$  : # of clusters with size of  $C^*$  ( critical size )

Addition of one more atom to each cluster will convert to stable nuclei

$$N_{hom} = f_0 C_o \exp\left(-\frac{\Delta G_{hom}^*}{kT}\right)$$

nuclei / m<sup>3</sup>·s

$f_o$  : frequency  $\propto$  (vibrational freq.), ( $E_a$  of diff. in liquid), (surface area of  $C^*$ )

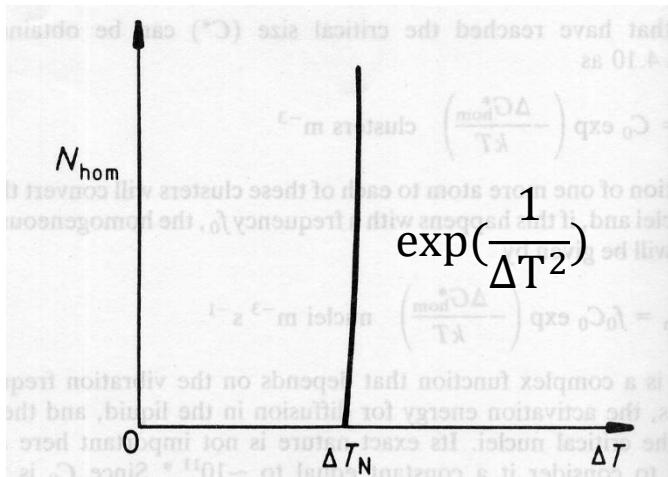
$$N_{hom} \approx f_0 C_o \exp\left\{-\frac{A}{(\Delta T)^2}\right\}$$

$$f_0 \sim 10^{11}$$

where  $A = \frac{16\pi\gamma_{SL}^3 T_m^2}{3L_V^2 kT}$

← Relatively insensitive to temperature

## 4.1.2 Homogeneous Nucleation Rate



$$N_{\text{hom}} \approx f_0 C_o \exp\left\{-\frac{A}{(\Delta T)^2}\right\}$$

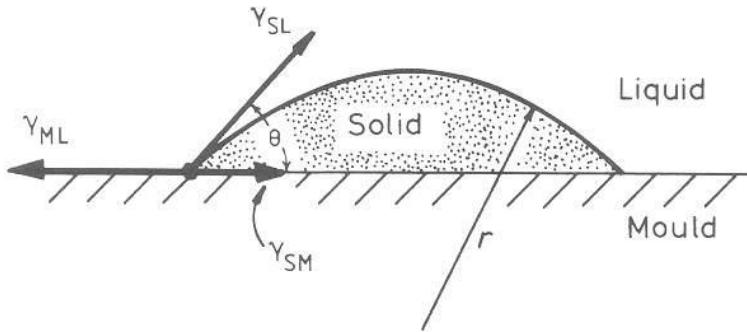
'Explosion' of nuclei

$\Delta T_N \sim 0.2 T_m$  (i.e., ~200K) for most metals

## 4.1.3 Heterogeneous Nucleation

$$\Delta G^* = \left( \frac{16\pi\gamma_{SL}^3 T_m^2}{3L_v^2} \right) \frac{1}{(\Delta T)^2}$$

Nucleation becomes easy if  
 $\gamma_{SL} \downarrow$  by forming nucleus from mould wall



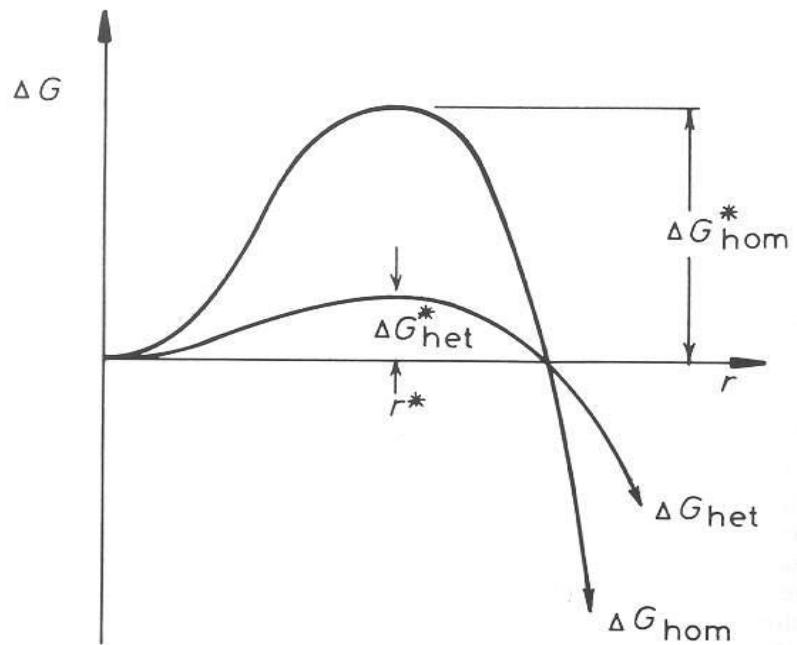
$$\gamma_{ML} = \gamma_{SL} \cos \theta + \gamma_{SM} \quad , \quad \cos \theta = (\gamma_{ML} - \gamma_{SM}) / \gamma_{SL}$$

$$\Delta G_{het} = -V_s \Delta G_v + A_{SL} \gamma_{SL} + A_{SM} (\gamma_{SM} - \gamma_{ML})$$

$$\Delta G_{het} = \left\{ -\frac{4}{3} \pi r^3 \Delta G_v + 4\pi r^2 \gamma_{SL} \right\} S(\theta)$$

$$\text{where } S(\theta) = (2 + \cos \theta)(1 - \cos \theta)^2 / 4 \quad (S < 1)$$

## 4.1.3 Heterogeneous Nucleation

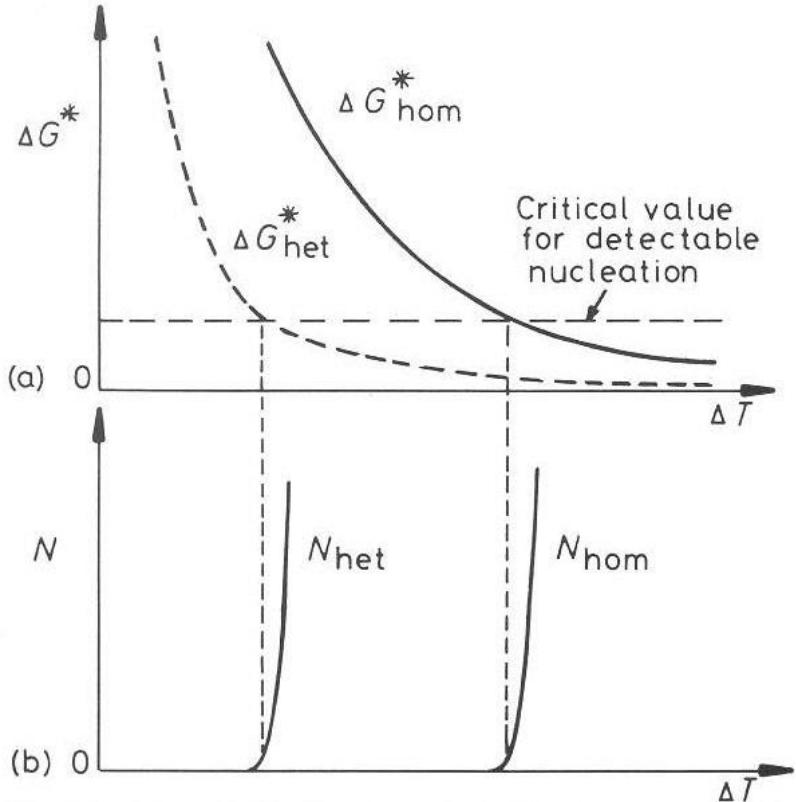


$$r^* = \frac{2\gamma_{SL}}{\Delta G_V} \quad \text{and} \quad \Delta G^* = \frac{16\pi\gamma_{SL}^3}{3\Delta G_V^2} \cdot S(\theta)$$

$$\Delta G_{het} = S(\theta) \Delta G_{hom}$$

## 4.1.3 Heterogeneous Nucleation

- The effect of  $\Delta T$  on  $\Delta G_{het}^*$  &  $\Delta G_{hom}^*$



$$n^* = n_1 \exp \left( -\frac{\Delta G_{het}^*}{kT} \right)$$

$n^*$ : the number of nuclei,  
 $n_1$  : atoms in contact with the mold wall

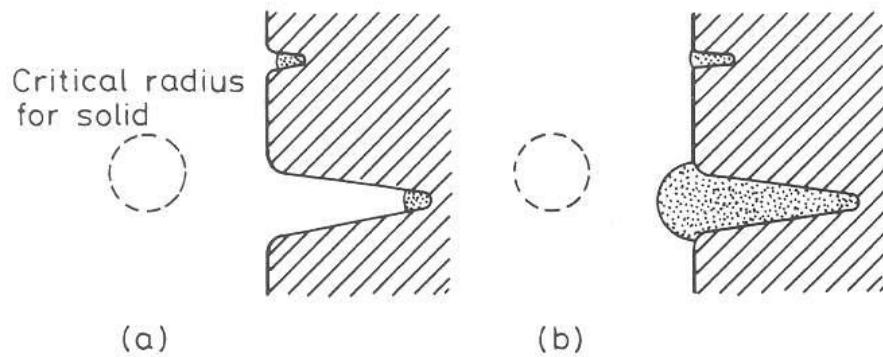
$$N_{het} = f_1 C_1 \exp \left( -\frac{\Delta G_{het}^*}{kT} \right)$$

$f_1$ : frequency factor  
 $C_1$ : # of atoms in contact with hetero nucleation sites/unit vol. of liquid

## 4.1.3 Heterogeneous Nucleation

만일 mould wall이 microscopically flat하지 않다면 cracks crevices

### ❖ Nucleation inside crevices



$$\Delta G^* = \frac{1}{2} V^* \Delta G_V$$

$V^*$  : volume of critical nucleus  
(cap or sphere)

Wetting angle이 크더라도 nucleation이 가능 할 수 있다.  
(그러나 crack의 입구는 critical radius 보다 커야 함.)

## 4.1.4 Nucleation of Melting

$$\gamma_{SL} + \gamma_{LV} < \gamma_{SV} \quad (\text{commonly})$$

일반적으로 wetting angle ( $\theta$ )=0 → No superheating required!

Solid metal close to  $T_m$

liquid/vapor + solid/vapor + solid/liquid